



**RBC**  
Royal Bank

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JUN 28 2013

**ONTARIO ENERGY BD**

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EB-2013-0252

June 27, 2013

**VIA COURIER**

*AS 28/6/13*  
Board Secretary  
Ontario Energy Board  
P.O. Box 2319  
2300 Yonge Street, 27th Floor  
Toronto, Ontario M4P 1E4

Ladies and Gentlemen:

**Re: Royal Bank of Canada Application for an Electricity Wholesaler Licence**

Enclosed please find two copies of the above-referenced application for Royal Bank of Canada (the "Applicant"), as well as a bank draft in the amount of \$200.00 payable to the Ontario Energy Board. Please note that the Applicant is currently licensed under Electricity Wholesaler Licence EW-2008-0152. The enclosed application is for renewal of the existing licence.

Please contact the undersigned with any questions or comments.

Sincerely,

Matthew S. Arnold  
Senior Counsel

Encls.

Ontario Energy Board  
P.O. Box 2319  
2300 Yonge Street  
27<sup>th</sup> Floor  
Toronto ON M4P 1E4  
Telephone: 1-888-632-6273  
Facsimile: (416) 440-7656

Commission de l'énergie l'Ontario  
C.P. 2319  
2300, rue Yonge  
27<sup>e</sup> étage  
Toronto ON M4P 1E4  
Téléphone: 1-888-632-6273  
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# Application for an Electricity Wholesaler Licence

For Office Use Only	
Application Number	EB - 2013-0252
Date Received	June 28/13

## 1. The Applicant

Legal Name of the Applicant : Royal Bank of Canada

Name to Appear on Licence: \_\_\_\_\_

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Date of formation or incorporation: June 22, 1869

## 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	<u>Arnold</u>	<u>Matthew</u>	<u>S</u>
Other <input type="radio"/>	_____	Title/Position	<u>Senior Counsel</u>	
		Company Name if different from Name to Appear on Licence	_____	
Licence Primary Contact Address:				
<u>200 Bay Street, 14th Floor North Tower</u>				
City	Province/State	Country	Postal/Zip Code	
<u>Toronto</u>	<u>Ontario</u>	<u>Canada</u>	<u>M5J 2J5</u>	
Phone Number	Fax Number	E-mail Address		
<u>416-974-4371</u>	<u>416-974-5544</u>	<u>matthew.arnold@rbccm.com</u>		

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Wholesalers whose offices are not located in Ontario may provide the address of an agent as the address of service.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other <input type="radio"/>	<input type="text"/>	Title/Position/Company		
<input type="text"/>				
Address for Service in Ontario (if different than the Licence Primary Contact Address above)				
<input type="text"/>				
City		Province	Postal Code	
<input type="text"/>		ONTARIO	<input type="text"/>	
Phone Number	Fax Number	E-mail Address		
<input type="text"/>	<input type="text"/>	<input type="text"/>		

### 3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other <input type="radio"/>	<input type="text"/>	Title/Position		
<input type="text"/>				
Company Name if different from Name to Appear on Licence				
<input type="text"/>				
Application Primary Contact Address:				
<input type="text"/>				
City		Province/State	Country	Postal/Zip Code
<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>
Phone Number	Fax Number	E-mail Address		
<input type="text"/>	<input type="text"/>	<input type="text"/>		

### 4. Type of Application

New licence

Renewal, please provide the licence number and expiry date of the existing licence

    Licence Number: EW - 2008-0152                      Expiry Date: August 21, 2013

## 5. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca)).

- Yes, provide details of current and expired licences in the table below.  
 No, proceed to 5(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
2001 RBCP Canadian GP Limited	Affiliate	ER-2012-0369
2001 RBCP Canadian GP Limited	Affiliate	GM-2012-0368
2001 RBCP Canadian GP Limited	Affiliate	EW-2012-0234
Royal Bank of Canada	Applicant itself	EW-2008-0152

(b) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

- Yes, provide details of current and expired licences in the table below  
 No

Licensee Name	Jurisdiction	Business Activity
See attached Schedule 5(b).		

## 6. Key Individuals

The individuals listed as key individuals must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors, or proprietors. Identify at least two key individuals. (If the applicant has only one key individual in the organization, identify the one key individual.)

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
D. Bruce Macdonald	Executive Vice President and Head, Commodities and Electronic Trading

## 7. Intended Markets and Services

Please identify the applicant's intended markets and services. You may select as many as are applicable.

- To purchase electricity or ancillary services in the IESO-administered markets
- To purchase electricity or ancillary services directly from a generator
- To sell electricity or ancillary services in the IESO-administered markets
- To sell electricity or ancillary services to wholesalers
- To sell electricity or ancillary services to distributors (i.e., standard supply services)
- To sell electricity or ancillary services to electricity retailers
- To sell electricity or ancillary services to persons outside of the Ontario market
- To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. The application form can be found at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

Note that applicants who purchase or sell in the IESO-administered markets must comply with the Market Rules and meet the IESO's prudential requirement.