

Ontario Energy Board
P.O. Box 2319
27th. Floor
2300 Yonge Street
Toronto ON M4P 1E4
Telephone: 416- 481-1967
Facsimile: 416- 440-7656
Toll free: 1-888-632-6273

Commission de l'énergie de l'Ontario
C.P. 2319
27e étage
2300, rue Yonge
Toronto ON M4P 1E4
Téléphone: 416- 481-1967
Télécopieur: 416- 440-7656
Numéro sans frais: 1-888-632-6273



BY EMAIL

September 27, 2013

Lauren Zinman
Corporate Counsel
TFS Energy Solutions LLC
680 Washington Boulevard
Stamford, Connecticut USA 06901

Dear Mrs. Zinman:

**Re: Application for an Electricity Retailer Licence
Board File Number EB-2013-0340**

The Board has reviewed your application for an electricity retailer licence. Your application is currently incomplete and cannot be processed until the following has been filed:

1. Section 12 – Financial Information

The information provided consists of unaudited financial statements for the applicant for the last two years. Please note that these documents must be signed by one key individual. Please resubmit this information with the required signature.

2. Section 15- Documents to support identity

Please note that health cards are no longer accepted as a form of identification. For a list of acceptable identification, please refer to Appendix A below. The photocopies of the driver's licence identification provided for Mr. McDermott and Mr. Kurzer are not clear. Please submit clear readable photocopies of both sides of the identifications for Mr. McDermott and Mr. Kurzer.

3. Additional Information – OPP Security Screening Check Form

The Board requires the completion of the attached form entitled "Security Screening Check" for the processing of this licence application. Each key individual is required to complete, sign, date and return this form to the Board.

The completed form together with the two pieces of identification is necessary for the Board to conduct a police record check with the Ontario Provincial Police on each of the key individuals identified in section 15 of the application.

The Board will resume processing your application once the above information has been filed. If the above information is not filed within 30 days of the date of this letter, the Board may close the file for this application.

Please quote file number EB-2013-0340 when filing the additional information requested above and please file **hard copies** of the materials requested as wet signatures are required.

Please direct any questions relating to these applications to Judith Fernandes, Project Advisor at 416-440-7638 or email, Judith.Fernandes@ontarioenergyboard.ca.

Yours truly,

Original signed by

John Pickernell
Assistant Board Secretary

Appendix A

Acceptable Identification

Required by the Ontario Provincial Police

Prior to commencing any Security Clearance, you shall personally view at least two (2) separate pieces of validly issued identification ***other than a health card or SIN card***. Once you have viewed the identification, make legible copies, and sign and date the copies. At least one piece of identification must include a photograph of the Subject taken within the last five (5) years. Photo identification shall contain, at a minimum, the Subject's full given name, surname, date of birth and gender. Examples of acceptable identification are:

- Driver's Licence (including drivers licenses issued by another province, territory, or a foreign jurisdiction)
- BYID Card
- Canadian or Foreign Passport
- Canadian Citizenship Card
- Possession and Acquisition Licence (or PAL Card)
- Permanent Residency Card
- Certificate of Indian Status
- Canadian National Institute of the Blind (CNIB) Identification Card
- Canadian Military Employment Card or Canadian Military Family Identification Card
- International Student Card

The following are acceptable forms of non-photo identification:

- Birth Certificate
- Baptismal Certificate
- Hunting Licence
- Outdoors Card
- Canadian Blood Donor Card
- Immigration Papers