

Ontario Energy Board
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MAY 27 2016

ONTARIO ENERGY BOARD



Application for an Electricity Wholesaler Licence

For Office Use Only	
Application Number	EB- 2016-0185
Date Received	May 27/16

1. The Applicant

Legal Name of the Applicant : Imperial Oil

Business Classification:

Sole Proprietor

Partnership

Corporation

Other (describe) _____

Date of formation or incorporation: June 20, 1990

Place of formation or incorporation:

Province/State Alberta

Country Canada

If the applicant is an individual, the applicant must be at least 18 years old. If the applicant is an individual, is he or she at least 18 years old?

Yes

No

Not Applicable - not an individual

Head Office or Business Address of Applicant

505 Quarry Park Boulevard SE

City

Calgary

Province/State

Alberta

Country

Canada

Postal/Zip Code

T2C 5N1

Phone Number

587-476-4743

Toll Free (if available)

E-mail Address

investor.relations@esso.ca

Website Address

imperialoil.ca

2. Application Type

New

Renewal, provide the licence number and expiry date of the current licence.

Licence Number EW-2011-0131

Expiry Date 10-Jul-16

3. Licence Primary Contact

The licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input checked="" type="radio"/>	Johnson	Lee Ann	
Other <input type="radio"/>		Title/Position		
		Regulatory Lead		
		Company Name		
		Imperial Oil Limited		
Licence Primary Contact Address:				
505 Quarry Park Blvd S.E.				
City	Province/State	Country	Postal/Zip Code	
Calgary	Alberta	Canada	T2C 5N1	
Phone Number	Toll Free (if available)	E-mail Address		
587-476-2087		leeann.johnson@esso.ca		

4. Application Primary Contact

Indicate if same as above. Proceed to section 5.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>			
Other <input type="radio"/>		Title/Position		
		Company Name		

Application Primary Contact Address:

City Province/State Country Postal/Zip Code

Phone Number Toll Free (if available) E-mail Address

5. Trade Names

The electricity wholesaler licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

Does the applicant intend to use trade names?

- Yes, provide a list of trade names the applicant intends to use in the space provided below.
- No, proceed to section 6.

6. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership), ever been licensed by the OEB? (The *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca)

- Yes, provide details of current and expired licences in the table below.
- No, proceed to 6(b).

Licensee Name	Relation to the Applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
Imperial Oil	Applicant	EW-2011-0131
Imperial Oil	Applicant	EW-2006-0146

(b) Does the applicant, an affiliate of the applicant, or an associated entity have any other application(s) before the OEB?

Yes, provide details in the table below.

No, proceed to 6(c).

Applicant Name	Relationship to this Applicant	Type of Application	OEB File Number

(c) Has the applicant, an affiliate of the applicant, or an associated entity ever undertaken energy sector activity in any other jurisdiction within North America?

Yes, provide details in the table below.

No

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
Imperial Oil Limited	Partner in the Applicant	Alberta	Wholesale market participant	Power Pool of Alberta / AESO

7. Key Individuals

In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

Name of Key Individual	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Theresa B. Redburn	Vice President IOL Upstream Commercial
Federica Berra	Manager, Supply and Marketing
Lee Ann Johnson	Manager Regulatory

8. Intended Markets and Services

Identify the applicant's intended markets and services. Select any that apply.

- To purchase electricity or ancillary services in the IESO-administered markets.
- To purchase electricity or ancillary services directly from a generator.
- To sell electricity or ancillary services in the IESO-administered markets.
- To sell electricity or ancillary services to wholesalers.
- To sell electricity or ancillary services to distributors (i.e., standard supply services).
- To sell electricity or ancillary services to electricity retailers.
- To sell electricity or ancillary services to persons outside of the Ontario market.
- To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. The application form can be found at www.ontarioenergyboard.ca.

Information filed as part of or in support of sections 9 to 13 of this application will be treated as confidential.

9. Corporate Organization

- (a) Provide a corporate organization chart for the applicant.
- (b) Provide a description of the applicant's current business activities and the applicant's corporate organization including the applicant's relationship with its affiliates and associated entities.

(a) The Applicant, Imperial Oil, a partnership between Imperial Oil Limited & McColl-Frontenac Petroleum Inc., does not have a corporate organization chart. However, Imperial Oil Limited, partner and parent company, does maintain a corporate organization chart (attached with this application).

(b) As an integrated energy company, Imperial Oil Limited, parent company for Applicant, explores, produces, refines and markets energy products.

10. Finance

Provide the audited financial statements for the applicant for the last two years. If audited financial statements are not available, provide unaudited financial statements for the applicant for the last two years. Documents must be signed by at least one key individual.

If the applicant does not have financial statements for itself, the applicant may submit the financial statements of its parent company. If the applicant submits the financial statements of its parent company, the applicant must also provide a parental guarantee. Please note that the OEB has developed a parental guarantee template which the applicant may use by entering the necessary information and submitting it with the application. Further information, including a copy of the template, may be obtained by contacting the OEB at IndustryRelations@ontarioenergyboard.ca. An applicant may wish to provide its own parental guarantee; however, this will be subject to review during the application review process to determine whether or not it is satisfactory.

If the applicant does not submit financial statements for itself or its parent company, along with a parental guarantee, the applicant must identify and attach to its application at least two of the following items:

- Most recent prospectus and quarterly report.
- If the applicant is a new entity, pro forma financial statements for two years along with notes or business plan explaining the assumptions used in preparing the pro forma statements. Documents must be signed by at least one key individual.
- Letter of reference from the applicant's bank showing the following information:
 - Details respecting any line(s) of credit available to the applicant including dollar limit amount, outstanding amount as of current date, terms and conditions and whether the account is secured;
 - Details respecting cash and cash equivalents (names of short-term investments, amounts and expiry dates if applicable; name of saving/chequing accounts, date accounts opened, account balances as of current date); and
 - Details of any bank guarantee and/or letter of credit available to the applicant including amounts and the terms and conditions.
- Other, specify (i.e., proof of meeting the prudential payments required in the IESO wholesale market, general liability insurance, credit reports or credit ratings regarding the applicant's payment and credit history).

If the financial statements of either the applicant or its parent company demonstrate that the applicant or parent company (as applicable) is not in a healthy financial position, the applicant may be required to submit information in addition to that listed above.