Ontario Energy Board

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BY E-MAIL

September 23, 2009

Norman Spratling West Oaks Energy NY/NE, LP One East Greenway Plaza, Suite 960 Houston, TX USA 77046

Dear Mr. Spratling:

Re: West Oaks Energy NY/NE, LP

> **Application for Electricity Wholesaler Licence Application Board File Number EB-2009-0343**

This will acknowledge receipt on September 15, 2009 of your application for an electricity wholesaler licence. The Board has assigned File Number EB-2009-0343 to this matter. Please refer to this file number in all future correspondence to the Board regarding this matter. All information related to this matter must be filed with the Board Secretary.

Your application is currently incomplete and cannot be processed until the following has been filed:

- 1. Section 9 The Financial Information section of the application form requires the applicant to provide information that indicates the applicant's financial state of affairs via either audited financial statements from the applicant or signed unaudited financial statements. If the applicant's financial statements are unavailable please provide one or more of the following additional information:
 - Parent company financial statements along with a written guarantee from the parent company; and/or
 - Pro Forma Statements; and/or
 - A letter of reference from the applicant's bank; and/or
 - o Proof of meeting the IESO's prudential requirement payments.

The Board will resume processing your application once the above information has been filed. If the above information is not filed within 30 days of the date of this letter, the Board may close the file for this application.

Upon receipt of the required information the Board will issue a letter indicating the expected date on which a decision and/or order may be rendered.

Please file two paper copies of the additional material and an electronic version in Word and in searchable Adobe Acrobat (if available) with the Board Secretary. Electronic copies may be submitted on diskette or by e-mail to boardsec@oeb.gov.on.ca.

Please direct any questions relating to this application to George Dimitropoulos, Analyst, Licence Applications at (416) 544-5152 or George. Dimitropoulos@oeb.gov.on.ca.

Yours truly,

Original signed by

John Pickernell Assistant Board Secretary