

Ontario Energy Board Commission de l'Énergie de l'Ontario

# Application for Electricity Transmission Licence

Ontario Energy Board 2300 Yonge Street P.O. Box 2319 Toronto, ON M4P 1E4 Telephone: 1-888-632-6273 Facsimile: (416) 440-7656

l'Ontario 2300 rue Yonge C.P. 2319 Toronto, ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656

Commission de l'Énergie de

## **Application Instructions**

### 1. Purpose of this form

The purpose of this form is to collect information to determine whether the Applicant will be granted a licence to transmit electricity.

#### 2. Structure of the Application Form

This form contains the following sections:

- A General Information;
- B Corporate Information;
- C Notice and Consent;
- D Acknowledgement

Note: The Board shall keep confidential the information in Item 10, Section B of this form, with the exception of the names and positions held of key individuals. All other information filed as part of this application will be considered public. Where the applicant objects to public disclosure of the information, the applicant must follow the Ontario Energy Board's approved Guidelines for Treatment of Filing made in confidence, effective March 19, 2001.

#### 3. Completion Instructions

PRINT CLEARLY or TYPE all information in BLACK. Please send the completed form and two copies of all attachments to

Board Secretary
Ontario Energy Board
2300 Yonge Street
P.O. Box 2319, 26th Floor
Toronto, ON M4P 1E4

#### 4. Licence Fees:

#### **Application Fees:**

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**.

#### Note:

The Licencee may be required to pay an annual fee.

#### 5. Important Information:

As a licenced Electricity Transmitter, the licencee may be subject to additional obligations as required by the Independent Electricity System Operator (IESO) and as established under section 70 of the *Ontario Energy Board Act, 1998*.

The issuance of an electricity transmission licence does not guarantee accreditation by the IESO or connection to a transmission or distribution system.

#### REMARQUE:

Ce document est disponible en français.

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For Office Use Only				
Application Number				
Date Received				

	•			
A. General informa	tion			
1. Type of Application				•
New licence		X	-	
Renewal			•	
Amendment to an e	existing Licence			
2. Applicant		,		
Please provide the followin	g information about t	he Applicant		
Full Legal Name of Applica				Date of Formation or Incorporation  July 27, 2011
RES Canada Transmissio	n LP		F-5-9-William	
Business Address: 1040 South Service Road	l East, Suite 200			
City	Prov.		Country	Postal/Zip Code
Oakville	Ontario		Canada	L6J 2X7
Phone Number	FAX Number		E-Mail Address (if applicable)	
905-338-7377	905-338-7370	)		

3. Primary Contact it			
Please provide the following inf	ormation about the Primary Contact fo	or this Application:	······································
Mr. □ Mrs. □	Last Name: Beauchamp	Full First Name: Michele	Initial:
Miss ☐ Ms. ☒ Other:	Position Held: Assistant Secretary		
Contact Address (if R.R.,	give Lot, Concession No. and	Township)	
300 Leo-Pariseau, Suite 251	.6		
City	Province	Country	Postal/Zip Code
Montreal	Quebec	Canada	H2X 4B3
Phone Number 514-525-2113 x233	FAX Number 514-524-9669	E-mail Address (if ap michele.beauchamp@	•
4. Transmission Faci	lities		
Please provide a description of	the transmission facilities involved in t	thìs Application:	
RES Canada Transmis	sion LP does not currently o	own or operate any transmission	on facilities in Ontario.
		n the business of electricity tra	•
		•	the designation process under the
·	·	lopment Plans [EB-2010-0059]	· · · · · · · · · · · · · · · · · · ·
			•
	•		
			•
	· · · · · · · · · · · · · · · · · · ·	·	

# **B.** Corporate Information

# Organizational

5. Business Classificatio	5.	Busin	iess (	Class	ifica	ıtioı	1
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<u> </u>	ness Classifice	LIOII										
Please ind	licate the Applicant's Bu	siness Class	ification (cl	heck appropi	riate type belov	w):						
П	Sole Proprietor											
XI	Partnership											
	Corporation											
	Other (describe)			•								
Ш												
			. *									
	ness Activities											
Please pro	vide a description of the	Applicant's	business a	ctivities:						•••••••		***********
The de	evelopment, cons	truction,	owners	hip and o	peration o	f transr	nissio	n facilitie	!S.			
	•				•							
					•						•	
									• •			
							•					
								J. 1				
											•	

a) Please provide the fo	llowing information for all Affilia	ites of the Applicant:	
Full Legal Name of Affilia	ate Company:		
Please see <b>CONFIDENT</b>	IAL Appendix A: "Affiliates of A	Applicant RES Canada Transmis	sion LP" *** <b>Please hold in confidenc</b> e
Business Address:			
City	Prov.	Country	Postal/Zip Code
Phone Number	FAX Number	E-Mail Address (if app	licable)
Description of Business A	I Activities:		
		·	
		•	

<sup>\*\*\*</sup>Please hold corporate organization charts in confidence.\*\*\*

8. Energy Sector Activities Please see CONFIDENTIAL App	pendix C.
Has the Applicant or an Affiliate undertaken any energy sector activities in Ontario or any other jurisdiction?  If yes, please provide the following information for each:	Yes No
Full Legal Name of Company:	Licence/Registration Number:
Jurisdiction:	Type of Business Activity (e.g. Generation, Transmission, Distribution):

# Technical Capability and Experience 9. Technical Ability

J. 1	ecilineal Ability	
	urisdictions.	bility to carry out the activities applied for including the Applicant's specific experience in Ontario and in other
affilia renev and t RES A const	Canada Transmission LP is an Ontario ates of Renewable Energy Systems C wable generation facilities in North A two Canadian provinces, including ass Americas' transmission personnel, the tructing and managing high voltage to tario, RES Canada personnel have de	limited partnership. The limited partner as well as the general partner are both anada Inc. and Renewable Energy Systems Americas Inc., one of the largest developers of merica. To date, RES Americas has developed 5,200 MW of generation in eleven U.S. states sociated high voltage transmission lines with an aggregate circuit length of over 500 km. rough their current and previous employment, have extensive experience in developing, ransmission facilities in numerous jurisdictions throughout the U.S. and Canada. eveloped, permitted, financed, constructed, and interconnected two major wind projects, ines on both private and Crown land.
	f the Applicant intends to utilize the capabi will be contracted:	lity of others by contracting transmission activities, please indicate below which activities and to whom they
X	Design	Contracted to: Applicant will contract transmission activities with a qualified contractor which could, but not necessarily, be an affiliate of Applicant
X	Construction	Contracted to: Applicant will contract transmission activities with a qualified contractor which could, but not necessarily, be an affiliate of Applicant
X	Customer Connection	Contracted to: Applicant will contract transmission activities with a qualified contractor which could, but not necessarily, be an affiliate of Applicant
X	Inspection & Maintenance	Contracted to: Applicant will contract transmission activities with a qualified contractor which could, but not necessarily, be an affiliate of Applicant
X	Operation	Contracted to: Applicant will contract transmission activities with a qualified contractor which could, but not necessarily, be an affiliate of Applicant
	Other (describe)	Contracted to:

10. ln	forn	nation Abo	out Each Key Individual	***CONFIDENTIA	L***	
Mr.		Mrs.□	Last Name:	Full First Name:	Initial:	
Miss Other:		Ms. [	Position Held:			
Please	explai	n the person's e	experience in the electrical transmission busing	ness and in the energy field in general.	•	
of the R Before J includin She also	ES Hol oining g Strat spent	dings Board sin RES Group, she tegy Director, a	enewable energy industry for fifteen years. ice January 2010 and is CEO of RES America e spent 13 years with Scottish Power/Iberdind had responsibility for the development of Executive VP of PacifiCorp Holdings, a U.S. r	s and President of RES Canada. rola, holding a number of senior positio of the renewable energy business in Sc	ons ottish Power.	
of th	ne Ont	ario Energy Boa				No T
If ye	s, pro	vide business na	ames and licence number(s) and describe the	ne individuals specific related experience	e.	
***************************************						•••••
						************************
		erson been a pro or legislation?	oprietor, partner, officer, or director of a busin	ness that was registered or licenced und	ler this or any Yes	No
		ntify the busines lated experience	s name, the legislation, licence number(s), d e.	ate of the licencing or registration and tr	ne individual's	
••••••						
c) Has	this posed, si	erson been a pro uspended, revok	oprietor, partner, officer or director of a busin ked or cancelled?	ness that had a registration or licence of	any kind Yes	No
lf y∈	s, plea	ase provide com	pany name and describe the situation, includ	ding the jurisdiction and type of licence.		
					,	
	,	,				
				·		

#### Note

Attach a copy of Item 10 for each Key Individual: Officer and Director, Partner or sole Proprietor.

### 11. Financial Information

## \*\*\*CONFIDENTIAL\*\*\*

Please attach financial statements of the Applicant for each of the past two fiscal years. This may include audited financial statements, annual reports, prospectuses or other such information. Please see **CONFIDENTIAL** Appendix D -- "Consolidated Financial Statements"

## **Transmission Facilities Information** 12. Facilities

\*\*\*Please hold Appendix D in CONFIDENCE.\*\*\*

Please indicate whether the Applicant's transmission facilities are:	
X New assets to be constructed?	Proposed In-service date: To be determined
	Please attach a statement explaining the financing arrangements.
Existing assets presently owned by the Applicant?	
Existing assets not presently owned by the applicant (i.e. to be purchased)?	Please indicate from whom assets will be purchased:
Other (describe)	

13. Pu	rpose of Facilities
Please ir	ndicate the intended purpose(s) of the Applicant's transmission facilities:
X	To provide a connection between a generator and a transmission system.
Х	To provide a connection between a transmission system and a load customer.
х	To provide a connection between a generator and a load customer.
Х	To provide a connection between one transmission system and another
	To import or export power
Х	Other (please describe): Potentially all of the above, with the exception of import or export power
If parties	other than the Applicant are involved, please indicate the specific names of the participants (generator, load customer, transmission system(s):

4. Location of Facilities  Please indicate the location (township or other such description as appropriate) of the Applicant's transmission facilities and att	ach a eingle-lir	ne diagram
indicating the length (km), capacity (MW) and operating voltage (kV) of each element.	acii a sirigie-iii	ie ulagram
To be determined		
5. Licensing History		
	Yes	No
Has the Applicant obtained Ontario Energy Board, National Energy Board, Federal Energy Regulatory Commission or any other regulatory approvals required for the acquisition, construction or operation of the transmission facilities?		X
If no, please indicate the status and plans for seeking these approvals.		
To be determined		
6. Service to other parties		
If the transmission facilities are to be used to deliver electricity to a party other than the Applicant please attach the following:  a) a summary of business plans relating to the Applicant's proposed transmission business for the next five years. This shoul  - a forecast of annual peak demand (MW) and energy (MWH) transmitted and/or transformed.  - annual pro forma financial statements including forecasts of costs, revenues and project financing indicating the underly forecasts are based.  To be determined		ŭ
b) estimates of net annual cash flows for subsequent periods to demonstrate financial feasibility and security. To be determined	ed	
c) indication of the Applicant's plans to seek Ontario Energy Board approval for rates for transmission services. To be determined	and	

## 17. Proposed business transactions impact

Please provide a brief summary of the expected impact of the proposed business transactions on the Ontario electricity market under the following headings:

- Facilitate competition and enhance access to transmission services
- Improve reliability and quality of supply Promote economic and efficient electrical energy supply

Please see Appendix E -- "Proposed Business Transactions Impact"

## Other Information

## 18. Ontario market activities

Please indicate whether the Applicant intends to be involved with other electricity sector activities in the Ontario market?						
Buy or Sell (Wholesale) electricity	Yes	No X				
Distribute electricity	Yes	No X				
Retail electricity	Yes	No X				
Generate electricity	Yes	No X				
If yes to any of the above:  a) If affiliates have not yet been established, please indicate when this is planned						
b) Has Applicant or an affiliate applied for an Ontario Energy Board Licences?  — If no, when planned?						

### C. Notice and Consent

#### AS REQUIRED BY THE FREEDOM OF INFORMATION AND PROTECTION OF INDIVIDUAL PRIVACY ACT

In order to complete or verify the information provided on this form, it may be necessary for the Ontario Energy Board to collect additional information from some or all of the following sources: federal, provincial/state and municipal governments; licensing bodies; banks; professional and industry associations; and former and current employers. Only information relevant to your application will be collected.

The public official who can answer questions about the collection of information is:

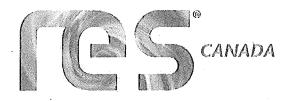
Board Secretary Ontario Energy Board 2300 Yonge Street, P.O. Box 2319 Toronto, Ontario M4P 1E4

Note: The issuance of an electricity transmitter licence does not guarantee accreditation by the IESO, or connection to a transmission or distribution system.

NOTE:	NOTE: This application must be signed by the proprietor or by at least one partner, officer or director of the organization.					
WARNING:	WARNING: It is an offence to knowingly provide false information on this application.					
I/We consent to the collection of this information as authorized under the Ontario Energy Board Act, 1998. Yes   X						
I am/we are	applying.		Yes X			
Print Name a	and Title	Signature of Applicant(s)	Date Signed			
Jerry Vani	netti, Vice President, Transmission					

# D. Acknowledgement

NOTE:	: This acknowledgement must be signed by the proprietor or by at least one partner, officer or director of the organization.				
I understand and acknowledge that, as a licenced electricity transmitter, I will be required, unless otherwise exempted:					
•	<ul> <li>To provide non-discriminatory access to all persons wishing to connect to the transmission system.</li> </ul>				
<ul> <li>To comply with all licence conditions including the provisions of:</li> <li>The Ontario Energy Board Affiliate Relationships Code for Electricity Distributors and Transmitters</li> <li>The Ontario Energy Board Transmission System Code</li> <li>The Market Rules made under section 32 of the Electricity Act.</li> </ul>					
	ne and Title ninetti, Vice President, Transmission	Signature of Applicant(s)	Date Signed		



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# Appendix A Section 7(a) - Affiliates of Applicant

## RES CANADA TRANSMISSION LP

Section 7(a). Affiliates of Applicant – Lists Affiliates of Applicant in Canada, US, UK and Ireland, South Africa, Sweden, Finland, Australia, New Zealand, France, and Turkey.



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Appendix B
Section 7(b) - Affiliates of Applicant
Corporate Organization Charts

## RES CANADA TRANSMISSION LP

Section 7(b). Corporate Organization Charts - Demonstrates relationships between the Applicant and its Affiliates with respective ownership percentages. Provides organization charts of Renewable Energy Systems Canada Inc., Renewable Energy Systems Americas Inc., and Renewable Energy Systems Holdings Limited.



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## Appendix C Section 8 – Energy Sector Activities

## RES CANADA TRANSMISSION LP

Section 8. Energy Sector Activities – Lists Affiliates of Applicant with license numbers.



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# Appendix D Section 11 – Financial Information

## RES CANADA TRANSMISSION LP

Section 11. Consolidated Financial Statements for the past two fiscal years 2009-2010 of Renewable Energy Systems Holdings Limited.

# Appendix E Section 17 – Proposed Business Transactions Impact

RES CANADA TRANSMISSION LP

#### Section 17 - Proposed Business Transactions Impact

#### **RES Canada Transmission LP - Applicant**

As a result of the passage of the *Green Energy and Green Economy Act, 2009*, Ontario has seen an enormous spike in renewable energy development, as evidenced by the Ontario Power Authority receiving applications for over 9,000 MW of renewable generation (as noted by the Board in EB-2010-0059). It is further noted by the OEB that much of this proposed renewable generation cannot be delivered to Ontario load centers using existing or approved transmission infrastructure. Accordingly, the Board, in proceeding EB-2010-0059, established the *Framework for Transmission Project Development Plans* to facilitate competition in transmission development, as they "believ[e] that economic efficiency will best be pursued by introducing competition in transmission service to the extent possible within the current regulatory and market system." If RES Canada Transmission LP is granted a Transmitter License, it intends to participate in the OEB's transmitter designator process.

The Construction of cost-effective transmission infrastructure in Ontario would not only increase the robustness of the transmission grid in Ontario, but would also reduce energy costs to consumers by facilitating the delivery to market of renewable resources that would be otherwise stranded due to lack of an adequate transmission grid.