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ONTARIO ENERGY BOARD  
OFFICE OF THE BOARD SECRETARY

## Application for an Electricity Wholesaler Licence

### Application Instructions

#### 1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant to purchase electricity or ancillary services in the Independent Electricity System Operator ("IESO") administered markets or directly from a generator, or to sell electricity or ancillary services through the IESO-administered markets or directly to another person, other than a consumer.

#### 2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary  
Ontario Energy Board  
P.O. Box 2319  
2300 Yonge Street, 27<sup>th</sup> Floor  
Toronto, ON M4P 1E4

If you have any questions regarding the completion of this application, please contact the Market Operations Hotline by telephone at 416-440-7604 or 1-888-632-6273 or e-mail at [market.operations@ontarioenergyboard.ca](mailto:market.operations@ontarioenergyboard.ca).

Please note that the Board may require information that is additional or supplementary to the information filed in this form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

The Board's "Performance Standards for Processing Applications" are indicated on the "Corporate Information and Reports" section of the Board's website at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca). Applicants are encouraged to consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. If the submitted application is incomplete, it may be returned by the Board or there may be a delay in processing the application.

#### 3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the ONTARIO ENERGY BOARD. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

#### 4. Confidentiality

Sections 8 to 12 of this application will be treated as confidential. All other information filed as part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 8 to 12, the applicant must follow the procedure set out in the Board's Practice Direction on Confidential Filings.

#### 5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.

The issuance of an electricity wholesaler licence does not guarantee accreditation by the IESO or distributors.

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Ontario Energy Board  
 P.O. Box 2319  
 2300 Yonge Street  
 27<sup>th</sup> Floor  
 Toronto ON M4P 1E4  
 Telephone: 1-888-632-6273  
 Facsimile: (416) 440-7656

Commission de l'énergie  
 l'Ontario  
 C.P. 2319  
 2300, rue Yonge  
 27e étage  
 Toronto ON M4P 1E4  
 Téléphone: 1-888-632-6273  
 Télécopieur: (416) 440-7656



# Application for an Electricity Wholesaler Licence

For Office Use Only	
Application Number	EB - 2012-0222
Date Received	Apr. 25/12

## 1. The Applicant

Legal Name of the Applicant : Lake Huron Primary Water Supply System

Name to Appear on Licence: Lake Huron Primary Water Supply System

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Date of formation or incorporation: 15 September 2000

## 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Henry	Andrew	
Other <input type="radio"/>		Title/Position		
		Division Manager, Regional Water Supply		
		Company Name if different from Name to Appear on Licence		
		Lake Huron and Elgin Area Primary Water Systems		

Licence Primary Contact Address:

235 North Centre Road, Suite 200

City	Province/State	Country	Postal/Zip Code
London	ON	Canada	N5X 4E7
Phone Number	Fax Number	E-mail Address	
519-930-3505 x-1355	519-474-0451	ahenry@london.ca	

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Wholesalers whose offices are not located in Ontario may provide the address of an agent as the address of service.

Mr. <input type="checkbox"/>	Mrs. <input type="checkbox"/>	Last Name	First Name	Initial
Miss <input type="checkbox"/>	Ms. <input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other <input type="checkbox"/>		Title/Position/Company		
		<input type="text"/>		
Address for Service in Ontario (if different than the Licence Primary Contact Address above)				
<input type="text"/>				
City		Province	Postal Code	
<input type="text"/>		ONTARIO	<input type="text"/>	
Phone Number	Fax Number	E-mail Address		
<input type="text"/>	<input type="text"/>	<input type="text"/>		

### 3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input checked="" type="radio"/>	Mrs. <input type="checkbox"/>	Last Name	First Name	Initial
Miss <input type="checkbox"/>	Ms. <input type="checkbox"/>	Van Brunt	Paul	<input type="text"/>
Other <input type="checkbox"/>		Title/Position		
		President		
Company Name if different from Name to Appear on Licence				
VIP Energy Services Inc.				
Application Primary Contact Address:				
410 Conestogo Road, Suite 101				
City	Province/State	Country	Postal/Zip Code	
Waterloo	Ontario	Canada	N2L 4E2	
Phone Number	Fax Number	E-mail Address		
519-886-1097 x231	519-886-7015	pvanbrunt@vipenergy.ca		

### 4. Type of Application

New licence

Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: EW - \_\_\_\_\_ Expiry Date: \_\_\_\_\_

## 5. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca)).

- Yes, provide details of current and expired licences in the table below.  
 No, proceed to 5(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
American Water	Affiliate	EW-2007-0605

(b) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

- Yes, provide details of current and expired licences in the table below  
 No

Licensee Name	Jurisdiction	Business Activity

## 6. Key Individuals

The individuals listed as key individuals must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors, or proprietors. Identify at least two key individuals. (If the applicant has only one key individual in the organization, identify the one key individual.)

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Andrew Henry	Division Manager, Regional Water Supply
John Walker	Operations Manager, Regional Water Supply

### 13. Notice

The Board is authorized, under section 4.14 of the *Ontario Energy Board Act, 1998*, to collect personal information for the purpose of carrying out its duties and exercising its powers under the *Ontario Energy Board Act, 1998* or any other Act.

The information provided both on this form and attached to this form is being collected by the Board for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the Board to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the Board's determination of the application will be collected by the Board.

The public official who can answer questions about the collection of the information is:

Board Secretary  
Ontario Energy Board  
P.O Box 2319  
2300 Yonge Street, 27<sup>th</sup> Floor  
Toronto, ON M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273


Applicants are reminded that the Board is subject to the *Freedom of Information and Protection of Privacy Act* ("FIPPA"). FIPPA addresses circumstances in which the Board may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the Board from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

### 14. Certification and Acknowledgment

I certify that the information contained in this application and in the documents provided are true and accurate.

I understand and acknowledge that, as a licensed electricity wholesaler, I must provide information as the Board may require from time to time.

**Note: The issuance of an electricity wholesaler licence does not guarantee accreditation by the IESO or distributors.**

Print Name of Key Individual	Signature of Key Individual	Date
ANDREW HEURY		11 April 2012

(Must be signed by one of the key individuals identified in section 6)