Ontario Energy Board Commission de l'énergie de l'Ontario



EB-2011-0299 EB-2011-0343

**IN THE MATTER OF** the *Ontario Energy Board Act, 1998*, S.O. 1998, c. 15, (Schedule B);

**AND IN THE MATTER OF** an application by Sunwave Gas & Power Inc. for a gas marketer licence.

**AND IN THE MATTER OF** an application by Sunwave Gas & Power Inc. for an electricity retailer licence.

By delegation, before: Jennifer Lea

## DECISION AND ORDER JUNE 21, 2012

Sunwave Gas & Power Inc. ("Sunwave") filed an application on August 12, 2011 with the Ontario Energy Board under section 50 of the *Ontario Energy Board Act, 1998* (the "Act") for a gas marketer licence. On September 13, 2011, Sunwave filed an application under section 60 of the Act for an electricity retailer licence. The Board assigned the applications file numbers EB-2011-0299 and EB-2011-0343, respectively.

Sunwave filed supplementary information and the applications were completed on December 15, 2011. However, after a written hearing process, but before a decision on the applications was made, Sunwave filed amended applications, which were completed on April 24, 2012. Sunwave asked that the amended applications supersede the applications originally filed. The Board issued Procedural Order No. 1 on May 11, 2012 to make provision for a submission on the merits of the amended applications from Board staff and a reply submission from Sunwave. Both parties filed submissions.

### **Board Findings**

Based on the evidence on the record, I find that it is in the public interest to grant Sunwave a gas marketer licence under Part IV of the Act and an electricity retailer licence under Part V of the Act.

I have considered the amended applications and find that the evidence in these applications supports the granting of the licences for the standard five year term without any special conditions.

### IT IS THEREFORE ORDERED THAT:

- 1. The application for a gas marketer licence is granted, on such conditions as are contained in the attached licence.
- 2. The application for an electricity retailer licence is granted, on such conditions as are contained in the attached licence.

DATED at Toronto, June 21, 2012

### **ONTARIO ENERGY BOARD**

Original Signed By

Jennifer Lea Counsel, Special Projects



## **Electricity Retailer Licence**

## ER-2011-0343

## Sunwave Gas & Power Inc.

Valid Until

June 20, 2017

Original Signed By

Jennifer Lea Counsel, Special Projects Ontario Energy Board Date of Issuance: June 21, 2012

Ontario Energy Board P.O. Box 2319 2300 Yonge Street 27th. Floor Toronto, ON M4P 1E4 Commission de l'énergie de l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4

	Table of Contents	Page No.
1	Definitions	1
2	Interpretation	1
3	Authorization	1
4	Obligation to Comply with Legislation, Regulations and Market Rules	2
5	Obligation to Comply with Codes	2
6	Agent for Service	2
7	Market Power Mitigation Rebates	2
8	Provision of Information to the Board	2
9	Customer Complaint and Dispute Resolution	3
10	Term of Licence	3
11	Fees and Assessments	3
12	Communication	3
13	Copies of the Licence	3
SCHEDULE APPENDIX		

#### 1 Definitions

In this Licence:

"Act" means the Ontario Energy Board Act, 1998, S.O. 1998, c. 15, Schedule B;

"**consumer**" means a person who uses, for the person's own consumption, electricity that the person did not generate;

"Electricity Act" means the *Electricity Act*, 1998, S.O. 1998, c. 15, Schedule A;

"ECPA" means the Energy Consumer Protection Act, 2010, S.O. 2010, c. 8;

"Licensee" means Sunwave Gas & Power Inc;

"Market Rules" means the rules made under section 32 of the Electricity Act; and

"regulation" means a regulation made under the Act, the Electricity Act or the ECPA;

"**residential or small business consumer**" means a consumer who annually uses less than 150,000 kWh of electricity;

For the purpose of this Licence, the terms "retailer" and "retailing" do not apply to a Licensed Distribution Company fulfilling its obligations under section 29 of the Electricity Act.

#### 2 Interpretation

2.1 In this Licence, words and phrases shall have the meaning ascribed to them in the Act, the Electricity Act, or the ECPA. Words or phrases importing the singular shall include the plural and vice versa. Headings are for convenience only and shall not affect the interpretation of this Licence. Any reference to a document or a provision of a document includes an amendment or supplement to, or a replacement of, that document or that provision of that document. In the computation of time under this Licence, where there is a reference to a number of days between two events, they shall be counted by excluding the day on which the first event happens and including the day on which the second event happens. Where the time for doing an act expires on a holiday, the act may be done on the next day that is not a holiday.

#### 3 Authorization

- 3.1 The Licensee is authorized, under Part V of the Act and subject to the terms and conditions set out in this Licence:
  - a) to sell or offer to sell electricity to a consumer;
  - b) to act as the agent or broker for a retailer with respect to the sale or offering for sale of electricity; and
  - c) to act or offer to act as the agent or broker for a consumer with respect to the sale or offering for sale of electricity.

3.2 The Licensee is authorized to conduct business in the name under which this Licence is issued, or any trade name(s) listed in Schedule 1.

#### 4 Obligation to Comply with Legislation, Regulations and Market Rules

- 4.1 The Licensee shall comply with all applicable provisions of the Act, the Electricity Act, the ECPA and regulations under these Acts, except where the Licensee has been exempted from such compliance by regulation.
- 4.2 The Licensee shall comply with all applicable Market Rules.

#### 5 Obligation to Comply with Codes

- 5.1 The Licensee shall at all times comply with the following Codes (collectively the "Codes") approved by the Board, except where the Licensee has been specifically exempted from such compliance by the Board:
  - a) the Electricity Retailer Code of Conduct; and
  - b) the Retail Settlement Code.
- 5.2 The Licensee shall:
  - a) make a copy of the Codes available for inspection by members of the public at its head office and regional offices during normal business hours; and
  - b) provide a copy of the Codes to any person who requests it. The Licensee may impose a fair and reasonable charge for the cost of providing copies.

#### 6 Agent for Service

6.1 If the Licensee does not have an office or other place of business in Ontario, the Licensee shall ensure the continuing appointment at all times of an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario, as the Licensee's agent for service in Ontario on whom service of process, notices or other documentation may be made.

#### 7 Market Power Mitigation Rebates

7.1 The Licensee shall comply with the pass through of Ontario Power Generation rebate conditions set out in Appendix A of this Licence.

#### 8 Provision of Information to the Board

- 8.1 The Licensee shall maintain records of and provide, in the manner and form determined by the Board, such information as the Board may require from time to time.
- 8.2 Without limiting the generality of paragraph 8.1, the Licensee shall notify the Board of any material change in circumstances that adversely affects or is likely to adversely affect the

business, operations or assets of the Licensee as soon as practicable, but in any event no more than twenty (20) days past the date upon which such change occurs.

#### 9 Customer Complaint and Dispute Resolution

9.1 The Licensee shall participate in a consumer complaints resolution process selected by the Board.

#### 10 Term of Licence

10.1 This Licence shall take effect on June 21, 2012 and expire on June 20, 2017. The term of this Licence may be extended by the Board.

#### 11 Fees and Assessments

11.1 The Licensee shall pay all fees charged and amounts assessed by the Board.

#### 12 Communication

- 12.1 The Licensee shall designate a person that will act as a primary contact with the Board on matters related to this Licence. The Licensee shall notify the Board promptly should the contact details change.
- 12.2 All official communication relating to this Licence shall be in writing.
- 12.3 All written communication is to be regarded as having been given by the sender and received by the addressee:
  - a) when delivered in person to the addressee by hand, by registered mail, or by courier;
  - b) ten (10) business days after the date of posting if the communication is sent by regular mail; or
  - c) when received by facsimile transmission by the addressee, according to the sender's transmission report.

#### 13 Copies of the Licence

- 13.1 The Licensee shall:
  - a) make a copy of this Licence available for inspection by members of the public at its head office and regional offices during normal business hours; and
  - b) provide a copy of this Licence to any person who requests it. The Licensee may impose a fair and reasonable charge for the cost of providing copies.

#### SCHEDULE 1 AUTHORIZED TRADE NAMES

- 1. Ozz Clean Energy
- 2. Ozz Solar
- 3. Sunwave Solar
- 4. Sunwave Gas
- 5. Sunwave Gas & Power Inc.

### SCHEDULE 2 SPECIAL CONDITIONS

None

#### APPENDIX A

#### MARKET POWER MITIGATION REBATES

"OPGI" means Ontario Power Generation Inc.

A retailer shall promptly pass through a portion of the rebate received from a distributor to those consumers who are not receiving the fixed price under sections 79.4, 79.5 and 79.16 of the *Ontario Energy Board Act, 1998* and who are served by the retailer but who have not assigned the benefit of the rebate payment to the retailer.

If requested in writing by OPGI, the retailer shall ensure that all rebates paid to consumers are identified as coming from OPGI in the following form on or with each bill or cheque.

#### "ONTARIO POWER GENERATION INC. rebate"

A retailer shall promptly return to a distributor any portion of the rebate received from the distributor which relates to low-volume or designated consumers receiving the fixed commodity price for electricity under sections 79.4, 79.5 and 79.16 of the *Ontario Energy Board Act, 1998*, who are served by the retailer but who have not assigned the benefit of the rebate payment to the retailer or another party.

The amounts paid out to consumers or returned to the distributor shall be based on energy consumed and calculated in accordance with the rules set out in the Retail Settlement Code.

Amounts payable by the retailer may be made by way of set off at the discretion of the retailer.

#### **ONTARIO POWER GENERATION INC. REBATES**

For the payments that relate to the period from May 1, 2006 to April 30, 2009, the rules set out below shall apply.

A retailer shall promptly pass through a portion of the rebate received from a distributor to those consumers who are not receiving the fixed price under sections 79.4, 79.5 and 79.16 of the *Ontario Energy Board Act, 1998* and who are served by the retailer but who have not assigned the benefit of the rebate payment to the retailer.

If requested in writing by OPGI, the retailer shall ensure that all rebates paid to consumers are identified as coming from OPGI in the following form on or with each bill or cheque.

#### "ONTARIO POWER GENERATION INC. rebate"

The amounts paid out to consumers or returned to the distributor shall be based on energy consumed and calculated in accordance with the rules set out in the Retail Settlement Code.

Amounts payable by the retailer may be made by way of set off at the discretion of the retailer.



## **Gas Marketer Licence**

## GM-2011-0299

# Sunwave Gas & Power Inc.

Valid Until

June 20, 2017

Original Signed By

Jennifer Lea Counsel, Special Projects Ontario Energy Board Date of Issuance: June 21, 2012

Ontario Energy BoardCorP.O. Box 2319C.P2300 Yonge Street23027th. Floor27eToronto, ON M4P 1E4Tor

Commission de l'énergie de l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4

### Table of Contents

### Page No.

1	Definitions1
2	Interpretation1
3	Authorization1
4	Obligation to Comply with Legislation and Regulations1
5	Obligation to Comply with Codes2
6	Agent for Service2
7	Provision of Information to the Board2
8	Customer Complaint and Dispute Resolution2
9	Term of Licence2
10	Fees and Assessments2
11	Communication2
12	Copies of the Licence
SCHEDULE 1	AUTHORIZED TRADE NAMES

#### 1 Definitions

In this Licence:

"Act" means the Ontario Energy Board Act, 1998, S.O. 1998, c. 15, Schedule B;

"ECPA" means the Energy Consumer Protection Act, 2010, S.O. 2010, c. 8;

"**low-volume consumer**" means a person who annually uses less than 50,000 cubic meters of gas;

"Licensee" means Sunwave Gas & Power Inc.;

"Regulation" means regulations made under the Act or the ECPA.

#### 2 Interpretation

2.1 In this Licence, words and phrases shall have the meaning ascribed to them in the Act or the ECPA. Words or phrases importing the singular shall include the plural and vice versa. Headings are for convenience only and shall not affect the interpretation of this Licence. Any reference to a document or a provision of a document includes an amendment or supplement to, or a replacement of, that document or that provision of that document. In the computation of time under this Licence, where there is a reference to a number of days between two events, they shall be counted by excluding the day on which the first event happens and including the day on which the second event happens. Where the time for doing an act expires on a holiday, the act may be done on the next day that is not a holiday.

#### 3 Authorization

- 3.1 The Licensee is authorized, under Part IV of the Act, and subject to the terms and conditions set out in this Licence:
  - a) to sell or offer to sell gas to a low-volume consumer;
  - b) to act as the agent or broker for seller of gas to a low-volume consumer; and
  - c) to act or offer to act as the agent or broker of a low-volume consumer in the purchase of gas.
- 3.2 The Licensee is authorized to conduct business in the name under which this Licence is issued, or any trade name(s) listed in Schedule 1.

#### 4 Obligation to Comply with Legislation and Regulations

4.1 The Licensee shall comply with all applicable provisions of the Act, the ECPA and the Regulations except where the Licensee has been exempted from such compliance by regulation.

#### 5 Obligation to Comply with Codes

- 5.1 The Licensee shall at all times comply with all applicable provisions of the Code of Conduct for Gas Marketers, as issued and amended by the Board from time to time under Part III of the Act.
- 5.2 This Licensee shall:
  - a) make a copy of the Code available for inspection by members of the public at its head office and regional offices during normal business hours; and
  - b) provide a copy of the Code to any person who requests it. The Licensee may impose a fair and reasonable charge for the cost of providing copies.

#### 6 Agent for Service

6.1 If the Licensee does not have an office or other place of business in Ontario, the Licensee shall ensure the continuing appointment at all times of an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario, as the Licensee's agent for service in Ontario on whom service of process, notices or other documentation may be made.

#### 7 Provision of Information to the Board

- 7.1 The Licensee shall maintain records of and provide, in the manner and form determined by the Board, such information as the Board may require from time to time.
- 7.2 Without limiting the generality of paragraph 7.1, the Licensee shall notify the Board of any material change in circumstances that adversely affects or is likely to adversely affect the business, operations or assets of the Licensee as soon as practicable, but in any event no more than twenty (20) days past the date upon which such change occurs.

#### 8 Customer Complaint and Dispute Resolution

8.1 The Licensee shall participate in a consumer complaints resolution process selected by the Board.

#### 9 Term of Licence

9.1 This Licence shall take effect on June 21, 2012 and expire on June 20, 2017. The term of this Licence may be extended by the Board.

#### 10 Fees and Assessments

10.1 The Licensee shall pay all fees charged and amounts assessed by the Board.

#### 11 Communication

11.1 The Licensee shall designate a person that will act as a primary contact with the Board on matters related to this Licence. The Licensee shall notify the Board promptly should the contact details change.

- 11.2 All official communication relating to this Licence shall be in writing.
- 11.3 All written communication is to be regarded as having been given by the sender and received by the addressee:
  - a) when delivered in person to the addressee by hand, by registered mail, or by courier;
  - b) ten (10) business days after the date of posting, if the communication is sent by regular mail; or
  - c) when received by facsimile transmission by the addressee, according to the sender's transmission report.

#### 12 Copies of the Licence

- 12.1 The Licensee shall:
  - a) make a copy of this Licence available for inspection by members of the public at its head office and regional offices during normal business hours; and
  - b) provide a copy of this Licence to any person who requests it. The Licensee may impose a fair and reasonable charge for the cost of providing copies.

#### SCHEDULE 1 AUTHORIZED TRADE NAMES

- 1. Ozz Clean Energy
- 2. Ozz Solar
- 3. Sunwave Solar
- 4. Sunwave Gas
- 5. Sunwave Gas & Power Inc.