Ontario Energy Board P.O. Box 2319 2300 Yonge Street 27th Floor Toronto ON M4P 1E4 Telephone: 1-888-632-6273 Facsimile: (416) 440-7656 Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656



Application for an Electricity Retailer Licence

| Fo | r Office Use Only |
|--------------------|-------------------|
| Application Number | EB-2013-0245 |
| Date Received | A.com 25/13 |

1. The Applicant

| Legal Name of the Applicant : | Hamilton Hydro Services Inc. | | |
|-------------------------------|------------------------------|--|--|
| | | | |

Name to Appear on Licence:

Hamilton Hydro Services Inc.

 \bowtie Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

- ⊂ Sole Proprietor
- Partnership
- Corporation
- Other (describe)

| Date of formation or incorporation: | | June 6, 2000 | |
|--------------------------------------|---------|--------------|--|
| Place of formation or incorporation: | | Hamilton | |
| Province/state | Ontario | | |
| Country | Canada | | |

If the applicant is an individual, the applicant must be at least 18 years old. If the applicant is an individual, is he or she at least 18 years old?

C Yes

CNO

Not applicable - not an individual

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2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

| | Last Name | First Name | Initial |
|-------------------------|-------------------------------------|-------------------------|-----------------|
| Mr. 🖝 Mrs. 🤇 | Ron | Harten | |
| Miss (Ms. (| Title/Position | | |
| Other C | General Manager | | |
| | Company Name if different from Name | me to Appear on Licence | |
| | Hamilton Community Energy | | |
| Licence Primary Contact | t Address: | | |
| 79 Bay Street North | | | |
| City | Province/State | Country | Postal/Zip Code |
| Hamilton | Ontario | Canada | L8R 3P8 |
| Phone Number | Toll Free (if available) Fax Number | E-mail Address | |
| 905-521-4903 | 905-317-4775 | ron.harten@hamilton | ce.com |

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

| | Last Name | | First Name | Initial |
|---------------------------|-----------------------------|------------------------|----------------------|---------|
| Mr. C Mrs. C | | | | |
| Miss C Ms. C | Title/Position | | | |
| Other C | | | | |
| | Company Name if | different from Name to | o Appear on Licence | |
| | | | | |
| Address for Service in On | tario (if different than th | e Licence Primary Co | ntact Address above) | |
| | | | | |
| City | | Province | Postal Code |] |
| | | ONTARIO | | |
| L |] | | [] | |
| Phone Number | Toll Free (if available) | Fax Number | E-mail Address | |
| | | |] | |

3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

| Mr. C | Mrs. 🤇 | Last Name | First Name | Initial |
|-------------------|---------------|-----------------------------------|-----------------------------|-----------------|
| Miss C Other C | Ms. C | Title/Position | | ······ |
| | | Company Name if different fro | m Name to Appear on Licence | |
| Application P | rimary Contac | ct Address: | | |
| City | | Province/State | Country | Postal/Zip Code |
| Phone Numb | er T | oll Free (if available) Fax Numbe | er E-mail Address | |

4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario. If the applicant intends to retail electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity), the applicant should also provide a telephone number which may be reached by the general public without a charge.

| | | Last Name | | First Name | Initial |
|--------------|------------|---------------------|---------------------------|------------------------|---------|
| Mr. 🔎 | Mrs. 🦳 | Ron | | Harten | |
| Miss 🤇 | Ms. C | Title/Position | າ | | |
| Other C | | General Ma | nager | | |
| | | Company N | ame if different from Nam | e to Appear on Licence | |
| | | Hamiton Co | mmunity Energy | | |
| Customer Co | mplaint or | Inquiries Primary C | ontact Address: | | |
| 79 Bay Stree | t North | | | | |
| City | | | Province | Postal Code | |
| Hamilton | | | ONTARIO | L8R 3P8 | |
| Phone Numb | er | Toll Free | Fax Number | E-mail Address | |
| 905-521-490 | 3 | | 905-317-4775 | ron.harten@hamiltonce | .com |

5. Type of Application

- New licence
- Renewal, please provide the licence number and expiry date of the existing licence
 Licence Number: ER- 2008-0294
 Expiry Date: November 20, 2013

6. Trade Names

The electricity retailer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed electricity retailer.

Does the applicant intend to use trade names?

- Yes, provide a list of trade names the applicant intends to use in the space provided below.
 - No, proceed to 7.

Hamilton Community Energy

7. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at <u>www.e-laws.gov.on.ca</u>).
 - Yes, provide details of current and expired licences in the table below.
 - No, proceed to 7(b).

| Licensee Name | Relation to the applicant (e.g., applicant itself, affiliate, partneretc.) | Licence Number |
|------------------------------|--|----------------|
| Hamilton Hydro Inc. | Distributor | EDC-1999-0115 |
| Hamilton Hydro Inc. | Distributor | EDC-2002-0566 |
| Hamilton Hydro Services Inc. | Generator | EG-2002-0383 |
| Hamilton Hydro Services Inc. | Retailer | ER-2002-0384 |
| Hamilton Hydro Services Inc. | Retailer | ER-2008-0294 |
| | | |

(b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the Ontario Energy Board?

Yes, provide details in the table below.

 \boxtimes No, proceed to 7(c).

| Applicant Name | Type of Application | Ontario Energy Board File Number (if applicable) |
|----------------|---------------------|---|
| | | |
| | | |
| | | |

(c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

Yes, provide details of current and expired licences in the table below

No No

| Company Name | Jurisdiction | Business Activity | Name of Licensing Body | Licence/Registration No. |
|--------------|--------------|-------------------|------------------------|--------------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain.

| Name of Key Individual | Title/position within applicant's business (or identify company if not the applicant's business) | | |
|------------------------|--|--|--|
| Alex Bystrin | President & CEO | | |
| Jacqui Blackman | Director of Finance | | |
| Ron Harten | General Manager | | |
| | | | |

9. Intended Services and Markets

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| (a) | Intended Services: please identify which of the following services the applicant intends to offer. You may select as many as applicable. |
|-------------|--|
| | to sell or offer to sell electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity) in Ontario. |
| \boxtimes | to sell or offer to sell electricity to large-volume consumers (annually consuming more than 150,000 kilowatt hours of electricity) in Ontario. |
| | to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to large-volume consumers, or as an agent or broker for large-volume consumers with respect to the sale or offering for sale of electricity in Ontario. |
| | to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of electricity in Ontario. |
| Is the | e applicant currently providing any of the above listed services? |
| \boxtimes | Yes, provide a list of the services the applicant is currently providing. |
| | Electricity delivered directly to City of Hamilton without using the distribution or transmission system. Any electricity |
| | in excess of the City's load requirements will be supplied to the distribution system and settled at the retail level. |
| | No, indicate when the applicant intends to provide these services. |
| (b) | Wholesale Market Participation: does the applicant intend to operate in the IESO-administered markets and settle bilateral contracts through the IESO? |
| | Yes |
| \boxtimes | No, please explain how the applicant intends to participate. |
| | |
| (c) | Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by its customers through a distributor's settlement system? |
| | Yes |
| \square | No, please explain how the applicant intends to participate. |
| | |

(g) I understand and acknowledge that, as a licensed electricity retailer, I must enter into a service agreement with the distributor before registering customers in a distributor's service area.

| Name | Signature | Date |
|-----------------|-----------|--------------|
| Alex Bystrin | ABL | - Fune 24/13 |
| Ron Harten | /2 Com | June 24/13 |
| Jacqui Blackman | Alachaon. | June 24/13 |
| | | |
| | | |

Must be signed by:

(a) the proprietor or by at least one partner, officer or director of the organization; and

(b) each key individual identified in section 8(b)