

**Ontario Energy
Board**
P.O. Box 2319
27th. Floor
2300 Yonge Street
Toronto ON M4P 1E4
Telephone: 416- 481-1967
Facsimile: 416- 440-7656
Toll free: 1-888-632-6273

**Commission de l'énergie
de l'Ontario**
C.P. 2319
27e étage
2300, rue Yonge
Toronto ON M4P 1E4
Téléphone: 416- 481-1967
Télécopieur: 416- 440-7656
Numéro sans frais: 1-888-632-6273



BY E-MAIL

October 22, 2013

Amalia Berrios
Counsel
Amerex Brokers LLC
1 Sugar Creek Center Blvd.
Suite 700
Sugar Land TX 77478

Dear Ms. Berrios:

**Re: Amerex Brokers LLC
Applications for Gas Marketer and Electricity Retailer Licences
Board File Numbers EB-2013-0329 and EB-2013-0330**

The Board has reviewed your application for a gas marketer licence and your application for an electricity retailer licence. Your applications are currently incomplete and cannot be processed until the following has been filed:

- Section 12 (Finance) – You have provided unaudited financial statements for the last two years, however, the unaudited financial statements have not been signed by one key individual.

Please file unaudited financial statements for the last two years that are signed by one key individual

- Section 15 (Key Individual Information) – You have provided a copy of a driver's licence for Mark Gaynor and Paul Puchot, and a copy of a passport for Brian Dowell to support their identity. In addition, you have provided a copy of each key individual's business card. The business card filed for each key individual is not acceptable as a second piece of identification.

Please file a copy of a second piece of identification to support the identity of each key individual, issued by a federal or provincial/state authority, which includes the key individual's name and signature.

The Board will resume processing your applications once the above information has been filed. If the above information is not filed within 30 days of the date of this letter, the Board may close the files for these applications.

Upon receipt of the required information the Board will issue an acknowledgement letter indicating the expected date on which a decision and/or order may be rendered.

Please file two paper copies of the additional material for each application and an electronic version in Word and in searchable Adobe Acrobat (if available) with the Board Secretary. Electronic copies may be submitted on CD or by e-mail to boardsec@ontarioenergyboard.ca.

Please direct any questions relating to this application to Vince Mazzone, Advisor at +1 (416) 544-5159 or e-mail, Vince.Mazzone@ontarioenergyboard.ca.

Yours truly,

Original signed by

John Pickernell
Assistant Board Secretary