



Suncor Energy Marketing Inc.  
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Tel 403-296-8000  
Fax 403-296-3030  
www.suncor.com

EB-2014-0184

April 16, 2014

Ontario Energy Board *R 22/4/14*  
P.O. Box 2319  
2300 Yonge Street, 27<sup>th</sup> Floor  
Toronto, ON M4P 1E4

**RECEIVED**

**APR 22 2014**

**ONTARIO ENERGY BOARD**

Attention: Board Secretary

Dear Sir/Madam:

**RE: Suncor Energy Marketing Inc. Application for an Electricity Wholesaler License**

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Please find enclosed herewith two original copies of the completed above-noted form along with the checklist and attachment sheet.

Yours truly,  
SUNCOR ENERGY MARKETING INC.

Per: John Maher  
Regulatory Manager

/ry  
encls.



Ontario Energy Board  
Commission de l'énergie de l'Ontario

## Application for an Electricity Wholesaler Licence

### Application Instructions

#### 1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant to purchase electricity or ancillary services in the Independent Electricity System Operator ("IESO") administered markets or directly from a generator, or to sell electricity or ancillary services through the IESO-administered markets or directly to another person, other than a consumer.

#### 2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary  
Ontario Energy Board  
P.O Box 2319  
2300 Yonge Street, 27<sup>th</sup> Floor  
Toronto, ON M4P 1E4

If you have any questions regarding the completion of this application, please contact the Market Operations Hotline by telephone at 416-440-7604 or 1-888-632-6273 or e-mail at [market.operations@ontarioenergyboard.ca](mailto:market.operations@ontarioenergyboard.ca).

Please note that the Board may require information that is additional or supplementary to the information filed in this form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

The Board's "Performance Standards for Processing Applications" are indicated on the "Corporate Information and Reports" section of the Board's website at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca). Applicants are encouraged to consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. If the submitted application is incomplete, it may be returned by the Board or there may be a delay in processing the application.

#### 3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the ONTARIO ENERGY BOARD. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

#### 4. Confidentiality

Sections 8 to 12 of this application will be treated as confidential. All other information filed as part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 8 to 12, the applicant must follow the procedure set out in the Board's Practice Direction on Confidential Filings.

#### 5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.

The issuance of an electricity wholesaler licence does not guarantee accreditation by the IESO or distributors.

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 P.O. Box 2319  
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 Telephone: 1-888-632-6273  
 Facsimile: (416) 440-7656

Commission de l'énergie l'Ontario  
 C.P. 2319  
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 27<sup>e</sup> étage  
 Toronto ON M4P 1E4  
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**ONTARIO ENERGY BOARD**



## Application for an Electricity Wholesaler Licence

For Office Use Only	
Application Number	EB - 2014-0184
Date Received	Apr. 22/14

### 1. The Applicant

Legal Name of the Applicant : Suncor Energy Marketing Inc.

Name to Appear on Licence: Suncor Energy Marketing Inc.

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Date of formation or incorporation: August 3, 1988

### 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Maher	John	J
Other <input type="radio"/>		Title/Position	Regulatory Manager	
		Company Name if different from Name to Appear on Licence	Suncor Energy Marketing Inc.	
Licence Primary Contact Address:				
P.O. Box 2844, 150 - 6 Avenue S.W., SECW22-83				
City	Province/State	Country	Postal/Zip Code	
Calgary	AB	Canada	T2P 3E3	
Phone Number	Fax Number	E-mail Address		
+1(403)296-8860	403 724 3729	jjmaher@suncor.com		

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Wholesalers whose offices are not located in Ontario may provide the address of an agent as the address of service.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Francis	Dereck	F
Other <input type="radio"/>		Title/Position/Company		
		Senior Marketer		
Address for Service in Ontario (if different than the Licence Primary Contact Address above)				
PO Box 307, 1900 River Road				
City		Province	Postal Code	
Sarnia		ONTARIO	N7T 7J3	
Phone Number		Fax Number	E-mail Address	
519-383-3667		519-383-1404	DFrancis@Suncor.com	

### 3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>			
Other <input type="radio"/>		Title/Position		
Company Name if different from Name to Appear on Licence				
Application Primary Contact Address:				
City		Province/State	Country	Postal/Zip Code
Phone Number		Fax Number	E-mail Address	

### 4. Type of Application

New licence

Renewal, please provide the licence number and expiry date of the existing licence

    Licence Number: EW - \_\_\_\_\_ Expiry Date: \_\_\_\_\_

## 5. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca)).

- Yes, provide details of current and expired licences in the table below.  
 No, proceed to 5(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
Suncor Energy Products Inc.	Affiliate	EW-2010-0285
Suncor Energy Products Partnership	Affiliate	EW-2010-0327
Suncor Energy Products Inc.	Affiliate	EG-2007-0015

(b) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

- Yes, provide details of current and expired licences in the table below  
 No

Licensee Name	Jurisdiction	Business Activity
Suncor Energy Marketing Inc.		Please see attached cover letter with
		attachment page.

## 6. Key Individuals

The individuals listed as key individuals must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors, or proprietors. Identify at least two key individuals. (If the applicant has only one key individual in the organization, identify the one key individual.)

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
John Maher	Regulatory Manager
Duncan Croasdale	Manager Power Marketing & Trading

## 7. Intended Markets and Services

Please identify the applicant's intended markets and services. You may select as many as are applicable.

- To purchase electricity or ancillary services in the IESO-administered markets
- To purchase electricity or ancillary services directly from a generator
- To sell electricity or ancillary services in the IESO-administered markets
- To sell electricity or ancillary services to wholesalers
- To sell electricity or ancillary services to distributors (i.e., standard supply services)
- To sell electricity or ancillary services to electricity retailers
- To sell electricity or ancillary services to persons outside of the Ontario market
- To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence.  
The application form can be found at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

Note that applicants who purchase or sell in the IESO-administered markets must comply with the Market Rules and meet the IESO's prudential requirement.