

Ontario Energy
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JUL 22 2014

ONTARIO ENERGY BOARD



Application for an Electricity Wholesaler Licence

For Office Use Only	
Application Number	EB - 2014-0246
Date Received	July 22/14

1. The Applicant

Legal Name of the Applicant : Northern States Power Company, a Minnesota Corporation

Name to Appear on Licence: Northern States Power Company, a Minnesota Corporation

☒ Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Date of formation or incorporation: March 8, 2000

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

Mr. ☒	Mrs. ☐	Last Name	First Name	Initial
Miss ☐	Ms. ☐	Dowdy	Timothy	W
Other ☐		Title/Position		
		Assistant General Counsel		
		Company Name if different from Name to Appear on Licence		
Licence Primary Contact Address:				
1800 Larimer Street, Floor 11				
City	Province/State	Country	Postal/Zip Code	
Denver	CO	United States	80202	
Phone Number	Fax Number	E-mail Address		
303-294-2567	303-294-2988	timothy.w.dowdy@xcelenergy.com		

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Wholesalers whose offices are not located in Ontario may provide the address of an agent as the address of service.

Mr. ☒	Mrs. ☐	Last Name	First Name	Initial
Miss ☐	Ms. ☐	Jameson	Grant	A
Other ☐		Title/Position/Company		
		Agent		

Address for Service in Ontario (if different than the Licence Primary Contact Address above)

45 O'Connor Street

City	Province	Postal Code
Ottawa	ONTARIO	K1P 1A4

Phone Number	Fax Number	E-mail Address
1-800-927-9800	1-302-636-5454	sop@cscinfo.com

3. Application Primary Contact

☒ Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. ☐	Mrs. ☐	Last Name	First Name	Initial
Miss ☐	Ms. ☐			
Other ☐		Title/Position		
		Company Name if different from Name to Appear on Licence		

Application Primary Contact Address:

City	Province/State	Country	Postal/Zip Code

Phone Number	Fax Number	E-mail Address

4. Type of Application

☒ New licence

☐ Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: EW - _____ Expiry Date: _____

5. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca).

- ☒ Yes, provide details of current and expired licences in the table below.
☐ No, proceed to 5(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
Northern States Power Company	applicant itself	EW-2003-0183

- (b) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

- ☒ Yes, provide details of current and expired licences in the table below
☐ No

Licensee Name	Jurisdiction	Business Activity
Northern States Power Company	Across the United States	Fully Integrated Utility Operations

6. Key Individuals

The individuals listed as key individuals must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors, or proprietors. Identify at least two key individuals. (If the applicant has only one key individual in the organization, identify the one key individual.)

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Michael Flexter	Manager Real Time Trading
John Salazar	Director Energy Trading

7. Intended Markets and Services

Please identify the applicant's intended markets and services. You may select as many as are applicable.

- ☒ To purchase electricity or ancillary services in the IESO-administered markets
- ☐ To purchase electricity or ancillary services directly from a generator
- ☒ To sell electricity or ancillary services in the IESO-administered markets
- ☐ To sell electricity or ancillary services to wholesalers
- ☐ To sell electricity or ancillary services to distributors (i.e., standard supply services)
- ☐ To sell electricity or ancillary services to electricity retailers
- ☐ To sell electricity or ancillary services to persons outside of the Ontario market
- ☐ To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence.
The application form can be found at www.ontarioenergyboard.ca.

Note that applicants who purchase or sell in the IESO-administered markets must comply with the Market Rules and meet the IESO's prudential requirement.