



July 23, 2014

ER-2014-0250

Board Secretary  
Ontario Energy Board  
P.O Box 2319  
2300 Yonge Street, 27th Floor  
Toronto, ON M4P 1E4

*Handwritten signature and date: # 24/7/14*

**RECEIVED**

JUL 24 2014

ONTARIO ENERGY BOARD

**RE: Patriot Energy Group, Inc.'s Application for an Electricity Retailer Licence**

Dear Board Secretary,

Enclosed, please find two complete copies with original signatures of Patriot Energy Group, Inc.'s Application for an Electricity Retailer Licence, the required checklist, and a check payable in Canadian funds. All attachments have also been included in duplicate.

Please note that our financial statements are reviewed by a licensed CPA every two years (although not audited). As such, we have included the CPA-reviewed financial statements for 2011 and 2012, signed by our Controller Shannon Gagne (a key individual). As we have not yet had our 2013 financial statements reviewed by our CPA, we have included the Profit & Loss statement and Balance Sheet for that year, also signed by our Controller Shannon Gagne. We believe that this should satisfy the requirements of Section 12.

Please contact me with any questions.

Sincerely,

A handwritten signature in blue ink, appearing to be 'AC'.

**Anna Cvitkovic**  
**Patriot Energy Group, Inc.**  
**Regulatory Compliance and Legal Specialist**  
**781-376-1888 Ext. 207**  
**acvitkovic@patriotenergygroup.com**

Ontario Energy Board  
P.O. Box 2319  
2300 Yonge Street  
27<sup>th</sup> Floor  
Toronto ON M4P 1E4  
Telephone: 1-888-632-6273  
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Commission de l'énergie  
l'Ontario  
C.P. 2319  
2300, rue Yonge  
27<sup>e</sup> étage  
Toronto ON M4P 1E4  
Téléphone: 1-888-632-6273  
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## Application for an Electricity Retailer Licence

For Office Use Only	
Application Number	EB - 2014-0250
Date Received	July 24/14

### 1. The Applicant

Legal Name of the Applicant : PATRIOT ENERGY GROUP, INC.

Name to Appear on Licence: PATRIOT ENERGY GROUP, INC.

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

Sole Proprietor

Partnership

Corporation

Other (describe) \_\_\_\_\_

Date of formation or incorporation: 6/21/2002

Place of formation or incorporation: WOBURN

Province/state MASSACHUSETTS

Country UNITED STATES

If the applicant is an individual, the applicant must be at least 18 years old.

If the applicant is an individual, is he or she at least 18 years old?

Yes

No

Not applicable - not an individual

## 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input checked="" type="radio"/>	Ms. <input type="radio"/>	CVITKOVIC	ANNA	
Other <input type="radio"/>		Title/Position		
		REGULATORY COMPLIANCE / LEGAL SPECIALIST		
		Company Name if different from Name to Appear on Licence		

Licence Primary Contact Address:

1 ROUNDER WAY SUITE 200			
City	Province/State	Country	Postal/Zip Code
BURLINGTON	MA	US	01803
Phone Number	Toll Free (if available)	Fax Number	E-mail Address
781-376-1888x207		781-376-0519	legal@patriotenergygroup.com

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input checked="" type="radio"/>	WIEBE	MARILYN	
Other <input type="radio"/>		Title/Position		
		AGENT		
		Company Name if different from Name to Appear on Licence		
		INTERNATIONAL BUSINESS RESOURCES (CANADA) CORP.		

Address for Service in Ontario (if different than the Licence Primary Contact Address above)

2050 Sheppard Ave. E., Suite 207			
City	Province	Postal Code	
N YORK	ONTARIO	M2J 5B3	
Phone Number	Toll Free (if available)	Fax Number	E-mail Address
416-499-9506		416-499-6662	mwiebe@ibrcc.com

### 3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="checkbox"/>	Mrs. <input type="checkbox"/>	Last Name	First Name	Initial
Miss <input type="checkbox"/>	Ms. <input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other <input type="checkbox"/>	<input type="text"/>	Title/Position		
		<input type="text"/>		
		Company Name if different from Name to Appear on Licence		
		<input type="text"/>		
Application Primary Contact Address:				
<input type="text"/>				
City	Province/State	Country	Postal/Zip Code	
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
Phone Number	Toll Free (if available)	Fax Number	E-mail Address	
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	

### 4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario. If the applicant intends to retail electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity), the applicant should also provide a telephone number which may be reached by the general public without a charge.

Mr. <input type="checkbox"/>	Mrs. <input type="checkbox"/>	Last Name	First Name	Initial
Miss <input type="checkbox"/>	Ms. <input checked="" type="checkbox"/>	CONNOLLY	MOLLY	<input type="text"/>
Other <input type="checkbox"/>	<input type="text"/>	Title/Position		
		CUSTOMER SERVICE MANAGER		
		Company Name if different from Name to Appear on Licence		
		<input type="text"/>		
Customer Complaint or Inquiries Primary Contact Address:				
2050 Sheppard Ave. E., Suite 207				
City	Province	Postal Code		
N YORK	ONTARIO	M2J 5B3		
Phone Number	Toll Free	Fax Number	E-mail Address	
647-479-8595	<input type="text"/>	781-376-0519	clientcare@patriotenergygroup.com	

## 5. Type of Application

- New licence  
 Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: ER- \_\_\_\_\_ Expiry Date: \_\_\_\_\_

## 6. Trade Names

The electricity retailer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed electricity retailer.

Does the applicant intend to use trade names?

- Yes, provide a list of trade names the applicant intends to use in the space provided below.  
 No, proceed to 7.


## 7. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca)).

- Yes, provide details of current and expired licences in the table below.  
 No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number

(b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the Ontario Energy Board?

Yes, provide details in the table below.

No, proceed to 7(c).

Applicant Name	Type of Application	Ontario Energy Board File Number (if applicable)

(c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

Yes, provide details of current and expired licences in the table below

No

Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.
PATRIOT ENERGY GR	MA	ENERGY BROKER	MA DPU	EB-031
PATRIOT ENERGY GR	IL	ENERGY BROKER	ICC	10-0665
PATRIOT ENERGY GR	OH	ENERGY BROKER	PUCO	09-165E(2)
PATRIOT ENERGY GR	NJ	ENERGY BROKER	NJ BPU	EA-0078
PATRIOT ENERGY GR	MD	ENERGY BROKER	MD PSC	IR-2187

## 8. Officers, Directors and Key Individuals

(a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.

(b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

**Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain.**

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
LOUIS FRATE	PRESIDENT
DANIELLE GIANINO	DIRECTOR OF OPERATIONS
MATTHEW KINNEY	COUNSEL
SHANNON GAGNE	CONTROLLER

## 9. Intended Services and Markets

(a) Intended Services: please identify which of the following services the applicant intends to offer. You may select as many as applicable.

- to sell or offer to sell electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity) in Ontario.
- to sell or offer to sell electricity to large-volume consumers (annually consuming more than 150,000 kilowatt hours of electricity) in Ontario.
- to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to large-volume consumers, or as an agent or broker for large-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
- to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of electricity in Ontario.

Is the applicant currently providing any of the above listed services?

- Yes, provide a list of the services the applicant is currently providing.  
We do, but not in Canada. In the US we act as agent for large-volume and low-volume consumers with respect to the sale or offering for sale of electricity.

- No, indicate when the applicant intends to provide these services.  
\_\_\_\_\_

(b) Wholesale Market Participation: does the applicant intend to operate in the IESO-administered markets and settle bilateral contracts through the IESO?

- Yes
- No, please explain how the applicant intends to participate.  
We intend to broker relationships between clients and suppliers.

(c) Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by its customers through a distributor's settlement system?

- Yes
- No, please explain how the applicant intends to participate.  
We intend to broker relationships between clients and suppliers.

## 16. Notice

The Board is authorized, under section 4.14 of the *Ontario Energy Act, 1998*, to collect personal information for the purpose of carrying out its duties and exercising its powers under the *Ontario Energy Board Act, 1998* or any other Act.

The information provided both on this form and attached to this form is being collected by the Board for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the Board to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the Board's determination of the application will be collected by the Board.

The public official who can answer questions about the collection of the information is:

Board Secretary  
Ontario Energy Board  
P.O Box 2319  
2300 Yonge Street, 27<sup>th</sup> Floor  
Toronto, ON  
M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the Board is subject to the *Freedom of Information and Protection of Privacy Act* ("FIPPA"). FIPPA addresses circumstances in which the Board may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the Board from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

## 17. Certification and Acknowledgement

- (a) I certify that the information contained in this application and in the documents provided are true and accurate.
- (b) I understand and acknowledge that, as a licenced electricity retailer, I must provide information as the Board may require from time to time.
- (c) I understand and acknowledge that, as a licenced electricity retailer, I may have to meet requirements to disclose information to consumers in accordance with any government regulation made or standard set by the Board.
- (d) I understand and acknowledge that the issuance of an electricity retailer licence does not guarantee accreditation by the Independent Electricity System Operator (IESO) or distributors.
- (e) I understand and acknowledge that, if I intend to operate in the IESO administered markets and settle bilateral contracts through the IESO, I may have to post security (i.e., financial guarantee bond, security deposit) with the IESO to meet its prudential requirements.
- (f) I understand and acknowledge that, if I choose to settle the wholesale cost of electricity consumed by my customers through a distributor's settlement system, I may have to meet prudential requirements set out in the Retail Settlement Code.



## Checklist (return with application form)

- Two copies of the application form have been included with original signature on both copies.
- A cheque or money order for the non-refundable application fee has been included.
- A list of all officers and directors, including name and title has been attached to the application form.
- A corporate organization chart has been attached to the application form.
- Section 12 has been completed and all relevant financial information has been included.
- Section 15 has been completed for all key individuals.
- Each key individual has provided copies of two documents to support their identity. One of the documents includes a photo.