

RECEIVED *RP 8/5/14*

AUG 05 2014

ONTARIO ENERGY BOARD



Ontario Energy Board
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Application for a Unit Sub-Metering Licence

| For Office Use Only | |
|---------------------|------------------------|
| Application Number | EB - <i>2014-02601</i> |
| Date Received | <i>Aug 5/14</i> |

1. The Applicant

Legal Name of the Applicant :

Trilliant Energy Services Inc.

Name to Appear on Licence:

Indicate if same as above

If not the same as above, the name must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice and on the licence.

Date of formation or incorporation: *Sept 25, 2007*

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

| | | | | |
|---|-------------------------------|---|-------------------------------|---------|
| Mr. <input checked="" type="checkbox"/> | Mrs. <input type="checkbox"/> | Last Name | First Name | Initial |
| Miss <input type="checkbox"/> | Ms. <input type="checkbox"/> | <i>Lupo</i> | <i>Steven</i> | |
| Other <input type="checkbox"/> | | Title/Position | | |
| | | <i>Vice President, Supply Chain & Energy Services</i> | | |
| | | Company Name if different from Name to Appear on Licence | | |
| | | | | |
| Licence Primary Contact Address: | | | | |
| <i>20 Floral Parkway</i> | | | | |
| City | Province/State | Country | Postal/Zip Code | |
| <i>Concord</i> | <i>Ontario</i> | <i>Canada</i> | <i>L4K4R1</i> | |
| Phone Number | Toll Free (if available) | Fax Number | E-mail Address | |
| <i>905.326.2430</i> | | <i>905.326.3277</i> | <i>slupo@trilliantinc.com</i> | |

3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

| | | | | |
|--------------------------------------|-------------------------------|--|----------------------|----------------------|
| Mr. <input type="checkbox"/> | Mrs. <input type="checkbox"/> | Last Name | First Name | Initial |
| Miss <input type="checkbox"/> | Ms. <input type="checkbox"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Other <input type="checkbox"/> | | Title/Position | <input type="text"/> | |
| | | Company Name if different from Name to Appear on Licence | <input type="text"/> | |
| Application Primary Contact Address: | | | | |
| <input type="text"/> | | | | |
| City | Province/State | Country | Postal/Zip Code | |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | |
| Phone Number | Toll Free (if available) | Fax Number | E-mail Address | |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | |

4. Trade Names

The unit sub-metering licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed unit sub-meter provider.

Does the applicant intend to use trade names?

Yes, provide a list of trade names the applicant intends to use in the space provided below.

No, proceed to 5

| |
|----------------------|
| <input type="text"/> |
| <input type="text"/> |
| <input type="text"/> |

5. Applicant's Licensing Status and History

- (a) Has the applicant or an affiliate of the applicant ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca/html/statutes/english/elaws_statutes_90b16_e.htm)

- Yes, provide details of current and expired licences in the table below
 No, proceed to 5(b)

| Licensee Name | Licence Number |
|---------------|----------------|
| Trillion+ Inc | ES. 2009.0089. |
| | |
| | |

- (b) Has the applicant or an affiliate of the applicant ever undertaken electricity sector activity in another jurisdiction within North America?

- Yes, provide details of current and expired licences in the table below
 No

| Licensee Name | Jurisdiction | Business Activity |
|---------------|--------------|-------------------|
| | | |
| | | |
| | | |

6. Officers, Directors and Key Individuals

- (a) Provide as a separate attachment a list of all officers and directors, including name and title.

- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

| Name of Key Individual | Title/Position within Applicant's Business (or identify company if not the Applicant's Business) |
|------------------------|--|
| Steven Lupu | VP, Supply Chain & Energy Services |
| Bob Habig | CEO |
| | |
| | |

7. Intended Services

Please describe the applicant's intended services with respect to unit sub-metering:

To engage in the commercial offering or commercial provision of smart sub-metering systems, equipment and technology and any associated equipment, system and technologies.

To engage in the commercial offering or the commercial provision of associated services – Data Acquisition and services on behalf of LDC's

Is the applicant currently providing these services?

Yes

No, indicate when the applicant intends to provide these services _____

If the applicant objects to public disclosure of any of the information in the preceding sections, the applicant must notify the Board and follow the Board's Practice Direction on Confidential Filings.

13. Notice

The Board is authorized, under section 4.14 of the *Ontario Energy Act, 1998*, to collect personal information for the purpose of carrying out its duties and exercising its powers under the *Ontario Energy Board Act, 1998* or any other Act.

The information provided both on this form and attached to this form is being collected by the Board for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the Board to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the Board's determination of the application will be collected by the Board.

The public official who can answer questions about the collection of the information is:

Board Secretary
Ontario Energy Board
P.O Box 2319
2300 Yonge Street, 27th Floor
Toronto, ON
M4P 1E4


Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the Board is subject to the *Freedom of Information and Protection of Privacy Act* ("FIPPA"). FIPPA addresses circumstances in which the Board may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the Board from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

14. Certification and Acknowledgement

I certify that the information contained in this application and in documents provided are true and accurate.

I understand and acknowledge that, as a licensed unit sub-meter provider, I must provide information as the Board may require from time to time.

| Print Name of Key Individual | Signature of Key Individual | Date |
|------------------------------|---|-------------|
| Steven Lupo |  | June 24 /14 |

(Must be signed by one of the key individuals identified in section 6)