

Ontario Energy
Board
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JAN 16 2015

ONTARIO ENERGY BOARD



Application for an Electricity Wholesaler Licence

| For Office Use Only | |
|---------------------|----------------|
| Application Number | EB - 2015-0017 |
| Date Received | Jan. 16/15 |

1. The Applicant

Legal Name of the Applicant : Centre Lane Trading Limited

Name to Appear on Licence: Centre Lane Trading Limited

☒ Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Date of formation or incorporation: April 8, 2009

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

| | | | | |
|--------------------------------------|----------------------------|--|-----------------|---------|
| Mr. <input checked="" type="radio"/> | Mrs. <input type="radio"/> | Last Name | First Name | Initial |
| Miss <input type="radio"/> | Ms. <input type="radio"/> | Brandt | Jason | A |
| Other <input type="radio"/> | | Title/Position | | |
| | | CEO | | |
| | | Company Name if different from Name to Appear on Licence | | |
| Licence Primary Contact Address: | | | | |
| Suite 4500 - 199 Bay Street | | | | |
| City | Province/State | Country | Postal/Zip Code | |
| Toronto | Ontario | Canada | M5L 1G2 | |
| Phone Number | Fax Number | E-mail Address | | |
| 416 860 7626 | 416 860 1222 | jbrandt@mackieresearch.com | | |

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Wholesalers whose offices are not located in Ontario may provide the address of an agent as the address of service.

| | | | | |
|--|----------------------------|------------------------|----------------|---------|
| Mr. <input type="radio"/> | Mrs. <input type="radio"/> | Last Name | First Name | Initial |
| Miss <input type="radio"/> | Ms. <input type="radio"/> | | | |
| Other <input type="radio"/> | | Title/Position/Company | | |
| | | | | |
| Address for Service in Ontario (if different than the Licence Primary Contact Address above) | | | | |
| | | | | |
| City | | Province | Postal Code | |
| | | ONTARIO | | |
| Phone Number | | Fax Number | E-mail Address | |
| | | | | |

3. Application Primary Contact

☒ Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

| | | | | |
|--|----------------------------|----------------|----------------|-----------------|
| Mr. <input type="radio"/> | Mrs. <input type="radio"/> | Last Name | First Name | Initial |
| Miss <input type="radio"/> | Ms. <input type="radio"/> | | | |
| Other <input type="radio"/> | | Title/Position | | |
| | | | | |
| Company Name if different from Name to Appear on Licence | | | | |
| | | | | |
| Application Primary Contact Address: | | | | |
| | | | | |
| City | | Province/State | Country | Postal/Zip Code |
| | | | | |
| Phone Number | | Fax Number | E-mail Address | |
| | | | | |

4. Type of Application

☐ New licence

☒ Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: EW - 2010-0190

Expiry Date: June 1, 2015

5. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca).

- ☒ Yes, provide details of current and expired licences in the table below.
☐ No, proceed to 5(b).

| Licensee Name | Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.) | Licence Number |
|-----------------------------|--|----------------|
| Centre Lane Trading Limited | applicant itself | EW-2010-0190 |
| | | |
| | | |
| | | |

- (b) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

- ☒ Yes, provide details of current and expired licences in the table below
☐ No

| Licensee Name | Jurisdiction | Business Activity |
|---------------------|---------------------|---------------------------------------|
| Centre Lane Trading | FERC, United States | Electricity sales, market based rates |
| Centre Lane Trading | DOE, United States | Electricity exports |
| Centre Lane Trading | NEB, Canada | Electricity exports |

6. Key Individuals

The individuals listed as key individuals must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors, or proprietors. Identify at least two key individuals. (If the applicant has only one key individual in the organization, identify the one key individual.)

| Name of Key Individual | Title/position within applicant's business (or identify company if not the applicant's business) |
|------------------------|--|
| Jason Brandt | CEO |
| | |
| | |
| | |

7. Intended Markets and Services

Please identify the applicant's intended markets and services. You may select as many as are applicable.

- ☒ To purchase electricity or ancillary services in the IESO-administered markets
- ☐ To purchase electricity or ancillary services directly from a generator
- ☒ To sell electricity or ancillary services in the IESO-administered markets
- ☐ To sell electricity or ancillary services to wholesalers
- ☐ To sell electricity or ancillary services to distributors (i.e., standard supply services)
- ☐ To sell electricity or ancillary services to electricity retailers
- ☒ To sell electricity or ancillary services to persons outside of the Ontario market
- ☐ To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence.
The application form can be found at www.ontarioenergyboard.ca.

Note that applicants who purchase or sell in the IESO-administered markets must comply with the Market Rules and meet the IESO's prudential requirement.