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ONTARIO ENERGY BOARD

## Application for an Electricity Wholesaler Licence Application Instructions

### 1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant to purchase electricity or ancillary services in the Independent Electricity System Operator ("IESO") administered markets or directly from a generator, or to sell electricity or ancillary services through the IESO-administered markets or directly to another person, other than a consumer.

### 2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary  
Ontario Energy Board  
P.O Box 2319  
2300 Yonge Street, 27<sup>th</sup> Floor  
Toronto, ON M4P 1E4

If you have any questions regarding the completion of this application, please contact the Market Operations Hotline by telephone at 416-440-7604 or 1-888-632-6273 or e-mail at [market.operations@ontarioenergyboard.ca](mailto:market.operations@ontarioenergyboard.ca).

Please note that the Board may require information that is additional or supplementary to the information filed in this form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

The Board's "Performance Standards for Processing Applications" are indicated on the "Corporate Information and Reports" section of the Board's website at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca). Applicants are encouraged to consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. If the submitted application is incomplete, it may be returned by the Board or there may be a delay in processing the application.

### 3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the ONTARIO ENERGY BOARD. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

### 4. Confidentiality

Sections 8 to 12 of this application will be treated as confidential. All other information filed as part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 8 to 12, the applicant must follow the procedure set out in the Board's Practice Direction on Confidential Filings.

### 5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.

The issuance of an electricity wholesaler licence does not guarantee accreditation by the IESO or distributors.

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Ontario Energy Board  
P.O. Box 2319  
2300 Yonge Street  
27<sup>th</sup> Floor  
Toronto ON M4P 1E4  
Telephone: 1-888-632-6273  
Facsimile: (416) 440-7656

Commission de l'énergie  
l'Ontario  
C.P. 2319  
2300, rue Yonge  
27<sup>e</sup> étage  
Toronto ON M4P 1E4  
Téléphone: 1-888-632-6273  
Télécopieur: (416) 440-7656

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ONTARIO ENERGY BOARD

## Application for an Electricity Wholesaler Licence

For Office Use Only	
Application Number	EB - 2615-0021
Date Received	Jan. 21 / 15

### 1. The Applicant

Legal Name of the Applicant : BP Energy Company

Name to Appear on Licence: BP Energy Company

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Date of formation or incorporation: November 26, 1985

### 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

Mr. <input type="radio"/> Mrs. <input type="radio"/> Miss <input type="radio"/> Ms. <input checked="" type="radio"/> Other <input type="radio"/> _____	Last Name	First Name	Initial
	<u>Worthy</u>	<u>Cheryl</u>	<u>G</u>
	Title/Position		
	<u>Vice President Regulatory Affairs, Canada</u>		
Company Name if different from Name to Appear on Licence			
<u>BP Canada Energy Group ULC</u>			
Licence Primary Contact Address:			
<u>240 - 4 Ave SW</u>			
City	Province/State	Country	Postal/Zip Code
<u>Calgary</u>	<u>Alberta</u>	<u>Canada</u>	<u>T2P 2H8</u>
Phone Number	Fax Number	E-mail Address	
<u>403-233-1569</u>	<u>403-233-5667</u>	<u>cheryl.worthy@bp.com</u>	

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Wholesalers whose offices are not located in Ontario may provide the address of an agent as the address of service.

Mr. <input checked="" type="radio"/> Mrs. <input type="radio"/> Miss <input type="radio"/> Ms. <input type="radio"/> Other <input type="radio"/> _____	Last Name <input type="text" value="Bakshi"/>	First Name <input type="text" value="Vivek"/>	Initial <input type="text"/>
Title/Position/Company <input type="text" value="Partner/Dentons Canada LLP"/>			
Address for Service in Ontario (if different than the Licence Primary Contact Address above) <input type="text" value="77 King Street West, Toronto-Dominion Centre, Suite 400"/>			
City <input type="text" value="Toronto"/>		Province <input type="text" value="ONTARIO"/>	Postal Code <input type="text" value="M5K 0A1"/>
Phone Number <input type="text" value="416-863-4658"/>	Fax Number <input type="text"/>	E-mail Address <input type="text" value="vivek.bakshi@dentons.com"/>	

### 3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/> Mrs. <input type="radio"/> Miss <input type="radio"/> Ms. <input checked="" type="radio"/> Other <input type="radio"/> _____	Last Name <input type="text" value="Johnston"/>	First Name <input type="text" value="Kim"/>	Initial <input type="text"/>
Title/Position <input type="text" value="Sr. Regulatory Advisor"/>			
Company Name if different from Name to Appear on Licence <input type="text" value="BP Canada Energy Group ULC"/>			
Application Primary Contact Address: <input type="text" value="240 - 4 Ave SW"/>			
City <input type="text" value="Calgary"/>		Province/State <input type="text" value="Alberta"/>	Country <input type="text" value="Canada"/>
		Postal/Zip Code <input type="text" value="T2P 2H8"/>	
Phone Number <input type="text" value="403-233-1441"/>	Fax Number <input type="text" value="403-233-5667"/>	E-mail Address <input type="text" value="kim.johnston@bp.com"/>	

### 4. Type of Application

- New licence
- Renewal, please provide the licence number and expiry date of the existing licence  
 Licence Number: EW - \_\_\_\_\_ Expiry Date: \_\_\_\_\_

## 5. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca)).

- Yes, provide details of current and expired licences in the table below.  
 No, proceed to 5(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
BP Canada Energy Group ULC	Affiliate	EW-2011-0233

(b) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

- Yes, provide details of current and expired licences in the table below  
 No *Various BP entities are involved in energy sectors throughout North America (Gas, Power, Oil, E&P, Refining, etc.)*

Licensee Name	Jurisdiction	Business Activity
BP Energy Company	Maine/Texas	Retail Power Sales
BP Energy Company	FERC	Wholesale Power Sales
BP Energy Company	National Energy Board	Electricity Export

*BP Energy Company held retail licences in California, Oregon and Pennsylvania which have been terminated.*

## 6. Key Individuals

The individuals listed as key individuals must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors, or proprietors. Identify at least two key individuals. (If the applicant has only one key individual in the organization, identify the one key individual.)

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Rob H. Gorski	Chief Operating Officer - Power
Cheryl G. Worthy	VP, Regulatory Affairs Canada

## 7. Intended Markets and Services

Please identify the applicant's intended markets and services. You may select as many as are applicable.

- To purchase electricity or ancillary services in the IESO-administered markets
- To purchase electricity or ancillary services directly from a generator
- To sell electricity or ancillary services in the IESO-administered markets
- To sell electricity or ancillary services to wholesalers
- To sell electricity or ancillary services to distributors (i.e., standard supply services)
- To sell electricity or ancillary services to electricity retailers
- To sell electricity or ancillary services to persons outside of the Ontario market
- To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence.  
The application form can be found at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

Note that applicants who purchase or sell in the IESO-administered markets must comply with the Market Rules and meet the IESO's prudential requirement.