## STIKEMAN ELLIOTT

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EB-2015-0145

**VIA COURIER** 

April 7, 2015 File No. 138001.1001

Ontario Energy Board P.O. Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4

Attn: Board Secretary

APR 0 7 2015

ONTARIO ENERGY BOARD

Dear Sir or Madam:

Re: Renewal Application for Electricity Wholesaler Licence No. EW-2010-0057 (the "Licence")

We are the solicitors for Castleton Commodities Merchant Trading L.P. ("CCMT"). On behalf of CCMT, enclosed please find the following documents comprising CCMT's application for the renewal of the above-referenced Licence (the "Renewal Application"):

- 1. Two (2) fully-executed copies of the Application for an Electricity Wholesaler Licence Form.
- 2. Two (2) copies of unaudited financial statements for CCMT for the past two (2) years, signed by Nicholas Haslett.
- 3. A cheque in the amount of CAD\$200 payable to the Ontario Energy Board (the "Board").

We note that the Licence is currently set to expire on April 29, 2015. To the extent that the Board's review of the Renewal Application continues beyond such expiry date, we respectfully ask the Board to grant CCMT a temporary extension until such time that the review process is complete, in accordance with its powers to make interim orders pursuant to s. 21(7) of the *Ontario Energy Board Act*, 1998, S. O. 1998, Chapter 15, Schedule B.

TORONTO

MONTRÉAL

OTTAWA

CALGARY

VANCOUVER

**NEW YORK** 

LONDON

SYDNEY

On behalf of CCMT, we appreciate your attention to the aforementioned Renewal Application and look forward to your confirmation of receipt of same. Please do not hesitate to contact me should you have any questions.

Yours truly,

Patrick Duffy

PD/kk Encl. Ontario Energy Board P.O. Box 2319 2300 Yonge Street 27<sup>th</sup> Floor Toronto ON M4P 1E4 Telephone: 1-888-632-6273 Facsimile: (416) 440-7656

Licence

Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656

**Application for an Electricity Wholesaler** 





### **ONTARIO ENERGY BOARD**

For Office Use Only				
Application Number EB - 2015-0145				
Date Received Am. 7/15				

1. The Applicant			
Legal Name of the Applicant :	Castleton Commodities Merchant Trading L.P.		
Name to Appear on Licence:	Castleton Commodities Merchant Trading L.P.		
Indicate if the name to appear	on the licence is the same as the legal name.		
Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.			
Date of formation or incorporation:	October 20, 2000		

## 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

	Last Name	First Name	Initial
Mr.	Culliton	Stephen	
Miss C Ms. C	Title/Position		
Other C	Senior Vice President and Head of North American Power		
	Company Name if different from	Name to Appear on Licence	
		111111111	
Licence Primary Contact Add	ress:		
2200 Atlantic Street, Suite #8	00		
City	Province/State	Country	Postal/Zip Code
Stamford	СТ	United States	06902-6834
Phone Number	Fax Number	E-mail Address	
203-564-8183	203-564-8779	steve.culliton@cci.cor	n

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Wholesalers whose offices are not located in Ontario may provide the address of an agent as the address of service.

		Last Name	First Name	Initial
Mr. 🌀	Mrs. C	Duffy	Patrick	
Miss C Other C	Ms. <i>C</i>	Title/Position/Company Stikeman Elliott LLP		
Address for	Service in Ontari	o (if different than the Licence Primary Co	ntact Address above)	
199 Bay Stre	et, 5300 Comm	erce Court West		
City			Province	Postal Code
Toronto			ONTARIO	M5L 1B9
Phone Numb	per	Fax Number	E-mail Address	
416-869-525	57	416-947-0866	pduffy@stikeman.co	om

## 3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. 📵	Mrs. C	Last Name Duffy	First Name Patrick	Initial
Miss C	Ms. ←	Title/Position		
Other 🗀		Lawyer		
		Company Name if different from	om Name to Appear on Licence	
		Stikeman Elliott LLP		
Application P	rimary Contact	Address:		
199 Bay Stre	et, 5300 Comm	erce Court West		
City		Province/State	Country	Postal/Zip Code
Toronto		ON	Canada	M5L 1B9
Phone Numb	er	Fax Number	E-mail Address	
416-869-525	57	416-947-0866	pduffy@stikeman.com	

# 4. Type of Application

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Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: EW - 2010-0057 Expiry Date: April 29, 2015

## 5. Applicant's Licensing Status and History

ever been licensed by the Ontario Ene found at <a href="https://www.e-laws.gov.on.ca">www.e-laws.gov.on.ca</a> ).	oplicant, or an associated entity (e.g., a pergy Board? (the <i>Business Corporations</i> and expired licences in the table below.			
Licensee Name	.etc.) Licence Number			
See attached Schedule.				
(b) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?				
Yes, provide details of current and expired licences in the table below				
□ No				
Licensee Name Jurisdiction Business Activ				
See attached Schedule.				

## 6. Key Individuals

The individuals listed as key individuals must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors, or proprietors. Identify at least two key individuals. (If the applicant has only one key individual in the organization, identify the one key individual.)

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)	
Stephen Culliton	Senior Vice President and Head of North American Power	
Nicholas Haslett	Senior Vice President and Treasurer	
Duane Duclaux	Secretary	

#### 13. Notice

The Board is authorized, under section 4.14 of the *Ontario Energy Board Act*, 1998, to collect personal information for the purpose of carrying out its duties and exercising its powers under the *Ontario Energy Board Act*, 1998 or any other Act.

The information provided both on this form and attached to this form is being collected by the Board for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the Board to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the Board's determination of the application will be collected by the Board.

The public official who can answer questions about the collection of the information is:

Board Secretary
Ontario Energy Board
P.O Box 2319
2300 Yonge Street, 27<sup>th</sup> Floor
Toronto, ON M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the Board is subject to the *Freedom of Information and Protection of Privacy Act* ("FIPPA"). FIPPA addresses circumstances in which the Board may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the Board from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

## 14. Certification and Acknowledgment

I certify that the information contained in this application and in the documents provided are true and accurate.

I understand and acknowledge that, as a licensed electricity wholesaler, I must provide information as the Board may require from time to time.

Note: The issuance of an electricity wholesaler licence does not guarantee accreditation by the IESO or distributors.

Print Name of Key Individual	Signature of Key Individual	Date	
Stephen Culliton		04-02-15	

(Must be signed by one of the key individuals identified in section 6)