

Ontario Energy
Board
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Commission de l'énergie
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APR 09 2015

ONTARIO ENERGY BOARD



Application for an Electricity Wholesaler Licence

For Office Use Only	
Application Number	EB - 2015-0149
Date Received	Apr. 9/15

1. The Applicant

Legal Name of the Applicant : Brookfield Energy Marketing Inc.

Name to Appear on Licence: Brookfield Energy Marketing Inc.

☒ Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Date of formation or incorporation: September 9, 1999

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Logue	Shaun	
Other <input type="radio"/>		Title/Position		
		Vice President of Legal Services and General Counsel		
		Company Name if different from Name to Appear on Licence		
Licence Primary Contact Address:				
480 Boulevard de la Cite				
City	Province/State	Country	Postal/Zip Code	
Gatineau	QC	Canada	J8T 8R3	
Phone Number	Fax Number	E-mail Address		
819-561-8851	819-561-7188	shaun.logue@brookfieldrenewable.com		

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Wholesalers whose offices are not located in Ontario may provide the address of an agent as the address of service.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input checked="" type="radio"/>	Ng	Louisa	
Other <input type="radio"/>		Title/Position/Company		
		Law Clerk		
Address for Service in Ontario (if different than the Licence Primary Contact Address above)				
181 Bay Street, Suite 300				
City		Province	Postal Code	
Toronto		ONTARIO	M5J 2T3	
Phone Number		Fax Number	E-mail Address	
416-369-6021		416-365-9642	louisa.ng@brookfieldrenewable.com	

3. Application Primary Contact

☐ Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input checked="" type="radio"/>	Teetzel	Ruth	
Other <input type="radio"/>		Title/Position		
		Senior Legal Administrator		
Company Name if different from Name to Appear on Licence				
Brookfield Energy Marketing Inc .				
Application Primary Contact Address:				
480 Boulevard de la Cite				
City		Province/State	Country	Postal/Zip Code
Gatineau		QC	Canada	J8T 8R3
Phone Number		Fax Number	E-mail Address	
819-561-2722 ext 6524		819-561-7188	ruth.teetzel@brookfieldrenewable.com	

4. Type of Application

☐ New licence

☒ Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: EW - 2010-0222

Expiry Date: September 28, 2015

5. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca).

- ☒ Yes, provide details of current and expired licences in the table below.
☐ No, proceed to 5(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
Brookfield Energy Marketing Inc.	self	EW-2010-0222
Brookfield Energy Marketing LP	Affiliate	EW-2010-0213
Brookfield Renewable Energy Marketing LP	Affiliate	EW-2010-0179
see attached list of affiliated generators		

- (b) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

- ☒ Yes, provide details of current and expired licences in the table below
☐ No

Licensee Name	Jurisdiction	Business Activity
Brookfield Energy Marketing Inc.	US and Canada	Energy Marketing
Brookfield Energy Marketing LP	US and Canada	Energy Marketing
see list of affiliated marketers and generators	US and Canada	

6. Key Individuals

The individuals listed as key individuals must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors, or proprietors. Identify at least two key individuals. (If the applicant has only one key individual in the organization, identify the one key individual.)

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Tom Corbett	Chief Financial Officer
Richard Bordeleau	Senior Vice President, Trading
Shaun Logue	Vice President of Legal Services and General Counsel

13. Notice

The Board is authorized, under section 4.14 of the *Ontario Energy Board Act, 1998*, to collect personal information for the purpose of carrying out its duties and exercising its powers under the *Ontario Energy Board Act, 1998* or any other Act.

The information provided both on this form and attached to this form is being collected by the Board for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the Board to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the Board's determination of the application will be collected by the Board.

The public official who can answer questions about the collection of the information is:

Board Secretary
Ontario Energy Board
P.O Box 2319
2300 Yonge Street, 27th Floor
Toronto, ON M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

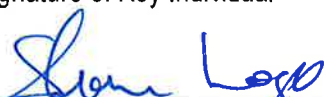
Applicants are reminded that the Board is subject to the *Freedom of Information and Protection of Privacy Act* ("FIPPA"). FIPPA addresses circumstances in which the Board may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the Board from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

14. Certification and Acknowledgment

I certify that the information contained in this application and in the documents provided are true and accurate.

I understand and acknowledge that, as a licensed electricity wholesaler, I must provide information as the Board may require from time to time.

Note: The issuance of an electricity wholesaler licence does not guarantee accreditation by the IESO or distributors.

Print Name of Key Individual	Signature of Key Individual	Date
SHAUN LOGUE		April 2, 2015

(Must be signed by one of the key individuals identified in section 6)