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Commission de l'énergie  
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Toronto ON M4P 1E4  
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APR 15 2015



ONTARIO ENERGY BOARD

## Application for an Electricity Wholesaler Licence

For Office Use Only	
Application Number	EB - 2015-0154
Date Received	April 15/15

### 1. The Applicant

Legal Name of the Applicant : TrailStone Power, LLC

Name to Appear on Licence: TrailStone Power, LLC

☒ Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Date of formation or incorporation: January 10, 2014

### 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

Mr. <input checked="" type="radio"/> Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/> Ms. <input type="radio"/>	Pooler	Kevin	J
Other <input type="radio"/>	Title/Position		
	North America General Counsel		
	Company Name if different from Name to Appear on Licence		
	TrailStone Management Corp		
Licence Primary Contact Address:			
2901 Via Fortuna Dr., Suite 125			
City	Province/State	Country	Postal/Zip Code
Austin	Texas	USA	78746
Phone Number	Fax Number	E-mail Address	
512-628-4308	512-628-1335	Kevin.Pooler@TrailStoneGroup.com	

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Wholesalers whose offices are not located in Ontario may provide the address of an agent as the address of service.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input checked="" type="radio"/>	Archibald	Jo-Anne	
Other <input type="radio"/>		Title/Position/Company		
		President - DSA Corporate Services, Inc.		
Address for Service in Ontario (if different than the Licence Primary Contact Address above)				
36 Toronto Street, Suite 1000				
City		Province	Postal Code	
Toronto, ON		ONTARIO	M5C 2C5	
Phone Number		Fax Number	E-mail Address	
416-848-7744		416-848-0790	jarchibald@dsacorp.ca	

### 3. Application Primary Contact

☐ Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Stevens	James	R
Other <input type="radio"/>		Title/Position		
		Head of Operations		
		Company Name if different from Name to Appear on Licence		
Application Primary Contact Address:				
2901 Via Fortuna Dr., Suite 125				
City		Province/State	Country	Postal/Zip Code
Austin		Texas	USA	78746
Phone Number		Fax Number	E-mail Address	
512-628-4292			JIM.STEVENS@TRAILSTONEGROUP.COM	

### 4. Type of Application

☒ New licence

☐ Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: EW - \_\_\_\_\_ Expiry Date: \_\_\_\_\_

## 5. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca)).

- ☐ Yes, provide details of current and expired licences in the table below.  
☒ No, proceed to 5(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number

- (b) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

- ☒ Yes, provide details of current and expired licences in the table below  
☐ No

Licensee Name	Jurisdiction	Business Activity
TrailStone Power, LLC	NYISO, PJM, MISO, ERCOT	Wholesale power trading

## 6. Key Individuals

The individuals listed as key individuals must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors, or proprietors. Identify at least two key individuals. (If the applicant has only one key individual in the organization, identify the one key individual.)

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Frank Riley	CEO
Jim Stevens	Head of Operations
Troy Martin	President & Secretary

## 7. Intended Markets and Services

Please identify the applicant's intended markets and services. You may select as many as are applicable.

- ☒ To purchase electricity or ancillary services in the IESO-administered markets
- ☐ To purchase electricity or ancillary services directly from a generator
- ☐ To sell electricity or ancillary services in the IESO-administered markets
- ☐ To sell electricity or ancillary services to wholesalers
- ☐ To sell electricity or ancillary services to distributors (i.e., standard supply services)
- ☐ To sell electricity or ancillary services to electricity retailers
- ☒ To sell electricity or ancillary services to persons outside of the Ontario market
- ☐ To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence.  
The application form can be found at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

Note that applicants who purchase or sell in the IESO-administered markets must comply with the Market Rules and meet the IESO's prudential requirement.