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JUL 09 2015

ONTARIO ENERGY BOARD



Ontario Energy Board  
P.O. Box 2319  
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Toronto ON M4P 1E4  
Telephone: 1-888-632-6273  
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Commission de l'énergie  
l'Ontario  
C.P. 2319  
2300, rue Yonge  
27e étage  
Toronto ON M4P 1E4  
Téléphone: 1-888-632-6273  
Télécopieur: (416) 440-7656

## Application for an Electricity Generation Licence

For Office Use Only	
Application Number	EB- 2015-0220
Date Received	July 9/15

### 1. The Applicant

Legal Name of the Applicant : TA Kent Breeze Inc. on behalf of TA Kent Breeze Limited Partnership

Business Classification:

Sole Proprietor

Partnership

Corporation

Other (describe) \_\_\_\_\_

Date of formation or incorporation: June 18, 2015

Place of formation or incorporation:

Province/State Alberta

Country Canada

If the applicant is an individual, the applicant must be at least 18 years old. If the applicant is an individual, is he or she at least 18 years old?

Yes

No

Not Applicable - not an individual

Head Office or Business Address of Applicant

110 12th Avenue Southwest, PO Box 1900, Station 'M'

City	Province/State	Country	Postal/Zip Code
<u>Calgary</u>	<u>Alberta</u>	<u>Canada</u>	<u>T2R 0G7</u>

Phone Number	Toll Free (if available)	E-mail Address
<u>(403) 267-7110</u>		<u>corporate_secretary@transalta.com</u>

Website Address http://www.transalta.com/

## 2. Application Type

New

Renewal, provide the licence number and expiry date of the current licence.

Licence Number \_\_\_\_\_ Expiry Date \_\_\_\_\_

## 3. Licence Primary Contact

The licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input type="radio"/> Mrs. <input type="radio"/> Miss <input type="radio"/> Ms. <input checked="" type="radio"/> Other <input type="radio"/> _____	Last Name	First Name	Initial
	Pancoast	Rochelle	
	Title/Position		
	Director, Wind Operations		
Company Name			
TransAlta Corporation			
Licence Primary Contact Address:			
110-12 Avenue Southwest			
City	Province/State	Country	Postal/Zip Code
Calgary	Alberta	Canada	T2P 2M1
Phone Number	Toll Free (if available)	E-mail Address	
(403) 267-3972		rochelle_pancoast@transalta.com	

## 4. Application Primary Contact

Indicate if same as above. Proceed to section 5.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/> Mrs. <input type="radio"/> Miss <input type="radio"/> Ms. <input checked="" type="radio"/> Other <input type="radio"/> _____	Last Name	First Name	Initial
	Teskey	Jennifer	
	Title/Position		
	Partner		
Company Name			
Norton Rose Fulbright Canada LLP			

Application Primary Contact Address:

Royal Bank Plaza, South Tower, Suite 3800, 200 Bay Street, P.O. Box 84

City	Province/State	Country	Postal/Zip Code
Toronto	Ontario	Canada	M5J 2Z4
Phone Number	Toll Free (if available)	E-mail Address	
416-216-2303		jennifer.teskey@nortonrosefulbright.com	

### 5. Trade Names

The electricity generation licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

Does the applicant intend to use trade names?

- Yes, provide a list of trade names the applicant intends to use in the space provided below.
- No, proceed to section 6.


### 6. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership), ever been licensed by the OEB? (The *Business Corporations Act* definition for affiliate can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca))

- Yes, provide details of current and expired licences in the table below.
- No, proceed to 6(b).

Licensee Name	Relation to the Applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
See attached.		

(b) Does the applicant, an affiliate of the applicant, or an associated entity have any other application(s) before the OEB?

Yes, provide details in the table below.

No, proceed to 6(c).

Applicant Name	Relationship to this Applicant	Type of Application	OEB File Number

(c) Has the applicant, an affiliate of the applicant, or an associated entity ever undertaken energy sector activity in any other jurisdiction within North America?

Yes, provide details in the table below.

No

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
See attached.				

## 7. Key Individuals

In the table below, identify all key individuals associated with the applicant's business. Key individuals include sole proprietors, each partner, all officers and directors of a corporation, all shareholders with 10% or more of the equity shares of a corporation, managers, and any other individuals with a beneficial interest.

Name of Key Individual	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Maryse St.-Laurent	Director, Corporate Secretary, and Vice President Legal
Rob Schaefer	Director
Cynthia Johnston	Director and President
Todd Stack	Vice President and Treasurer
David Koch	Vice President and Controller
Rochelle Pancoast	Director, Wind Operations for TransAlta Corporation

## 8. Intended Services and Markets

- (a) The OEB Act defines "ancillary services" as services necessary to maintain the reliability of the IESO-controlled grid, including frequency control, voltage control, reactive power, and operating reserve services. Does the applicant intend to sell electricity or ancillary services into the IESO administered market?

Yes

No

- (b) Does the applicant intend to settle with an electricity distributor for the electricity injected into the distribution system in accordance with the retail settlement system?

Yes, please identify the electricity distributor Hydro One Networks Inc.

No

- (c) Does the applicant intend to sell electricity to another person?

Yes

No

If yes, is this person a consumer (i.e., a person who uses, for the person's own consumption, electricity that the person did not generate)?

Yes. The applicant may require a retailer licence. The application form can be found at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca)

No

## 9. Facility Description

Is the applicant applying to be licensed for more than one facility?

Yes, please make a copy of sections 9, 10 and 11 for each facility and provide information for each facility

No

Generation Type

Diesel       Natural Gas       Water       Wind

Other, please specify \_\_\_\_\_

Installed Capacity 10 MW

Number of Units 4

If the applicant is selling ancillary services, please describe: \_\_\_\_\_

Facility name: Kent Breeze Wind Power Project

Facility Address: #13 Conc. 1, Lot 6 and Conc. 2, Part Lot 5 & 6, Township of Camden, in the Municipality of Chatham-Kent, in the County of Kent, Ontario

Licence Responsibility/Qualification Sought

- Owner and Operator
- Owner Only. Please identify operator \_\_\_\_\_
- Operator Only. Please identify owner \_\_\_\_\_

If the applicant is applying for only one of the two qualifications, please provide information on the status of the other qualification. The information should include confirmation as to whether or not the person or entity seeking the other qualification is licensed; if licensed, please provide the licence number and, if not, indicate when an application for the other qualification will be filed with the OEB.

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**10. Facility History and Status**

Is the facility in commercial service?

- Yes, proceed to section 10(a)
- No, proceed to section 10(b) or 10(c) as applicable.

(a) Existing Facility in Commercial Service

When did this facility achieve commercial operation? May 2011

Is the applicant the original owner and operator?

- Yes
- No, identify previous owner and operator: Suncor Energy Products Inc.

(b) New Facility

Has facility construction commenced?

- Yes
- No

What is the expected commercial in service date? \_\_\_\_\_

(c) Existing Facility **NOT** in Commercial Service

What is the expected commercial in service date? \_\_\_\_\_

Please provide a list of all other regulatory approvals required (e.g. environmental, municipal, etc.). Please identify the status of each approval.

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## 11. Connection

What is the voltage at the perimeter of the applicant's property from the output of the generation facility?

- 50 kV or less, proceed to section 11(a)  
 greater than 50 kV, proceed to section 11(b)

(a) The OEB Act defines a "distribution system" as a system for distributing electricity, and includes any structures, equipment or other things used for that purpose. "Distribute", with respect to electricity, means to convey electricity at voltages of 50 kV or less.

Describe the existing or future distribution system from the output of the generation facility to the connection point with the electricity distributor or to the connection point with the transmission system (e.g., length of line, transformers, etc.).

The electricity generated by each turbine in this facility is transformed to 27.6kV, the same voltage as the local distribution system. The facility is connected to Hydro One Networks Inc. through a distribution level switching yard at 27.6kV. There is no connection to any transmission systems.

Does (or will) the applicant own and/or operate the distribution system?

- Yes  
 No, identify the owner and/or operator of the distribution system \_\_\_\_\_

If yes, does the applicant own and/or operate the distribution system only for the purpose of conveying electricity from the generation facility to the IESO-controlled grid?

- Yes  
 No, describe the purpose of the distribution system \_\_\_\_\_

If the answer to the question above is no, the applicant may require a distribution licence. The application form can be found at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

Does (or will) the distribution system connect the generation facility to an electricity distributor?

- Yes, identify the electricity distributor Hydro One Networks Inc.  
 No, proceed to section 11(b)

(b) The OEB Act defines a "transmission system" as a system for transmitting electricity, and includes any structures, equipment or other things used for that purpose. "Transmit", with respect to electricity, means to convey electricity at voltages of more than 50 kV.

Describe the existing or future transmission system from the output of the generation facility or applicant's distribution system to the connection point with the transmission network (e.g., length of line, transformers, etc.).

There is no connection to a transmission system.

Does (or will) the applicant own and/or operate the transmission system?

Yes

No, identify the owner and/or operator of the transmission system \_\_\_\_\_

If yes, does the applicant own and/or operate the transmission system only for the purpose of conveying electricity from the generation facility to the IESO-controlled grid?

Yes

No, describe the purpose of the transmission system \_\_\_\_\_

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If the answer to the question above is no, the applicant may require a transmission licence. The application form can be found at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).



## 8. Intended Services and Markets

- (a) The OEB Act defines "ancillary services" as services necessary to maintain the reliability of the IESO-controlled grid, including frequency control, voltage control, reactive power, and operating reserve services. Does the applicant intend to sell electricity or ancillary services into the IESO administered market?

Yes

No

- (b) Does the applicant intend to settle with an electricity distributor for the electricity injected into the distribution system in accordance with the retail settlement system?

Yes, please identify the electricity distributor \_\_\_\_\_

No

- (c) Does the applicant intend to sell electricity to another person?

Yes

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Generation Type

Diesel       Natural Gas       Water       Wind

Other, please specify \_\_\_\_\_

Installed Capacity 10 MW

Number of Units 4

If the applicant is selling ancillary services, please describe: \_\_\_\_\_

Facility name: MacLeod Windmill Project Inc.

Facility Address: #13. Conc. 1, Lot 11 & Part Lot 10, Township of Camden, in the Municipality of Chatham-Kent,  
in the County of Kent, Ontario.

Licence Responsibility/Qualification Sought

Owner and Operator

Owner Only. Please identify operator \_\_\_\_\_

Operator Only. Please identify owner \_\_\_\_\_

If the applicant is applying for only one of the two qualifications, please provide information on the status of the other qualification. The information should include confirmation as to whether or not the person or entity seeking the other qualification is licensed; if licensed, please provide the licence number and, if not, indicate when an application for the other qualification will be filed with the OEB.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**10. Facility History and Status**

Is the facility in commercial service?

Yes, proceed to section 10(a)

No, proceed to section 10(b) or 10(c) as applicable.

(a) Existing Facility in Commercial Service

When did this facility achieve commercial operation? May 2011

Is the applicant the original owner and operator?

Yes

No, identify previous owner and operator: Suncor Energy Products Inc.

(b) New Facility

Has facility construction commenced?

Yes

No

What is the expected commercial in service date? \_\_\_\_\_

(c) Existing Facility **NOT** in Commercial Service

What is the expected commercial in service date? \_\_\_\_\_

Please provide a list of all other regulatory approvals required (e.g. environmental, municipal, etc.). Please identify the status of each approval.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## 11. Connection

What is the voltage at the perimeter of the applicant's property from the output of the generation facility?

- 50 kV or less, proceed to section 11(a)  
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- (a) The OEB Act defines a "distribution system" as a system for distributing electricity, and includes any structures, equipment or other things used for that purpose. "Distribute", with respect to electricity, means to convey electricity at voltages of 50 kV or less.

Describe the existing or future distribution system from the output of the generation facility to the connection point with the electricity distributor or to the connection point with the transmission system (e.g., length of line, transformers, etc.).

The electricity generated by each turbine in this facility is transformed to 27.6kV, the same voltage as the local distribution system. The facility is connected to Hydro One Networks Inc. through a distribution level switching yard at 26.7kW.

There is no connection to any transmission systems.

Does (or will) the applicant own and/or operate the distribution system?

- Yes  
 No, identify the owner and/or operator of the distribution system \_\_\_\_\_

If yes, does the applicant own and/or operate the distribution system only for the purpose of conveying electricity from the generation facility to the IESO-controlled grid?

- Yes  
 No, describe the purpose of the distribution system \_\_\_\_\_

If the answer to the question above is no, the applicant may require a distribution licence. The application form can be found at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

Does (or will) the distribution system connect the generation facility to an electricity distributor?

- Yes, identify the electricity distributor Hydro One Networks Inc.  
 No, proceed to section 11(b)

- (b) The OEB Act defines a "transmission system" as a system for transmitting electricity, and includes any structures, equipment or other things used for that purpose. "Transmit", with respect to electricity, means to convey electricity at voltages of more than 50 kV.

Describe the existing or future transmission system from the output of the generation facility or applicant's distribution system to the connection point with the transmission network (e.g., length of line, transformers, etc.).

There is no connection to a transmission system.

Does (or will) the applicant own and/or operate the transmission system?

Yes

No, identify the owner and/or operator of the transmission system \_\_\_\_\_

If yes, does the applicant own and/or operate the transmission system only for the purpose of conveying electricity from the generation facility to the IESO-controlled grid?

Yes

No, describe the purpose of the transmission system \_\_\_\_\_

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If the answer to the question above is no, the applicant may require a transmission licence. The application form can be found at [www.ontarioenergyboard.ca](http://www.ontarioenergyboard.ca).

## 17. Notice

The OEB is authorized, under section 4.14 of the OEB Act, to collect personal information for the purpose of carrying out its duties and exercising its powers under the OEB Act or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

Board Secretary  
Ontario Energy Board  
P.O Box 2319  
2300 Yonge Street, 27<sup>th</sup> Floor  
Toronto, ON  
M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the *Freedom of Information and Protection of Privacy Act* (FIPPA). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

## 18. Certification and Acknowledgement

- (a) I certify that the information contained in this application and in the documents provided are true and accurate.
- (b) I understand and acknowledge that, as a licensee, I must provide information as the OEB may require from time to time.
- (c) I understand and acknowledge that the issuance of an electricity generation licence does not guarantee registration with the IESO or connection to a transmission or distribution system.

Print Name of Key Individual	Signature of Key Individual	Date
Rochelle Pancoast	Rochelle Pancoast	July 6, 2015

**If the applicant is a sole proprietor, he/she must sign.**

**If the applicant is a corporation, a person with authority to bind the corporation must sign.**

**If the applicant is a partnership, a partner with authority to sign on behalf of the partnership must sign.**