

Ontario Energy
Board
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JUN 28 2016

ONTARIO ENERGY BOARD



Ontario

Application for a Unit Sub-Metering Licence

For Office Use Only	
Application Number	EB-2616-0203
Date Received	June 28/16

1. The Applicant

Legal Name of the Applicant :

YES Energy Management, Inc.

Name to Appear on Licence:

☒ Indicate if same as above

If not the same as above, the name must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice and on the licence.

Date of formation or incorporation: April 20, 1981

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Jiron	Franklin	S
Other <input type="radio"/>		Title/Position		
		Regulatory Counsel		
		Company Name if different from Name to Appear on Licence		
Licence Primary Contact Address:				
500 Colonial Center Pkwy				
City	Province/State	Country	Postal/Zip Code	
Roswell	Georgia	USA	30076	
Phone Number	Toll Free (if available)	Fax Number	E-mail Address	
770-729-0007 x1030	800-351-0383 x 1030	770-729-0065	franklin.jiron@yardi.com	

3. Application Primary Contact

☒ Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other <input type="radio"/>	<input type="text"/>	Title/Position		
		<input type="text"/>		
		Company Name if different from Name to Appear on Licence		
		<input type="text"/>		

Application Primary Contact Address:

City	Province/State	Country	Postal/Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

4. Trade Names

The unit sub-metering licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed unit sub-meter provider.

Does the applicant intend to use trade names?

☐ Yes, provide a list of trade names the applicant intends to use in the space provided below.

☒ No, proceed to 5

<input type="text"/>
<input type="text"/>
<input type="text"/>

5. Applicant's Licensing Status and History

- (a) Has the applicant or an affiliate of the applicant ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca/html/statutes/english/elaws_statutes_90b16_e.htm)

- ☒ Yes, provide details of current and expired licences in the table below
☐ No, proceed to 5(b)

Licensee Name	Licence Number
YES Energy Management, Inc.	ES-2011-0058

- (b) Has the applicant or an affiliate of the applicant ever undertaken electricity sector activity in another jurisdiction within North America?

- ☒ Yes, provide details of current and expired licences in the table below
☐ No

Licensee Name	Jurisdiction	Business Activity
Applicant has not been required to file		
for a license in any of the U.S. jurisdictions		
in which it operates.		

6. Officers, Directors and Key Individuals

- (a) Provide as a separate attachment a list of all officers and directors, including name and title.

- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Name of Key Individual	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Please see the attached supporting documentation.	

7. Intended Services

Please describe the applicant's intended services with respect to unit sub-metering:

Engage in the commercial offering of submetering-systems, equipment and technology. Providing associated services including meter installation and maintenance, data collection, verification, management, and billing.

Is the applicant currently providing these services?



Yes



No, indicate when the applicant intends to provide these services _____

If the applicant objects to public disclosure of any of the information in the preceding sections, the applicant must notify the Board and follow the Board's Practice Direction on Confidential Filings.

13. Notice

The Board is authorized, under section 4.14 of the *Ontario Energy Act, 1998*, to collect personal information for the purpose of carrying out its duties and exercising its powers under the *Ontario Energy Board Act, 1998* or any other Act.

The information provided both on this form and attached to this form is being collected by the Board for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the Board to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the Board's determination of the application will be collected by the Board.

The public official who can answer questions about the collection of the information is:

Board Secretary
Ontario Energy Board
P.O Box 2319
2300 Yonge Street, 27th Floor
Toronto, ON
M4P 1E4


Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the Board is subject to the *Freedom of Information and Protection of Privacy Act* ("FIPPA"). FIPPA addresses circumstances in which the Board may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the Board from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

14. Certification and Acknowledgement

I certify that the information contained in this application and in documents provided are true and accurate.

I understand and acknowledge that, as a licensed unit sub-meter provider, I must provide information as the Board may require from time to time.

Print Name of Key Individual <i>ARNOLD BRIER</i>	Signature of Key Individual 	Date <i>6/27/16</i>
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(Must be signed by one of the key individuals identified in section 6)

