

Oshawa Operating Center 560 King Street West, Unit 2 Oshawa, Ontario L1J7J1 Phone: (905) 438-8539 Fax: (905) 438-8226



September 20, 2016

ONTABLO ENERGY BOARD

Board Secretary Ontario Energy Board P.O Box 2319 2300 Young Street, 27th Floor Toronto, ON M4P 1E4 Oshawa Control Center EB-2016-0289

Dear Secretary

Please see attached documentation for our application for renewal of our Electricity Retailer Licence. We have included the requirements as listed on the included checklist. If you require any further information do not hesitate to contact us.

Sincerely,

Francis DeBay Operations Manager <u>fdebay@h2opower.ca</u> 905 438-8539 Ext.205

Ontario Energy Board Commission de l'énergie de l'Ontario



Application for an Electricity Retailer Licence Application Instructions

1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant

- (a) to sell or offer to sell electricity to a consumer;
- (b) to act as agent or broker for a retailer with respect to the sale of electricity; and/or
- (c) to act or offer to act as an agent or broker for a consumer with respect to the sale or offering for sale of electricity.

2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- · type answers to all questions, print two copies and sign both copies; or
- · print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

The applicant must also complete the checklist attached to the application form.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary Ontario Energy Board P.O Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4

Any questions regarding the completion of this application should be directed to the OEB at IndustryRelations@ontarioenergyboard.ca.

Please note that the Board may require information that is additional or supplementary to the information filed in this application form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

The Board's "Performance Standards for Processing Applications" are indicated on the "Corporate Information and Reports" section of the Board's website at <u>www.ontarioenergyboard.ca</u>. Applicants are encouraged to consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. If the submitted application is incomplete, it may be returned by the Board or there may be a delay in processing the application.

3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at <u>www.ontarioenergyboard.ca</u>.

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at <u>www.ontarioenergyboard.ca</u>.

4. Confidentiality

Sections 10 to 15 of this application will be treated as confidential. All other information filed as a part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 10 to 15, the applicant must follow the procedure set out in the Board's Practice Direction on Confidential Filings.

5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.

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 Ontario Energy

 Board

 P.O. Box 2319

 2300 Yonge Street

 27th Floor

 Toronto ON M4P 1E4

 Telephone: 1-888-632-6273

 Facsimile: (416) 440-7656

Commission de l'énergie l'Ontario C,P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656





Application for an Electricity Retailer Licence

For	Office Use Only
Application Number	EB-2016-02-89
Date Received	Sent, 28/10

1. The Applicant

Legal Name of the Applicant :

H2O Power Limited Partnership/Société en Commandité H2O Énergie

Name to Appear on Licence:

H2O Power Limited Partnership

igarpropto Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

- ⊂ Sole Proprietor
- Partnership
- C Corporation
- Other (describe)

Date of formation or incorporation:	June 29, 2006
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Place of formation or incorporation: Winnipeg

Province/state Manitoba Country Canada

If the applicant is an individual, the applicant must be at least 18 years old. If the applicant is an individual, is he or she at least 18 years old?

C Yes

⊂ No

Not applicable - not an individual

2. Licence Primary Contact

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As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

	Last Name		First Name	Initial
Mr. 💿 Mrs. 🤇	DeBay		Francis	J
Miss (Ms. (Title/Position			
Other C	Operations Manag	er		
	Company Name if	different from Name t	o Appear on Licence	
Licence Primary Contact A	ddress:			
560 King Street West 2nd	floor			
City	Province/S	itate	Country	Postal/Zip Code
Oshawa	ON		Canada	L1J 7J1
Phone Number T	foll Free (if available)	Fax Number	E-mail Address	
905 438 8539 Ext.205		905 438 8226	fdebay@h2opower.ca	ā

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

	Last Name	F	First Name	
Mr. C Mrs. C				
Miss C Ms. C	Title/Position			
Other C				
	Company Name if diffe	erent from Name to A	ppear on Licence	
Address for Service in Ontar	io (if different than the Li	cence Primary Conta	ct Address above)	
City	Pr	ovince	Postal Code	
	0	NTARIO		
Phone Number To	ll Free (if available) Fa	ax Number	E-mail Address	

3. Application Primary Contact

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Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

	Last Name	First Name	Initial
Mr. 🦳 Mrs	. C Wong	Sharon	
Miss 🦳 Ms.	• Title/Position		
Other C	Lawer		
	Company Name if different	ent from Name to Appear on Licence	
	Blake, Cassels & Grayd	on LLP	
Application Primary	y Contact Address:		
199 Bay St., Suite	4000		
City	Province/State	Country	Postal/Zip Code
Toronto	ON	Canada	M5L 1A9
Phone Number	Toll Free (if available) Fax	Number E-mail Address	
416 863 4178	416 8	sharon.wong@blakes.	com

4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario. If the applicant intends to retail electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity), the applicant should also provide a telephone number which may be reached by the general public without a charge.

		Last Name		First Name	Initial
Mr. 🔎	Mrs. 🤿	DeBay		Francis	J
Miss 🤇	Ms. C	Title/Position		- 1.5	
Other C		Operations M	anager		
		Company Na	me if different from Nam	e to Appear on Licence	
Customer Co	mplaint or In	iquiries Primary Co	ntact Address:		
560 King Str	eet West 2nd	floor			
City			Province	Postal Code	
Oshawa			ONTARIO	L1J 7J1	
Phone Numb	er	Toll Free	Fax Number	E-mail Address	
905 438 853	9 Ext.205		905 438 8226	fdebay@h2opower.ca	U. C.

5. Type of Application

- \subset New licence
- Renewal, please provide the licence number and expiry date of the existing licence
 Licence Number: ER- 2011-0383
 Expiry Date: February 2, 2017

6. Trade Names

The electricity retailer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed electricity retailer.

Does the applicant intend to use trade names?

Yes, provide a list of trade names the applicant intends to use in the space provided below.

 \boxtimes No, proceed to 7.

7. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at <u>www.e-laws.gov.on.ca</u>).

Yes, provide details of current and expired licences in the table below.

No, proceed to 7(b).

Relation to the applicant (e.g., applicant itself, affiliate, partneretc.)	Licence Number
applicant itself	ER-2011-0383
applicant itself	EG-2006-0124
	(e.g., applicant itself, affiliate, partneretc.) applicant itself

- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the Ontario Energy Board?
 -] Yes, provide details in the table below.
 - \boxtimes No, proceed to 7(c).

- (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?
 - Yes, provide details of current and expired licences in the table below

] No

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Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.
First Light Power	United States	Hydro Generation	FERC	P-2485
First Light Power	United States	Hydro Generation	FERC	P-1889
First Light Power	United States	Hydro Generation	FERC	P-2576
First Light Power	United States	Hydro Generation	FERC	P-2662
Allegheny Hydro	United States	Hydro Generation	FERC	P-3021

8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain.

President
Vice President, Finance and Administration
Vice President, Operations

9. Intended Services and Markets

(a)	Intended Services: please identify which of the following services the applicant intends to offer. You may select as many as applicable.
\boxtimes	to sell or offer to sell electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity) in Ontario.
\boxtimes	to sell or offer to sell electricity to large-volume consumers (annually consuming more than 150,000 kilowatt hours of electricity) in Ontario.
	to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to large-volume consumers, or as an agent or broker for large-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
	to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
ls the	e applicant currently providing any of the above listed services?
\boxtimes	Yes, provide a list of the services the applicant is currently providing.
	Currently sells electricity to low-volume and large-volume customers
	No, indicate when the applicant intends to provide these services.
(b)	Wholesale Market Participation: does the applicant intend to operate in the IESO-administered markets and settle bilateral contracts through the IESO?
\boxtimes	Yes
	No, please explain how the applicant intends to participate.
(c)	Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by its customers through a distributor's settlement system?
	Yes
\boxtimes	No, please explain how the applicant intends to participate.
	All retail customers are direct connected and billed directly.