Application for an Electricity Retailer Licence Application Instructions

1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant

- (a) to sell or offer to sell electricity to a consumer;
- (b) to act as agent or broker for a retailer with respect to the sale of electricity; and/or
- (c) to act or offer to act as an agent or broker for a consumer with respect to the sale or offering for sale of electricity.

2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- · type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

The applicant must also complete the checklist attached to the application form.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary Ontario Energy Board P.O Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4

Any questions regarding the completion of this application should be directed to the OEB at

IndustryRelations@ontarioenergyboard.ca.

Please note that the Board may require information that is additional or supplementary to the information filed in this application form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

The Board's "Performance Standards for Processing Applications" are indicated on the "Corporate Information and Reports" section of the Board's website at <u>www.ontarioenergyboard.ca</u>. Applicants are encouraged to consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. If the submitted application is incomplete, it may be returned by the Board or there may be a delay in processing the application.

3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at <u>www.ontarioenergyboard.ca</u>.

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at <u>www.ontarioenergyboard.ca</u>.

4. Confidentiality

Sections 10 to 15 of this application will be treated as confidential. All other information filed as a part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 10 to 15, the applicant must follow the procedure set out in the Board's Practice Direction on Confidential Filings.

5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.



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Ontario Energy Board P.O., Box 2319 2300 Yonge Street 27th Floor Toronto ON M4P 1E4 Telephone: 1-888-632-6273 Facsimile: (416) 440-7656 Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656





Application for an Electricity Retailer Licence

For	Office Use Only
Application Number	EB-2016-0358
Date Received	Dec. 5/16

1. The Applicant

Legal Name of the Applicant : C

Canadian Energy Protection Corp.

Name to Appear on Licence:

 \boxtimes Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

- Sole Proprietor
- Partnership
- Corporation
- Other (describe)

Date of formation or incorporation:		October 30, 2014	
Place of formation or incorporation:		Ottawa	
Province/state	Ontario		
Country	Canada		

If the applicant is an individual, the applicant must be at least 18 years old. If the applicant is an individual, is he or she at least 18 years old?

C Yes

○ No

Not applicable - not an individual

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

		Last Name		First Name	Initial
	Mrs. C	Cunningham	William William	Mark	
Miss 🦳 🕴	Ms. (Title/Position			
Other C		President and Cha	irman		
		Company Name if	different from Name	to Appear on Licence	
Licence Primary	y Contact Ad	dress:			
100 Gloucester	Street, Suite	ə 334			
City		Province/S	itate	Country	Postal/Zip Code
Ottawa		Ontario		Canada	K2P 0A4
Phone Number	То	ll Free (if available)	Fax Number	E-mail Address	
613-702-4629 E	Ext. 700 1-8	344-FIXED-R8	613-702-4639	m.cunningham@ca	nadianenergyprotection.c

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

Mr. C	Mrs. C	Last Name		First Name	Initial
Miss (Other (Ms. C	Title/Position			
		Company Name if	different from Name to	Appear on Licence	
Address for S	ervice in Onta	ario (if different than the	e Licence Primary Con	tact Address above)	
City			Province ONTARIO	Postal Code	
Phone Numbe	er T	oll Free (if available)	Fax Number	E-mail Address	

3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. 🤇	Mrs. 🦳	Last Name	First Name	Initial
Miss (~ Other (~	Ms. (Title/Position		
		Company Name if different fi	rom Name to Appear on Licence	
Application F	Primary Contac	t Address:		
City		Province/State	Country	Postal/Zip Code
Phone Numb	er To	oll Free (if available) Fax Num	ber E-mail Address	

4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario. If the applicant intends to retail electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity), the applicant should also provide a telephone number which may be reached by the general public without a charge.

	Mra C	Last Name		First Name	Initial
	Mrs. 🦳	Cunningham		Mark	
	Ms. 🦳	Title/Position			
Other C		Director, Complia	nce and Regulatory Aff	fairs	
		Company Name	if different from Name t	o Appear on Licence	
Customer Com	nplaint or l	Inquiries Primary Contac	t Address:		
100 Glouceste	r Street. S	Suite 334.			
City			Province	Postal Code	
Ottawa			ONTARIO	K2P 0A4	
Phone Number	Г	Toll Free	Fax Number	E-mail Address	
613-702-4629	Ext.700	1-844-FIXED-R8	613-702-4639	m.cunningham@canadianenerg	yprotection.c

5. Type of Application

- $\subset \mathsf{New} \ \mathsf{licence}$
- Renewal, please provide the licence number and expiry date of the existing licence
 Licence Number: ER- 2014-0343
 Expiry Date: March 4, 2017

6. Trade Names

The electricity retailer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed electricity retailer.

Does the applicant intend to use trade names?

Yes, provide a list of trade names the applicant intends to use in the space provided below.

No, proceed to 7.

Canadian Energy Protection

7. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at <u>www.e-laws.gov.on.ca</u>).
 - Yes, provide details of current and expired licences in the table below.
 - No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partneretc.)	Licence Number
Canadian Energy Protection	applicant itself	ER-2014-0343
Canadian Energy Protection	applicant itself	GM-2014-0342

- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the Ontario Energy Board?
 - \boxtimes Yes, provide details in the table below.
 - No, proceed to 7(c).

Applicant Name	Type of Application	Ontario Energy Board File Number (if applicable)
Canadian Energy Protection Corp.	Gas Marketer (Renewal)	GM-2014-0342

(c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

Yes, provide details of current and expired licences in the table below

No No

 Company Name
 Jurisdiction
 Business Activity
 Name of Licensing Body
 Licence/Registration No.

 Image: Strate in the st

8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain. Will provide greater structural detail in a separate submission.

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)	
Mark Cunningham	President and Chairman	
Mark Cunningham	Director, Compliance and Regulatory Affairs	
Isabelle Cunningham	Executive Vice-President, Consumer Relations	
Isabelle Cunningham	Director of Sales	

9. Intended Services and Markets

(a)	Intended Services: please identify which of the following services the applicant intends to offer. You may select as many as applicable.
\boxtimes	to sell or offer to sell electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity) in Ontario.
\square	to sell or offer to sell electricity to large-volume consumers (annually consuming more than 150,000 kilowatt hours of electricity) in Ontario.
\boxtimes	to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to large-volume consumers, or as an agent or broker for large-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
\boxtimes	to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
ls the	e applicant currently providing any of the above listed services?
\boxtimes	Yes, provide a list of the services the applicant is currently providing.
	We currently sell and offer to sell natural gas and electricity to low, medium, and large volume consumers.
	No, indicate when the applicant intends to provide these services.
(b)	Wholesale Market Participation: does the applicant intend to operate in the IESO-administered markets and settle bilateral contracts through the IESO?
\boxtimes	Yes
	No, please explain how the applicant intends to participate.
(c)	Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by its customers through a distributor's settlement system?
\boxtimes	Yes
	No, please explain how the applicant intends to participate.