Ontario Energy Board Commission de l'énergie de l'Ontario

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ONTARIO ENERGY BOARD OFFICE OF THE BOARD SECRETARY

Print Form

Application for an Electricity Retailer Licence Application Instructions

1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant

- (a) to sell or offer to sell electricity to a consumer;
- (b) to act as agent or broker for a retailer with respect to the sale of electricity; and/or
- (c) to act or offer to act as an agent or broker for a consumer with respect to the sale or offering for sale of electricity.

2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- · type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

The applicant must also complete the checklist attached to the application form.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary Ontario Energy Board P.O Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4

If you have any questions regarding the completion of this application, please contact the Market Operations Hotline by telephone at 416-440-7604 or 1-888-632-6273 or e-mail at <u>market.operations@ontarioenergyboard.ca</u>.

Please note that the Board may require information that is additional or supplementary to the information filed in this application form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

The Board's "Performance Standards for Processing Applications" are indicated on the "Corporate Information and Reports" section of the Board's website at <u>www.ontarioenergyboard.ca</u>. Applicants are encouraged to consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. If the submitted application is incomplete, it may be returned by the Board or there may be a delay in processing the application.

3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at <u>www.ontarioenergyboard.ca</u>.

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Licences" section of the Board's website at <u>www.ontarioenergyboard.ca</u>.

4. Confidentiality

Sections 10 to 15 of this application will be treated as confidential. All other information filed as a part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 10 to 15, the applicant must follow the procedure set out in the Board's Practice Direction on Confidential Filings.

5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.

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 Ontario Energy

 Board

 P.O. Box 2319

 2300 Yonge Street

 27th Floor

 Toronto ON M4P 1E4

 Telephone: 1-888-632-6273

 Facsimile: (416) 440-7656

Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656



Application for an Electricity Retailer Licence

Fo	r Office Use Only
Application Number	EB-2017-0130
Date Received	

1. The Applicant

Legal	Name	of the	Applicant :	
Leval	INAME		Applicatil.	

En-Powered Inc.

En-Powered Inc.

Name to Appear on Licence:

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must

include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

- C Sole Proprietor
- C Partnership

 \mathbf{X}

- Corporation
- Other (describe)

Date of formation or incorporation:	March 18, 2015	
	*	

Place of formation or incorporation: 151 Charles St W Suite 199, Kitchener, Ontario, N2G 1H6

Province/state	Ontario	
Country	Canada	

If the applicant is an individual, the applicant must be at least 18 years old. If the applicant is an individual, is he or she at least 18 years old?

C Yes

C No

Not applicable - not an individual

2. Licence Primary Contact

r

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

	Last Name	First Name	Initial
Mr.	van Stee	Tomas	N
Miss (Ms. (Title/Position		
Other C	Founder and President		
	Company Name if different from Na	ame to Appear on Licence	
	n/a		
Licence Primary Contact	Address:		
151 Charles St W Suite 1	99		
City	Province/State	Country	Postal/Zip Code
Kitchener	Ontario	Canada	N2G 1H6
Phone Number	Toll Free (if available) Fax Number	E-mail Address	
647-633-3940	1-888-280-0790 n/a	tvanstee@en-powered	.com

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

	Last Name		First Name	
Mr. C Mrs. C				
Miss Ci Ms. Ci	Title/Position			0.000
Other C				
	Company Name if	different from Name to	Appear on Licence	
Address for Comiles in Onter	in <i>fif</i> different then th	a Liaanaa Driman Cant	aat Address about)	
Address for Service in Ontar	lo (il different than the	e Licence Primary Cont	act Address above)	
City		Province	Postal Code	
		ONTARIO		
Phone Number Tol	l Free (if available)	Fax Number	E-mail Address	

3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. C Miss C Other C	Mrs. (~ Ms. (~	Last Name Title/Position		First Name	Initial
		Company Name if dif	ferent from Name to	Appear on Licence	
Application Pr	imary Contact	Address:			
City		Province/Stat	e	Country	Postal/Zip Code
Phone Numbe	er Tol	Free (if available)	ax Number	E-mail Address	

4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario. If the applicant intends to retail electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity), the applicant should also provide a telephone number which may be reached by the general public without a charge.

· · · ·		Last Name		First Name	Initial
Mr. 💿	Mrs. 🔿	van Stee		Tomas	N
Miss 🔿	Ms. 🔿	Title/Position			
Other C	-	Founder and Pres	sident		
		Company Name	if different from Nam	e to Appear on Licence	
		n/a			
Customer Co	mplaint or Ir	nquiries Primary Contac	ct Address:		
151 Charles	St. W. Suite	199			
City			Province	Postal Code	
Kitchener			ONTARIO	N2G 1H6	
Phone Numb	er	Toll Free	Fax Number	E-mail Address	
647-633-394	0	1-888-280-0790	n/a	tvanstee@en-powered.c	com

5. Type of Application

○ New licence

Renewal, please provide the licence number and expiry date of the existing licence
 Licence Number: ER- 2015-0138
 Expiry Date: June 24, 2017

6. Trade Names

The electricity retailer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed electricity retailer.

Does the applicant intend to use trade names?

Yes, provide a list of trade names the applicant intends to use in the space provided below.

No, proceed to 7.

7. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at <u>www.e-laws.gov.on.ca</u>).

Yes, provide details of current and expired licences in the table below.

No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partneretc.)	Licence Number
En-Powered Inc.	Applicant itself	ER-2015-0138
En-Powered Inc.	Applicant itself	GM-2016-0026

- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the Ontario Energy Board?
 - Yes, provide details in the table below.
 - \boxtimes No, proceed to 7(c).

Applicant Name	Type of Application	Ontario Energy Board File Number (if applicable)
		Δ.

- (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?
 - Yes, provide details of current and expired licences in the table below
 - No No

 Company Name
 Jurisdiction
 Business Activity
 Name of Licensing Body
 Licence/Registration No.

 Image: Strate St

8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain. EnPowered has only recently begun operations and so its team is still quite small. At this time, it

will be offering only HOEP+ plans to consumers and so will not require a full commodity hedging team.

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Tomas van Stee	Founder and President

9. Intended Services and Markets

(a)	Intended Services: please identify which of the following services the applicant intends to offer. You may select as many as applicable.
\boxtimes	to sell or offer to sell electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity) in Ontario.
\boxtimes	to sell or offer to sell electricity to large-volume consumers (annually consuming more than 150,000 kilowatt hours of electricity) in Ontario.
	to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to large-volume consumers, or as an agent or broker for large-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
	to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
Is the	applicant currently providing any of the above listed services?
\square	Yes, provide a list of the services the applicant is currently providing.
	The applicant is currently licensed in the province of Ontario. In this role it is already offering energy solutions
	to low and large volume consumers in the province of Ontarlo both online and in-person
	No, indicate when the applicant intends to provide these services.
(b)	Wholesale Market Participation: does the applicant intend to operate in the IESO-administered markets and settle bilateral contracts through the IESO?
	Yes
\boxtimes	No, please explain how the applicant intends to participate.
	EnPowered will only be offering HOEP+ plans to its customers for the near future. It will therefore not be necessary
	to operate in the IESO administered markets at this time.
(c)	Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by its customers through a distributor's settlement system?
\boxtimes	Yes
	No, please explain how the applicant intends to participate.

(g) I understand and acknowledge that, as a licensed electricity retailer, I must enter into a service agreement with the distributor before registering customers in a distributor's service area.

Name	Signature	Date
Tomas van Stee	Coansta	March 05,2017

Must be signed by:

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a.

(a) the proprietor or by at least one partner, officer or director of the organization; and

(b) each key individual identified in section 8(b)

Page 26 of 27 October 2009 Checklist (return with application form)

- Two copies of the application form have been included with original signature on both copies.
- A cheque or money order for the non-refundable application fee has been included.
- A list of all officers and directors, including name and title has been attached to the application form.
- A corporate organization chart has been attached to the application form.
- Section 12 has been completed and all relevant financial information has been included.
- Section 15 has been completed for all key individuals.
- Each key individual has provided copies of two documents to support their identity. One of the documents includes a photo.