

Ontario Energy
Board
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27th Floor
Toronto ON M4P 1E4
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Commission de l'énergie
l'Ontario
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2300, rue Yonge
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Toronto ON M4P 1E4
Téléphone: 1-888-632-6273
Télécopieur: (416) 440-7656



Application for a Gas Marketer Licence

For Office Use Only	
Application Number	EB - 2017-0178
Date Received	May 1/17

1. The Applicant

Legal Name of the Applicant : Active Energy Inc.

Name to Appear on Licence: Active Energy Inc.

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ONTARIO ENERGY BOARD

☒ Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

☐ Sole Proprietor

☐ Partnership

☒ Corporation

☐ Other (describe) _____

Date of formation or incorporation: October 1, 2015 (Date of Incorporation / Amalgamation)

Place of formation or incorporation: Ontario (Place of Incorporation / Amalgamation)

Province/state Ontario

Country Canada

If the applicant is an individual, the applicant must be at least 18 years old.

If the applicant is an individual, is he or she at least 18 years old?

☐ Yes

☐ No

☒ Not applicable - not an individual

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the Board on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Stedman	Michael	A
Other <input type="radio"/>		Title/Position		
		President and Chief Operating Officer		
		Company Name if different from Name to Appear on Licence		

Licence Primary Contact Address:

390 Brant Street, Suite 402

City	Province/State	Country	Postal/Zip Code
Burlington	Ontario	Canada	L7R 4J4

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
416-238-5540 EXT221		905-333-0982	michael.stedman@activeenergy.ca

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>			
Other <input type="radio"/>		Title/Position		
		Company Name if different from Name to Appear on Licence		

Address for Service in Ontario (if different than the Licence Primary Contact Address above)

City	Province	Postal Code
	ONTARIO	

Phone Number	Toll Free (if available)	Fax Number	E-mail Address

3. Application Primary Contact

☐ Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The Board will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input checked="" type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Afelskie	Victoria	J
Other <input type="radio"/>		Title/Position		
		Senior Manager		
		Company Name if different from Name to Appear on Licence		
Application Primary Contact Address:				
390 Brant Street, Suite 402				
City	Province/State	Country	Postal/Zip Code	
Burlington	Ontario	Canada	L7R 4J4	
Phone Number	Toll Free (if available)	Fax Number	E-mail Address	
905-320-0529		905-333-0982	victoria.afelskie@activeenergy.ca	

4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario which may be reached by the general public without a charge.

Mr. <input type="radio"/>	Mrs. <input checked="" type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Martin	Irene	
Other <input type="radio"/>		Title/Position		
		Sales Manager		
		Company Name if different from Name to Appear on Licence		
Customer Complaint or Inquiries Primary Contact Address:				
390 Brant Street, Suite 402				
City	Province	Postal Code		
Burlington	ONTARIO	L7R 4J4		
Phone Number	Toll Free	Fax Number	E-mail Address	
647-294-2440		905-333-0982	irene.martin@activeenergy.ca	

5. Type of Application

☐ New licence

☒ Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: GM- 2012-0044 Expiry Date: September 19,2017

6. Trade Names

The gas marketer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed gas marketer.

Does the applicant intend to use trade names?

☒ Yes, provide a list of trade names the applicant intends to use in the space provided below.

☐ No, proceed to 7.

Active Business Services

7. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the Ontario Energy Board? (the *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca).

☒ Yes, provide details of current and expired licences in the table below.

☐ No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
Active Energy Corp	Affiliate (operated by same people)	GM-2007-0078
Active Energy Inc	Applicant Itself	ER-2007-0076
Active Energy Inc	Applicant Itself	GM-2012-0044
Active Energy Inc	Applicant Itself	ER-2012-0045

- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the Ontario Energy Board?

☒ Yes, provide details in the table below.

☐ No, proceed to 7(c).

Applicant Name	Type of Application	Ontario Energy Board File Number (if applicable)
Active Energy Inc	Electricity Retailer	

- (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

☒ Yes, provide details of current and expired licences in the table below

☐ No

Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.
Active Energy Corp	British Columbia	Gas Marketing	BCUC	A-24-11 and A14-13

8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain.

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Michael Stedman	President and COO
Christopher Waddick	Executive Vice President and CFO
John Gaby	Director of Commercial and Industrial Markets

9. Intended Services

Intended Services: please identify which of the following services the applicant intends to offer.

- ☒ to sell or offer to sell gas to low-volume consumers (consuming 50,000m³ or less of natural gas per year) in Ontario.
- ☐ to act as an agent or broker for a gas marketer with respect to the sale or offering for sale of natural gas to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of natural gas in Ontario.

Is the applicant currently providing any of the above listed services?

- ☒ Yes, provide a list of the services the applicant is currently providing.

Active Energy currently markets natural gas in Ontario to low volume consumers under licence GM-2007-0078

- ☐ No, indicate when the applicant intends to provide these services.
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v) Has this person been a proprietor, officer or director of an entity that had a registration or licence of any kind in Ontario and/or any other jurisdiction within North America refused, suspended, revoked or cancelled?

☐ Yes, provide name of business, jurisdiction and describe situation

☒ No


vi) Has this person been a proprietor, officer or director of an entity that had been subject to penalties, fines, voluntary payments as a result of an investigation or any other disciplinary actions by a regulatory body in Ontario and/or any other jurisdiction within North America in the past 5 years?

☐ Yes, provide name of business, jurisdiction and describe situation

☒ No

Documents to Support Identity

Copies of at least two documents, **other than a health card or SIN card**, to support the key individual's identity must be submitted with the application. One of these documents must include a photo. The documents, must be valid, issued by federal or provincial authority, and must include the individual's name and signatures. Examples of acceptable photo identification include a driver's licence, Canadian passport, Canadian citizenship card, Permanent Residency Card, Certificate of Indian Status. Examples of acceptable non-photo identification are Birth Certificate, Baptismal Certificate, Hunting Licence, Outdoors Card, Canadian Blood Donor Card, and Immigration Papers.

Signature of Key Individual 	Date 04/27/2017
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