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MAR 29 2018  
ONTARIO ENERGY BOARD



## Application for an Electricity Wholesaler Licence

For Office Use Only	
Application Number	EB- 2018-0141
Date Received	March 29/18

### 1. The Applicant

Legal Name of the Applicant : Royal Bank of Canada

Business Classification:

☐ Sole Proprietor

☐ Partnership

☒ Corporation

☐ Other (describe) \_\_\_\_\_

Date of formation or incorporation: June 22, 1869

Place of formation or incorporation:

Province/State Nova Scotia

Country Canada

If the applicant is an individual, the applicant must be at least 18 years old. If the applicant is an individual, is he or she at least 18 years old?

☐ Yes

☐ No

☒ Not Applicable - not an individual

Head Office or Business Address of Applicant

200 Bay Street

City	Province/State	Country	Postal/Zip Code
<u>Toronto</u>	<u>Ontario</u>	<u>Canada</u>	<u>M5J2J5</u>

Phone Number	Toll Free (if available)	E-mail Address
<u>416.974.9357</u>		<u>chantal.marchese@rbccm.com</u>

Website Address www.rbc.com

## 2. Application Type

☐ New

☒ Renewal, provide the licence number and expiry date of the current licence.

Licence Number EW-2013-0252

Expiry Date 21-Aug-18

## 3. Licence Primary Contact

The licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Everhardt	Brandon	
Other <input type="radio"/>		Title/Position		
		Co-Head, Energy & Index Trading		
		Company Name		
		RBC Capital Markets		

Licence Primary Contact Address:

200 Bay Street, 2nd Floor, South Tower

City	Province/State	Country	Postal/Zip Code
Toronto	Ontario	Canada	M5J2J5

Phone Number	Toll Free (if available)	E-mail Address
416.842.9651		brandon.everhardt@rbccm.com

## 4. Application Primary Contact

☐ Indicate if same as above. Proceed to section 5.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input checked="" type="radio"/>	Marchese	Chantal	
Other <input type="radio"/>		Title/Position		
		Senior Counsel		
		Company Name		
		RBC Capital Markets		

Application Primary Contact Address:			
200 Bay Street, 10th Floor, North Tower			
City	Province/State	Country	Postal/Zip Code
Toronto	Ontario	Canada	M5J2J5
Phone Number	Toll Free (if available)	E-mail Address	
416.974.9357		chantal.marchese@rbccm.com	

## 5. Trade Names

The electricity wholesaler licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

Does the applicant intend to use trade names?

- ☐ Yes, provide a list of trade names the applicant intends to use in the space provided below.
- ☒ No, proceed to section 6.


## 6. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership), ever been licensed by the OEB? (The *Business Corporations Act* definition for affiliate can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca))

- ☒ Yes, provide details of current and expired licences in the table below.
- ☐ No, proceed to 6(b).

Licensee Name	Relation to the Applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
Royal Bank of Canada	applicant itself	EW-2013-0252
2001 RBCP Canadian GP Limited	affiliate	EW-2012-0234
2001 RBCP Canadian GP Limited	affiliate	ER-2012-0369
2001 RBCP Canadian GP Limited	affiliate	GM-2012-0368

(b) Does the applicant, an affiliate of the applicant, or an associated entity have any other application(s) before the OEB?

☐ Yes, provide details in the table below.

☒ No, proceed to 6(c).

Applicant Name	Relationship to this Applicant	Type of Application	OEB File Number

(c) Has the applicant, an affiliate of the applicant, or an associated entity ever undertaken energy sector activity in any other jurisdiction within North America?

☒ Yes, provide details in the table below.

☐ No

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
See attached Schedule 6(c)				

## 7. Key Individuals

In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

Name of Key Individual	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Angus Rigby	Head, Commodities, RBC Capital Markets

## 8. Intended Markets and Services

Identify the applicant's intended markets and services. Select any that apply.

- ☒ To purchase electricity or ancillary services in the IESO-administered markets.
- ☒ To purchase electricity or ancillary services directly from a generator.
- ☒ To sell electricity or ancillary services in the IESO-administered markets.
- ☒ To sell electricity or ancillary services to wholesalers.
- ☒ To sell electricity or ancillary services to distributors (i.e., standard supply services).
- ☒ To sell electricity or ancillary services to electricity retailers.
- ☒ To sell electricity or ancillary services to persons outside of the Ontario market.
- ☐ To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. The application form can be found at [www.oeb.ca](http://www.oeb.ca).