

May 25, 2018

Board Secretary  
Ontario Energy Board  
PO Box 2319  
2300 Yonge Street, 27<sup>th</sup> Floor  
Toronto, ON  
M4P 1E4

**RECEIVED**

MAY 29 2018

ONTARIO ENERGY BOARD

EB-2018-0186

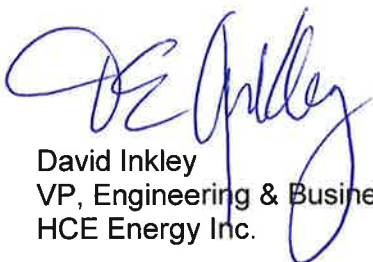
**RE: HCE Energy Inc. – Hamilton Hydro Services Inc.  
Renewal Application for the Electricity Retailer Licence  
Board File Number ER-2013-0245**

Further to the OEB Consumer Relations notification of expiry of our Electricity Retailer Licence ER-2013-0245, please find enclosed the following documentation for the Board's review:

- Two copies of the application form with original signatures on both copies.
- A cheque for the non-refundable renewal application fee.
- A list of all officers and directors, including name and title.
- A corporate organization chart.
- Section 12 has been completed with relevant financial information.
- Section 15 has been completed for all key individuals.
- Each key individual has provided copies of two documents to support their identity. Both documents include a photo.
- Two copies of the 'Release and Discharge Relating to Consent to Disclosure of Criminal Record Information' form signed by each key individual.
- The completed checklist.

Should you have any questions or require further documentation to support the renewal application process, please do not hesitate to contact Mr. Ron Harten, General Manager, HCE Energy Inc. at 905-645-0101, extension 4903.

Sincerely,



David Inkley  
VP, Engineering & Business Development  
HCE Energy Inc.

**RECEIVED**

MAY 29 2018

ONTARIO ENERGY BOARD

**Ontario Energy Board**

P.O. Box 2319  
2300 Yonge Street  
27<sup>th</sup> Floor  
Toronto ON M4P 1E4  
Telephone: 1-888-632-6273  
Facsimile: (416) 440-7656

**Commission de l'énergie l'Ontario**

C.P. 2319  
2300, rue Yonge  
27<sup>e</sup> étage  
Toronto ON M4P 1E4  
Téléphone: 1-888-632-6273  
Télécopieur: (416) 440-7656

## Application for an Electricity Retailer Licence

For Office Use Only

Application Number

EB - 2018-0186

Date Received

May 29/18

### 1. The Applicant

Legal Name of the Applicant : HCE Energy Inc (previously named Hamilton Hydro Services Inc)

Name to Appear on Licence: HCE Energy Inc (previously named Hamilton Hydro Services Inc)



Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

☐ Sole Proprietor☐ Partnership☒ Corporation☐ Other (describe) \_\_\_\_\_

Date of formation or incorporation: June 6, 2000

Place of formation or incorporation: Hamilton

Province/state Ontario

Country Canada

If the applicant is an individual, the applicant must be at least 18 years old.

If the applicant is an individual, is he or she at least 18 years old?

☐ Yes☐ No☒ Not applicable - not an individual

## 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Harten	Ron	J
Other <input type="radio"/>		Title/Position		
		General Manager		
		Company Name if different from Name to Appear on Licence		
		HCE Energy Inc		

Licence Primary Contact Address:

79 Bay Street North

City	Province/State	Country	Postal/Zip Code
Hamilton	Ontario	Canada	L8R 3P8

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
905 981 7336		905 645 0099	ron.harten@hamiltonce.com

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>			
Other <input type="radio"/>		Title/Position		
		Company Name if different from Name to Appear on Licence		

Address for Service in Ontario (if different than the Licence Primary Contact Address above)

City	Province	Postal Code
	ONTARIO	

Phone Number	Toll Free (if available)	Fax Number	E-mail Address

### 3. Application Primary Contact

☒ Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other <input type="radio"/>	<input type="text"/>	Title/Position		
		<input type="text"/>		
		Company Name if different from Name to Appear on Licence		
		<input type="text"/>		
Application Primary Contact Address:				
<input type="text"/>				
City	Province/State	Country	Postal/Zip Code	
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
Phone Number	Toll Free (if available)	Fax Number	E-mail Address	
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	

### 4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario. If the applicant intends to retail electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity), the applicant should also provide a telephone number which may be reached by the general public without a charge.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Harten	Ron	J
Other <input type="radio"/>	<input type="text"/>	Title/Position		
		General Manager		
		Company Name if different from Name to Appear on Licence		
		HCE Energy Inc		
Customer Complaint or Inquiries Primary Contact Address:				
79 Bay Street North				
City	Province	Postal Code		
Hamilton	ONTARIO	L8R 3P8		
Phone Number	Toll Free	Fax Number	E-mail Address	
905 981 7336	<input type="text"/>	905 645 0099	ron.harten@hamiltence.com	

## 5. Type of Application

☐ New licence

☒ Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: ER- 2013-0245

Expiry Date: October 23, 2018

## 6. Trade Names

The electricity retailer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed electricity retailer.

Does the applicant intend to use trade names?

☒ Yes, provide a list of trade names the applicant intends to use in the space provided below.

☐ No, proceed to 7.

Hamilton Community Energy

HCE Energy Inc

## 7. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the OEB? (the *Business Corporations Act* definition for affiliate can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca)).

☒ Yes, provide details of current and expired licences in the table below.

☐ No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
Hamilton Hydro Inc.	Distributor	EDC-1999-0115
Hamilton Hydro Inc.	Distributor	EDC-2002-0566
Hamilton Hydro Services Inc.	Generator	EG-2002-0383
Hamilton Hydro Services Inc.	Retailer	ER-2002-0384
Hamilton Hydro Services Inc.	Retailer	ER-2008-0294
Hamilton Hydro Services Inc.	Retailer	ER-2013-0245

- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the OEB?

☐ Yes, provide details in the table below.

☒ No, proceed to 7(c).

Applicant Name	Type of Application	Ontario Energy Board File Number (if applicable)

- (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

☐ Yes, provide details of current and expired licences in the table below

☒ No

Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.

## 8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

**Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain.**

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
David E. Inkley	Vice President Eng. and Dev. Hamilton Utilities Corp
Troy P. Hare	President HCE Telecom Inc.
Ron J. Harten	General Manager

## 9. Intended Services and Markets

(a) Intended Services: please identify which of the following services the applicant intends to offer. You may select as many as applicable.

- ☐ to sell or offer to sell electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity) in Ontario.
- ☒ to sell or offer to sell electricity to large-volume consumers (annually consuming more than 150,000 kilowatt hours of electricity) in Ontario.
- ☐ to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to large-volume consumers, or as an agent or broker for large-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
- ☐ to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of electricity in Ontario.

Is the applicant currently providing any of the above listed services?

- ☒ Yes, provide a list of the services the applicant is currently providing.  
Electricity delivered directly to City of Hamilton without using the distribution or transmission system. Any electricity in excess of the City's load requirements will be supplied to the distribution system and settled at the retail level.

☐ No, indicate when the applicant intends to provide these services.

---

(b) Wholesale Market Participation: does the applicant intend to operate in the IESO-administered markets and settle bilateral contracts through the IESO?

- ☐ Yes
- ☒ No, please explain how the applicant intends to participate.
- 
- 

(c) Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by its customers through a distributor's settlement system?

- ☐ Yes
- ☒ No, please explain how the applicant intends to participate.
- 
-