

2. Application Type

☐ New

☐ Renewal, provide the licence number and expiry date of the current licence.

Licence Number _____ Expiry Date _____

3. Licence Primary Contact

The licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Curry	Michael	
Other <input type="radio"/>		Title/Position		
		Managing Director/ Head of North America Commodities		
		Company Name		
		Citigroup Energy Canada ULC		

Licence Primary Contact Address:

2700 Post Oak Blvd, Suite 400

City	Province/State	Country	Postal/Zip Code
Houston	TX	USA	77056

Phone Number	Toll Free (if available)	E-mail Address
1-713-693-6866		mike.curry@citi.com

4. Application Primary Contact

☐ Indicate if same as above. Proceed to section 5.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>			
Other <input type="radio"/>		Title/Position		
		Company Name		

(b) Does the applicant, an affiliate of the applicant, or an associated entity have any other application(s) before the OEB?

☐ Yes, provide details in the table below.

☐ No, proceed to 6(c).

Applicant Name	Relationship to this Applicant	Type of Application	OEB File Number

(c) Has the applicant, an affiliate of the applicant, or an associated entity ever undertaken energy sector activity in any other jurisdiction within North America?

☐ Yes, provide details in the table below.

☐ No

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)

7. Key Individuals

In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

Name of Key Individual	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Michael Curry	Managing Director/Head of North America Commodities

13. Key Individual Information

Required for each key individual identified in section 7.

Key Individual # 1

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Curry	Michael	
Other <input type="radio"/>		Title/Position		
		Managing Director/Head of North America Commodities		
		Company Name		
		Citigroup Energy Canada ULC		

Personal Experience in the Energy Sector

(a) Does this person have experience in the energy sector?

☒ Yes, provide details

Over 25 years of experience in the energy sectors, in sales, origination, and managerial roles on the North American power and gas markets.

☐ No

(b) Has this person been a proprietor, partner, officer or director of an entity that was licensed by the OEB?

☒ Yes, provide licence number and name of licensee EW-2017-0094
Citigroup Energy Canada ULC☐ No

(c) Has this person been a proprietor, partner, officer or director of an entity that was registered or licensed in relation to any energy sector activities in Ontario or any other jurisdiction within North America?

☒ Yes, provide jurisdiction, name of business and business activity United States; Citigroup Energy Inc.
Market Maker in Commodity markets☐ No

(d) Has this person been a proprietor, partner, officer or director of an entity that had a registration or licence of any kind in Ontario or any other jurisdiction within North America refused, suspended, revoked or cancelled?

☐ Yes, provide name of business, jurisdiction and describe the situation _____☒ No

Signature of Key Individual 	Date <u>5-29-18</u>
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