



Lauren Bauer Zinman, Esq.
Corporate Counsel

August 23, 2018

VIA FEDERAL EXPRESS

Board Secretary
Ontario Energy Board
P.O. Box 2319
2300 Yonge Street, 27th Floor
Toronto, ON M4P 1E4

EB-2018-0265

RE: TFS ENERGY SOLUTIONS, LLC ELECTRICITY RETAILER LICENCE RENEWAL APPLICATION - ER-2008-0340

To Whom It May Concern:

Enclosed please find one original and one copy of the Electricity Retailer Licence Renewal Application for TFS Energy Solutions, LLC d/b/a Tradition Energy. Another original of the Application will follow next week. The two hundred dollar (\$200) renewal application fee was wired to the Ontario Energy Board on August 8, 2018 (Sequence Number:13086783.) Also, as per the email of Irina Kuznetsova on August 2, 2018, the Ontario Energy Board waived our requirement to provide identification documents.

If you need any additional information, please contact me at 203-391-2253 or lzinman@tradition.com. Thank you for your assistance with this matter.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Lauren Zinman', written over a horizontal line.

Lauren Bauer Zinman

Ontario Energy
Board
P.O. Box 2319
2300 Yonge Street
27th Floor
Toronto ON M4P 1E4
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Application for an Electricity Retailer Licence

For Office Use Only	
Application Number	EB - 2018-0265
Date Received	Aug 30/18

1. The Applicant

Legal Name of the Applicant : TFS Energy Solutions, LLC

Name to Appear on Licence: TFS Energy Solutions, LLC d/b/a Tradition Energy

☐ Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

☐ Sole Proprietor

☐ Partnership

☐ Corporation

☒ Other (describe) Limited Liability Corporation

Date of formation or incorporation: March 3, 2006

Place of formation or incorporation: Stamford, CT

Province/state Delaware

Country United States

If the applicant is an individual, the applicant must be at least 18 years old.

If the applicant is an individual, is he or she at least 18 years old?

☐ Yes

☐ No

☒ Not applicable - not an individual

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	McDermott	Brian	
Other <input type="radio"/>		Title/Position		
		Executive Director, Head of Operations		
		Company Name if different from Name to Appear on Licence		

Licence Primary Contact Address:

9 West Broad Street, 9th Floor

City	Province/State	Country	Postal/Zip Code
Stamford	CT	United States	06902

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
203-205-9650	877-51-POWER	203-967-0426	brian.mcdermott@traditionenergy.com

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input checked="" type="radio"/>	Cardoso	Kelly	
Other <input type="radio"/>		Title/Position		
		Clerk		
		Company Name if different from Name to Appear on Licence		
		ESC CORPORATE SERVICES LTD.		

Address for Service in Ontario (if different than the Licence Primary Contact Address above)

445 King Street West, 4th Floor

City	Province	Postal Code
Toronto	ONTARIO	ON M5V 1K4

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
1 800 361.5744	1 800 361.5744	(514) 861-2751	kcardoso@crac.com

3. Application Primary Contact

☐ Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input checked="" type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Zinman	Lauren	
Other <input type="radio"/>		Title/Position		
		Corporate Counsel		
Company Name if different from Name to Appear on Licence				
Application Primary Contact Address:				
9 West Broad Street, 9th Floor				
City	Province/State	Country	Postal/Zip Code	
Stamford	CT	United States	06902	
Phone Number	Toll Free (if available)	Fax Number	E-mail Address	
203-391-2253		203-351-9567	lauren.zinman@tradition.com	

4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario. If the applicant intends to retail electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity), the applicant should also provide a telephone number which may be reached by the general public without a charge.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	McDermott	Brian	
Other <input type="radio"/>		Title/Position		
		Executive Director, Head of Operations		
Company Name if different from Name to Appear on Licence				
Customer Complaint or Inquiries Primary Contact Address:				
9 West Broad Street, 9th Floor				
City	Province	Postal Code		
Stamford, CT	ONTARIO	06902		
Phone Number	Toll Free	Fax Number	E-mail Address	
203-205-9650	877-5-POWER	203-967-0426	brian.mcdermott@traditionenergy.com	

5. Type of Application

☐ New licence

☒ Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: ER- 2013-0340

Expiry Date: January 22, 2019

6. Trade Names

The electricity retailer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed electricity retailer.

Does the applicant intend to use trade names?

☒ Yes, provide a list of trade names the applicant intends to use in the space provided below.

☐ No, proceed to 7.

Tradition Energy

7. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the OEB? (the *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca).

☒ Yes, provide details of current and expired licences in the table below.

☐ No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
TFS Energy Solutions, LLC	Applicant itself	EB-2008-0320
TFS Energy Solutions, LLC	Applicant itself	EB-2013-0340

- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the OEB?

☐ Yes, provide details in the table below.

☒ No, proceed to 7(c).

Applicant Name	Type of Application	Ontario Energy Board File Number (if applicable)

- (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

☒ Yes, provide details of current and expired licences in the table below

☐ No

Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.
See attached				
Schedule 7(c)				

8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain. _____

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Alan Kurzer	Chief Executive Officer
Larry Rosenshein	Chairman
Brian McDermott	Executive Director, Head of Operations

9. Intended Services and Markets

(a) Intended Services: please identify which of the following services the applicant intends to offer. You may select as many as applicable.

- ☐ to sell or offer to sell electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity) in Ontario.
- ☐ to sell or offer to sell electricity to large-volume consumers (annually consuming more than 150,000 kilowatt hours of electricity) in Ontario.
- ☒ to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to large-volume consumers, or as an agent or broker for large-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
- ☒ to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of electricity in Ontario.

Is the applicant currently providing any of the above listed services?

- ☒ Yes, provide a list of the services the applicant is currently providing.

Applicant provides electricity brokering services to commercial and industrial customers in Ontario

- ☐ No, indicate when the applicant intends to provide these services.
-

(b) Wholesale Market Participation: does the applicant intend to operate in the IESO-administered markets and settle bilateral contracts through the IESO?

- ☐ Yes
- ☒ No, please explain how the applicant intends to participate.

Applicant acts as a broker by soliciting bids from energy suppliers; assisting in the selection of energy suppliers; and helping customers manage their energy costs. Applicant never takes title to or supplies electricity.

(c) Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by its customers through a distributor's settlement system?

- ☐ Yes
- ☒ No, please explain how the applicant intends to participate.

Applicant acts as a broker by soliciting bids from energy suppliers; assisting in the selection of energy suppliers; and helping customers manage their energy costs. Applicant will never takes title to or supplies electricity.
