

October 15, 2018

VIA FEDEX Board Secretary Ontario Energy Board P.O. Box 2319 2300 Yonge Street, 27<sup>th</sup> Floor Toronto, ON M4P 1E4 Suite 200 Huntersville, NC 28078 (704) 274-1450 office (704) 274-1430 fax www.xoomenergy.com

CNTARIO ENERGY SOARD

RE: XOOM Energy ONT, ULC – Licence Renewal Applications Electricity Retailer Licence ER-2016-0227 Gas Marketer Licence GM-2016-0226



Dear Sirs/Mesdames

XOOM Energy ONT, ULC ("XOOM Energy") holds Electricity Retailer Licence 2016-0227 and Gas Marketer Licence GM-2016-0226. XOOM Energy hereby submits its renewal applications for both its Electricity Retailer and Gas Marketer licences.

XOOM Energy recently learned that the Ontario Energy Board's processing time for renewal applications is 90 days. Fewer than 90 days remain in the term of XOOM Energy's Electricity Retailer and Gas Marketer licences. XOOM Energy therefore respectfully requests that the Ontario Energy Board grant an interim order, pursuant to section 21(7) of the Ontario Energy *Board Act*, to extend XOOM Energy's current licences pending review and approval of the enclosed renewal applications. *Board Act*, to extend XOOM Energy's current licences pending review and approval of the enclosed renewal applications. Granting this interim order will maintain the status quo of energy supply for Ontario residents who purchase electricity and natural gas through XOOM Energy, prevent customer confusion, and eliminate the chance of any burdens being placed upon distributors. XOOM Energy therefore submits that it is in the public interest that the Board grant this request for an interim order.

XOOM Energy appreciates your review of its renewal applications and looks forward to continuing to provide energy supply service in Ontario.

Please feel free to contact me at parker.tinsley@xoomenergy.com or call me at 704-274-1450 with questions. Thank you in advance.

Respectfully, Parker Tinsley **Regulatory Specialist** 

Regulatory Specialist XOOM Energy, LLC, single-member manager of XOOM Energy ONT, ULC

## Application for a Gas Marketer Licence Application Instructions

#### 1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant

- (a) to sell or offer to sell natural gas to low-volume consumers (consuming 50,000m<sup>3</sup> or less of natural gas per year);
- (b) to act as the agent or broker for a seller of natural gas to a low-volume consumer; and/or
- (c) to act or offer to act as an agent or broker of a low-volume consumer in the purchase of gas.

#### 2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

The applicant must also complete the checklist attached to the application form.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary Ontario Energy Board P.O Box 2319 2300 Yonge Street, 27<sup>th</sup> Floor Toronto, ON M4P 1E4

Any questions regarding the completion of this application should be directed to the Ontario Energy Board (OEB) at IndustryRelations@oeb.ca.

Please note that the OEB may require information that is additional or supplementary to the information filed in this application form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

Applicants should consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. Processing timelines are indicated on the "<u>Performance Standards for Processing Applications</u>" page of the OEB's website at <u>www.oeb.ca</u>. If submitted incomplete, there may be a delay in processing the application.

#### 3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at <u>www.oeb.ca</u>.

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at <u>www.oeb.ca</u>.

#### 4. Confidentiality

Sections 10 to 15 of this application will be treated as confidential. All other information filed as a part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 10 to 15, the applicant must follow the procedure set out in the OEB's Practice Direction on Confidential Filings.

#### 5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.



**Print Form** 

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Ontario Energy Board P.O. Box 2319 2300 Yonge Street 27 <sup>th</sup> Floor Toronto ON M4P 1E4 Telephone: 1-888-632-6 Facsimile: (416) 440-7		nge		Ontario
			Fo	r Office Use Only
Application	for a Gas Ma	rketer Licence	Application Number	EB-2018-0298
			Date Received	Oct. 18/18
1. The Applica	ant			
Legal Name of t		XOOM Energy ONT, ULC		RECEIVER
Name to Appear	on Licence:	XOOM Energy ONT, ULC		OCT 1 8 2019
		ar on the licence is the same as	the legal name.	ONTARIO ENERGY SCARD
include the legal	name of the appli	pear on the licence is not the sai cant and the legal name must a and on the licence.		, the name on the licence must he to Appear on Licence" will
Business Classif	ication:			
C Sole Propriet	or			
C Partnership				
Corporation				
○ Other (descril	be)			
Date of formation	n or incorporation:	June 7, 2016		
Place of formatio	n or incorporation	: Nova Scotia, Canada		
Province/state	Nova Scotia			
Country	Canada		NI	
		e applicant must be at least 18 y ne or she at least 18 years old?	ears old.	

 $\bigcirc \mathsf{No}$ 

Not applicable - not an individual

## 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

	Last Name	First Name	Initial
Mr. O Mrs. O	Kueffner	Stephanie	С
Miss 🔿 Ms. 💽	Title/Position		
Other C	Associate Counsel		
	Company Name if different from Na	me to Appear on Licence	ii ii
	XOOM Energy, LLC		
Licence Primary Contact	t Address:		
11208 Statesville Road,	Suite 200		
City	Province/State	Country	Postal/Zip Code
Huntersville	North Carolina	USA	28078
Phone Number	Toll Free (if available) Fax Number	E-mail Address	
704-274-1450		XOOM_Regulatory@x	coomenergy.com

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

	Last Name	F	First Name	
Mr. 🔿 Mrs. 🔿				
Miss 🔿 Ms. 🔿	Title/Position			
Other C				
	Company Name if	different from Name to A	Appear on Licence	
	ESC Corporate Se	rvice LTD.		
Address for Service in On	tario (if different than th	e Licence Primary Conta	act Address above)	
445 King Street West, 4th	Floor			
City		Province	Postal Code	
Toronto		ONTARIO	M5V 1K4	
Phone Number	Toll Free (if available)	Fax Number	E-mail Address	
416-595-7177				

## 3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

		Last Name		First Name	Initial
Mr. 🕥	Mrs. 🖸	Tinsley		Parker	J
Miss 📿	Ms. C	Title/Position			
Other C		Regulatory Special	ist		
		Company Name if	different from Name t	o Appear on Licence	
		XOOM Energy, LL	С		
Application P	rimary Contact	Address:			
11208 States	ville Road, Sui	te 200			
City		Province/S	tate	Country	Postal/Zip Code
Huntersville		North Carol	ina	USA	28078
Phone Numbe	er To	ll Free (if available)	Fax Number	E-mail Address	
704-274-1450	)			parker.tinsley@x	oomenergy.com

### 4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario which may be reached by the general public without a charge.

		Last Name		First Name	Initial
Mr. G	Mrs. 🔿	Kulesa		Patricia	
Miss 🔿	Ms. 💽	Title/Position			
Other C		Compliance Manag	ger		
		Company Name if	different from Name	to Appear on Licence	
		XOOM Energy, LL	.C		
Customer Co	mplaint or Ir	nquiries Primary Contact	Address:		
777 Bay Stre	et, Suite C2	08-B			
City			Province	Postal Code	
Toronto			ONTARIO	M5G 2C8	
Phone Numb	er	Toll Free	Fax Number	E-mail Address	
226-828-856	4	866-304-6493		pkulesa@xoomenergy	.com

## 5. Type of Application

- New licence
- Renewal, please provide the licence number and expiry date of the existing licence
  Licence Number: GM- 2016-0226
  Expiry Date: November 9, 2018

#### 6. Trade Names

The gas marketer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed gas marketer.

Does the applicant intend to use trade names?

Yes, provide a list of trade names the applicant intends to use in the space provided below.

No, proceed to 7.

## 7. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the OEB? (the *Business Corporations Act* definition for affiliate can be found at <u>www.e-laws.</u> <u>gov.on.ca</u>).
  - Yes, provide details of current and expired licences in the table below.
  - No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partneretc.)	Licence Number
XOOM Energy ONT, ULC	Applicant itself	GM-2016-0226
XOOM Energy ONT, ULC	Applicant itself	ER-2016-0227

- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the OEB?
  - Yes, provide details in the table below.
  - No, proceed to 7(c).

Applicant Name	Type of Application	OEB File Number (if applicable)
XOOM Energy ONT, ULC	Renewal - Electricity Retailer License	

(c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

Yes, provide details of current and expired licences in the table below

|--|

Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.
Please see Exhibit 7(c)				

### 8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

# Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain.

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Michael Starck	Vice President
Stephanie Kueffner	Regulatory Counsel
Michael Chester	Director of Retail Operations
Ryan Sullivan	Director, Cash & Collateral Management

## 9. Intended Services

Intended Services: please identify which of the following services the applicant intends to offer.

to sell or offer to sell gas to low-volume consumers (consuming 50,000m<sup>3</sup> or less of natural gas per year) in Ontario.

to act as an agent or broker for a gas marketer with respect to the sale or offering for sale of natural gas to lowvolume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of natural gas in Ontario.

Is the applicant currently providing any of the above listed services?

Yes, provide a list of the services the applicant is currently providing.

Applicant is currently selling or offering to sell gas to low-volume customers in Ontario.

No, indicate when the applicant intends to provide these services.