

Ontario Energy
Board
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Commission de l'énergie
l'Ontario
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2300, rue Yonge
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Toronto ON M4P 1E4
Téléphone: 1-888-632-6273
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Application for a Gas Marketer Licence

For Office Use Only	
Application Number	EB- 64-2013-0329
Date Received	January 16, 2014

EB-2018-0308

Nov 2/18

1. The Applicant

Legal Name of the Applicant :

Amerex Brokers LLC

Name to Appear on Licence:

Amerex Brokers LLC

☐

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

☐ Sole Proprietor

☐ Partnership

☐ Corporation

☒ Other (describe)

limited liability company

Date of formation or incorporation:

August 22, 2006

Place of formation or incorporation:

State of Delaware

Province/state

Delaware

Country

USA

If the applicant is an individual, the applicant must be at least 18 years old.

If the applicant is an individual, is he or she at least 18 years old?

☐ Yes

☐ No

☒ Not applicable - not an individual

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ONTARIO ENERGY BOARD

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input checked="" type="radio"/>	Berrios	Amalia	M
Other <input type="radio"/>		Title/Position		
		VP / Sr. Counsel		
Company Name if different from Name to Appear on Licence				

Licence Primary Contact Address:

1 Sugar Creek Center Blvd., Ste 700

City	Province/State	Country	Postal/Zip Code
Sugar Land	Texas	USA	77478

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
2813405212		281220 8374	aberrios@amerexenergy.com

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Sturdy	James	
Other <input type="radio"/>		Title/Position		
		President		
Company Name if different from Name to Appear on Licence				
Idealogic AFS Inc.				

Address for Service in Ontario (if different than the Licence Primary Contact Address above)

408-105 Victoria St.

City	Province	Postal Code
Toronto	ONTARIO	M5C 3B4

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
416 506 9900		416 506 0700	orders@idealogic.com

3. Application Primary Contact

☒ Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other <input type="radio"/>	<input type="text"/>	Title/Position		
		<input type="text"/>		
		Company Name if different from Name to Appear on Licence		
		<input type="text"/>		

Application Primary Contact Address:

City	Province/State	Country	Postal/Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario which may be reached by the general public without a charge.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Sturdy	James	F
Other <input type="radio"/>	<input type="text"/>	Title/Position		
		President		
		Company Name if different from Name to Appear on Licence		
		Idealogic AFS Inc.		

Customer Complaint or Inquiries Primary Contact Address:

408 - 105 Victoria St.

City	Province	Postal Code
Toronto	ONTARIO	M5C3B4

Phone Number	Toll Free	Fax Number	E-mail Address
416 506 9900	866 802 0519	416 506 6700	orders@idealogic.com

5. Type of Application

☐ New licence

☒ Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: GM- 2013-0329 Expiry Date: January 15, 2019

6. Trade Names

The gas marketer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed gas marketer.

Does the applicant intend to use trade names?

☒ Yes, provide a list of trade names the applicant intends to use in the space provided below.

☐ No, proceed to 7.

<u>Amerex Energy Services</u>

7. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the OEB? (the *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca).

☒ Yes, provide details of current and expired licences in the table below.

☐ No, proceed to 7(b).

Licensor Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
<u>Amerex Brokers LLC</u> <u>EL-2013-0330</u>	<u>applicant itself</u>	<u>EL-2013-0330</u>
<u>Amerex Brokers LLC</u> <u>GM-2013-0329</u>	<u>applicant itself</u>	<u>GM-2013-0329</u>

- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the OEB?

☒ Yes, provide details in the table below.

☐ No, proceed to 7(c).

Applicant Name	Type of Application	OEB File Number (if applicable)
Amerex Brokers LLC	Renewal ^{Electricity} Retailer License	ER-2013-0330

- (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

☒ Yes, provide details of current and expired licences in the table below

☐ No

Please see Exhibit A

Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.

8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title. Please see Exhibit B

- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors. Please see Exhibit C

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain.

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Mark Gaynor	Sr. Managing Director
Amalia Berrios	VP/Sr. Counsel
Steve Marland	Managing Director

9. Intended Services

Intended Services: please identify which of the following services the applicant intends to offer.

- ☐ to sell or offer to sell gas to low-volume consumers (consuming 50,000m³ or less of natural gas per year) in Ontario.
- ☒ to act as an agent or broker for a gas marketer with respect to the sale or offering for sale of natural gas to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of natural gas in Ontario.

Is the applicant currently providing any of the above listed services?

- ☒ Yes, provide a list of the services the applicant is currently providing.

energy procurement/consulting with respect
to power, gas, and renewable energy

- ☐ No, indicate when the applicant intends to provide these services.