Ontario Energy Board P.O. Box 2319 2300 Yonge Street 27th Floor Toronto ON M4P 1E4 Telephone: 1-888-632-6273 Facsimile: (416) 440-7656

Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656



Application for an Electricity Wholesaler Licence

| For C | Office Use Only |
|--------------------|-----------------|
| Application Number | EB- |
| Date Received | |

| 1. The Applicant | | | | | |
|--|--|----------------------------------|------------------------------------|-----------------|--|
| Legal Name of the A | e Applicant : NOVA Chemicals (Canada) Ltd. | | | | |
| Business Classificati | ion: | | | | |
| Sole Proprietor | | | | | |
| Partnership | | | | | |
| ✓ Corporation | | | | | |
| Other (describe) | | | | | |
| Date of formation or | incorporation: Jan | uary 2, 1990 | | | |
| Place of formation or | r incorporation: | | | | |
| Province/State | | | | | |
| Country | Canada | | | | |
| If the applicant is an she at least 18 years | | licant must be at least 18 years | old. If the applicant is an indivi | dual, is he or | |
| ☐ Yes | | | | | |
| ☐ No | | | | | |
| ✓ Not Applicable - | not an individual | | | | |
| Head Office or Busin | ness Address of Ap | pplicant | | | |
| 785 Petrolia Line | | | | | |
| City | | Province/State | Country | Postal/Zip Code | |
| Corunna | | Ontario | Canada | N0N 1G0 | |
| Phone Number | To | oll Free (if available) | E-mail Address | | |
| 519-862-2911 | | | legal.notices@novachem.com | n | |
| Website Address | www.novachem.c | om | | | |

| 2. Application Type | | | |
|--|--|---|----------------------|
| ☐ New | | | |
| Renewal, provide the licer | nce number and expiry date of the c | current licence. | |
| Licence Number EW-2000-0 | D303 Expiry Date | February 5, 2006 | |
| 3. Licence Primary Cont The licensee shall designate a | act a person who will act as primary con | stact with the OEB on matters rela | ated to the licence. |
| | Last Name | First Name | Initial |
| Mr. C Mrs. • | Racle | Sophie | Т |
| Miss C Ms. C | Title/Position | | |
| Other C | Leader, Natural Gas & Electricity | | |
| | Company Name | | |
| | NOVA Chemicals (Canada) Ltd. (| "NCCL") | |
| Licence Primary Contact Add | lroce: | | |
| | | | |
| 1000 - 7th Avenue SW | | | |
| City | Province/State | Country | Postal/Zip Code |
| Calgary | Alberta | Canada | T2P 5L5 |
| Phone Number Toll Free (if available) E-mail Address | | | |
| 403-750-2746 | | sophie.racle@novache | m.com |
| 4. Application Primary C Indicate if same as above | e. Proceed to section 5. | | |
| primary contact noted above. contact for the licence applicate | ence application may be a person wanter An applicant may also choose to detion. The OEB will communicate with ence primary contact after a licence | esignate a consultant, lawyer, etc th this person during the course of | c. to be the primary |
| Mr. • Mrs. C | Last Name | First Name | Initial |
| | Mondrow | lan | A |
| Miss C Ms. C | Title/Position | | |
| Other C | External Counsel | | |
| | Company Name | | |
| | Gowling WLG | | |

| Application Primary Contact Address: | | | |
|--|---|----------------------|-----------------|
| 1 First Canadian Canadian Place, 100 l | King Street West, Suite 1600 | | |
| City | Province/State | Country | Postal/Zip Code |
| Toronto | Ontario | Canada | M5X 1G5 |
| Phone Number Tol | l Free (if available) | E-mail Address | |
| 416-369-4670 | | ian.mondrow@go | wlings.com |
| | | | |
| | | | |
| 5. Trade Names | | | |
| The electricity wholesaler licence authorical held (i.e. the applicant's legal name). It a | | | |
| Does the applicant intend to use trade na | ames? | | |
| Yes, provide a list of trade name | s the applicant intends to use | in the space provide | ed below. |
| ✓ No, proceed to section 6. | | | |
| | | | |
| | | | |
| | * , * . | | x x 4 x , |
| | | | |
| | | | |
| | N | | |
| 6. Applicant's Licensing Status a | and History | | |
| (a) Has the applicant, an affiliate of the ap licensed by the OEB? (The <i>Business</i> | | | |
| ✓ Yes, provide details of current ar | nd expired licences in the table | below. | |
| No, proceed to 6(b). | | | |
| Licensee Name | Relation to the (e.g., applicant itself, affi | | Licence Number |
| NCCI | Applicant | | EW-2000-0303 |

| Licensee Name | Relation to the Applicant (e.g., applicant itself, affiliate, partneretc.) | Licence Number |
|------------------------------------|--|----------------|
| NCCL | Applicant | EW-2000-0303 |
| NOVA Chemicals Corporation ("NCC") | Affiliate | EW-2000-0302 |
| NCCL | Applicant | ER-2000-0166 |
| NCC | Affiliate | ER-2000-0167 |
| | | |

| (b) Does the applicant ☐ Yes, provide deta ☐ No, proceed to 6(| ils in the table bel | | cant, or an associated e | ntity have any other app | lication(s) before the OEB? |
|--|---------------------------|--------------------------------|--------------------------|---|---|
| Applicant Name | | Relationship to this Applicant | | Type of Application | OEB File Number |
| | | | | | |
| | | | | , | |
| (c) Has the applicant, an affiliate of the applicant, or an associated entity ever undertaken energy sector activity in any other jurisdiction within North America? ✓ Yes, provide details in the table below. □ No | | | | | |
| Company Name | Relation to the Applicant | | Jurisdiction | Business Activity | Name of Licensing Body and Licence/Registration No. (if applicable) |
| NCC | Affiliate | | Alberta ("AB") | 20% jv owner of cogen plant, market participant | AB Utilities Commission U2013-283 |
| | | | | | |
| | | | | | |

7. Key Individuals

In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

| Name of Key Individual | Title/Position within Applicant's Business (or identify company if not the Applicant's Business) | |
|------------------------|--|--|
| Sophie Racle | Leader, Natural Gas & Electricity | |
| Alanna Berkvens | Manufacturing East Finance Leader | |
| Tom Thompson | Regional Manufacturing Director, Manufacturing East | |

8. Intended Markets and Services

| Identi | ify the applicant's intended markets and services. Select any that apply. |
|----------|---|
| | To purchase electricity or ancillary services in the IESO-administered markets. |
| \times | To purchase electricity or ancillary services directly from a generator. |
| | To sell electricity or ancillary services in the IESO-administered markets. |
| | To sell electricity or ancillary services to wholesalers. |
| | To sell electricity or ancillary services to distributors (i.e., standard supply services). |
| | To sell electricity or ancillary services to electricity retailers. |
| | To sell electricity or ancillary services to persons outside of the Ontario market. |
| | To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. The application form can be found at www.oeb.ca. |