



Application for a Gas Marketer Licence

Application Instructions

1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant

- (a) to sell or offer to sell natural gas to low-volume consumers (consuming 50,000m³ or less of natural gas per year);
- (b) to act as the agent or broker for a seller of natural gas to a low-volume consumer; and/or
- (c) to act or offer to act as an agent or broker of a low-volume consumer in the purchase of gas.

2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

The applicant must also complete the checklist attached to the application form.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary
Ontario Energy Board
P.O. Box 2319
2300 Yonge Street, 27th Floor
Toronto, ON M4P 1E4

Any questions regarding the completion of this application should be directed to the Ontario Energy Board (OEB) at IndustryRelations@oeb.ca.

Please note that the OEB may require information that is additional or supplementary to the information filed in this application form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

Applicants should consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. Processing timelines are indicated on the "[Performance Standards for Processing Applications](#)" page of the OEB's website at www.oeb.ca. If submitted incomplete, there may be a delay in processing the application.

3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at www.oeb.ca.

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at www.oeb.ca.

4. Confidentiality

Sections 10 to 15 of this application will be treated as confidential. All other information filed as a part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 10 to 15, the applicant must follow the procedure set out in the OEB's Practice Direction on Confidential Filings.

5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.

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Ontario Energy Board
P.O. Box 2319
2300 Yonge Street
27th Floor
Toronto ON M4P 1E4
Telephone: 1-888-632-6273
Facsimile: (416) 440-7656

Commission de l'énergie
l'Ontario
C.P. 2319
2300, rue Yonge
27^e étage
Toronto ON M4P 1E4
Téléphone: 1-888-632-6273
Télécopieur: (416) 440-7656

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ONTARIO ENERGY BOARD



Application for a Gas Marketer Licence

For Office Use Only	
Application Number	EB - 2019-0240
Date Received	Sept 24/09

1. The Applicant

Legal Name of the Applicant : Dominion Newenergy Inc.

Name to Appear on Licence: Dominion Newenergy Inc., doing business as DNE Resources

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

Sole Proprietor

Partnership

Corporation

Other (describe) _____

Date of formation or incorporation: September 26, 2008

Place of formation or incorporation: Montreal

Province/state Quebec

Country Canada

If the applicant is an individual, the applicant must be at least 18 years old.

If the applicant is an individual, is he or she at least 18 years old?

Yes

No

Not applicable - not an individual

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Gohier	Jean-Marc	
Other <input type="radio"/>		Title/Position		
		EVP, Operations		
Company Name if different from Name to Appear on Licence				
Licence Primary Contact Address:				
100-16766 Trans-Canada Highway				
City	Province/State	Country	Postal/Zip Code	
Kirkland	Quebec	Canada	H9H 4M7	
Phone Number	Toll Free (if available)	Fax Number	E-mail Address	
8886317977 ext. 128		(888) 465-9223	jmg@dnehq.com	

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Eisenberg	Robert	
Other <input type="radio"/>		Title/Position		
		Associate		
Company Name if different from Name to Appear on Licence				
WeirFoulds LLP				
Address for Service in Ontario (if different than the Licence Primary Contact Address above)				
4100-66 Wellington St. W., PO BOX 35, TD Bank Tower				
City	Province	Postal Code		
Toronto	ONTARIO	M5K 1B7		
Phone Number	Toll Free (if available)	Fax Number	E-mail Address	
416-365-1110		416-365-1876		

3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input checked="" type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Roy-Hebert	Tessa	
Other <input type="radio"/>		Title/Position		
		Director of Legal and Regulatory Affairs		
		Company Name if different from Name to Appear on Licence		
Application Primary Contact Address:				
100-16766 Trans-Canada Highway				
City	Province/State	Country	Postal/Zip Code	
Kirkland	Quebec	Canada	H9H 4M7	
Phone Number	Toll Free (if available)	Fax Number	E-mail Address	
8886317977 ext. 314		8889581475	tessa.roy-hebert@dneresources.com	

4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario which may be reached by the general public without a charge.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Gohier	Jean-Marc	
Other <input type="radio"/>		Title/Position		
		EVP, Operations		
		Company Name if different from Name to Appear on Licence		
Customer Complaint or Inquiries Primary Contact Address:				
7-841 Sydney Street, Suite 316				
City	Province	Postal Code		
Cornwall	ONTARIO	K6H 7L2		
Phone Number	Toll Free	Fax Number	E-mail Address	
647-361-8114	1-888-631-7977	1-888-465-9223	customercare@dneresources.com	

5. Type of Application

- New licence
- Renewal, please provide the licence number and expiry date of the existing licence
 Licence Number: GM- 2014-0353 Expiry Date: February 11, 2020

6. Trade Names

The gas marketer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed gas marketer.

Does the applicant intend to use trade names?

- Yes, provide a list of trade names the applicant intends to use in the space provided below.
- No, proceed to 7.

DNE Resources
DNE Sales

7. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the OEB? (the *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca).
- Yes, provide details of current and expired licences in the table below.
- No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
Dominion Newenergy Inc.	Applicant itself	ER-2014-0220
Dominion Newenergy Inc.	Applicant itself	ER-2019-0145
Dominion Newenergy Inc.	Applicant itself	GM-2014-0353

(b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the OEB?

- Yes, provide details in the table below.
 No, proceed to 7(c).

Applicant Name	Type of Application	OEB File Number (if applicable)

(c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

- Yes, provide details of current and expired licences in the table below
 No

Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.
DNE Sales, LLC	Ohio	Gas Broker	PUCO	17-605G(1)
DNE Sales, LLC	Ohio	Electricity Broker	PUCO	17-1224E(1)
DNE Sales, LLC	Massachusetts	Gas Broker	Dept. of Public Utilities	RA-184
DNE Sales, LLC	Massachusetts	Electricity Broker	Dept. of Public Utilities	EB-414
DNE Sales, LLC	Montana	Gas Broker	MPSC	Natural Gas Supplier

8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain. _____

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Wayne Burke	CEO
Jean-Marc Gohier	EVP, Operations
Richard Fortin	COO

9. Intended Services

Intended Services: please identify which of the following services the applicant intends to offer.

- to sell or offer to sell gas to low-volume consumers (consuming 50,000m³ or less of natural gas per year) in Ontario.
- to act as an agent or broker for a gas marketer with respect to the sale or offering for sale of natural gas to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of natural gas in Ontario.

Is the applicant currently providing any of the above listed services?

- Yes, provide a list of the services the applicant is currently providing.

- No, indicate when the applicant intends to provide these services.
2020 or as soon as market conditions become more favorable.

16. Notice

The OEB is authorized, under section 4.14 of the *Ontario Energy Board Act, 1998*, to collect personal information for the purpose of carrying out its duties and exercising its powers under the *Ontario Energy Board Act, 1998* or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

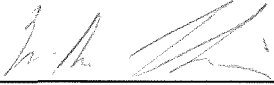

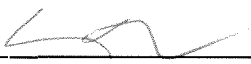
Board Secretary
Ontario Energy Board
P.O Box 2319
2300 Yonge Street, 27th Floor
Toronto, ON
M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the *Freedom of Information and Protection of Privacy Act* ("FIPPA"). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the Board from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

17. Certification and Acknowledgement

- (a) I certify that the information contained in this application and in the documents provided are true and accurate.
- (b) I understand and acknowledge that, as a licensed natural gas marketer, I must provide information as the OEB may require from time to time.
- (c) I understand and acknowledge that, as a licensed natural gas marketer, I may have to meet requirements to disclose information to consumers in accordance with any government regulation made or standard set by the OEB.
- (d) I understand and acknowledge that, as a licensed natural gas marketer, I must enter into a service agreement with the gas distributor before registering customers in a distributor's franchise area.

Name	Signature	Date
Sean-Marc Gubler		2019-9-13
Richard Krotz		2019-09-16
Wayne Burke		2019-09-17

Must be signed by:

- (a) the proprietor or by at least one partner, officer or director of the organization; and
- (b) each key individual identified in section 8(b)

Checklist (return with application form)

- Two copies of the application form have been included with original signature on both copies.
- A cheque or money order for the non-refundable application fee has been included.
- A list of all officers and directors, including name and title has been attached to the application form.
- A corporate organization chart has been attached to the application form.
- Section 12 has been completed and all relevant financial information has been included.
- Section 15 has been completed for all key individuals.
- Each key individual has provided copies of two documents to support their identity. One of the documents includes a photo.