

Ontario Energy
Board
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Commission de l'énergie
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FEB 25 2020

ONTARIO ENERGY BOARD



Application for an Electricity Retailer Licence

For Office Use Only	
Application Number	EB - 2020-0090
Date Received	Feb 25/20

1. The Applicant

Legal Name of the Applicant : Shell Energy North America (Canada) Inc.

Name to Appear on Licence: _____

☒ Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

☐ Sole Proprietor

☐ Partnership

☒ Corporation

☐ Other (describe) _____

Date of formation or incorporation: September 5, 1996

Place of formation or incorporation: Calgary

Province/state Alberta

Country Canada

If the applicant is an individual, the applicant must be at least 18 years old.

If the applicant is an individual, is he or she at least 18 years old?

☐ Yes

☐ No

☒ Not applicable - not an individual

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Smith	David	L
Other <input type="radio"/>		Title/Position		
		Regulatory Reporting Specialist		
		Company Name if different from Name to Appear on Licence		

Licence Primary Contact Address:

1000 Main Street 16 070A

City	Province/State	Country	Postal/Zip Code
Houston	Texas	United States of America	77002-6336

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
713-767-5542			dave.l.smith@shell.com

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Chownyk	Greg	
Other <input type="radio"/>		Title/Position		
		President		
		Company Name if different from Name to Appear on Licence		

Address for Service in Ontario (if different than the Licence Primary Contact Address above)

90 Sheppard Avenue East, Suite 600

City	Province	Postal Code
Toronto	ONTARIO	M2N 6Y2

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
416 227 7304			Greg.Chownyk@shell.com

3. Application Primary Contact

☒ Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other <input type="radio"/>	<input type="text"/>	Title/Position	<input type="text"/>	
		Company Name if different from Name to Appear on Licence	<input type="text"/>	

Application Primary Contact Address:

City	Province/State	Country	Postal/Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario. If the applicant intends to retail electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity), the applicant should also provide a telephone number which may be reached by the general public without a charge.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Chownyk	Chownyk	<input type="text"/>
Other <input type="radio"/>	<input type="text"/>	Title/Position	<input type="text"/>	
		President		
		Company Name if different from Name to Appear on Licence	<input type="text"/>	

Customer Complaint or Inquiries Primary Contact Address:

90 Sheppard Avenue East, Suite 600

City	Province	Postal Code
Toronto	ONTARIO	M2N 6Y2

Phone Number	Toll Free	Fax Number	E-mail Address
416 227 7304	<input type="text"/>	<input type="text"/>	Greg.Chownyk@shell.com

5. Type of Application

☐ New licence

☒ Renewal, please provide the licence number and expiry date of the existing licence

Licence Number: ER- 2014-0383 Expiry Date: March 11, 2020

6. Trade Names

The electricity retailer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed electricity retailer.

Does the applicant intend to use trade names?

☐ Yes, provide a list of trade names the applicant intends to use in the space provided below.

☒ No, proceed to 7.

7. Applicant's Licensing Status and History

(a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the OEB? (the *Business Corporations Act* definition for affiliate can be found at www.e-laws.gov.on.ca).

☒ Yes, provide details of current and expired licences in the table below.

☐ No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
Shell Energy North America (Canada) Inc.	Applicant	EW-2015-0348

- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the OEB?

☐ Yes, provide details in the table below.

☒ No, proceed to 7(c).

Applicant Name	Type of Application	Ontario Energy Board File Number (if applicable)

- (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

☒ Yes, provide details of current and expired licences in the table below

☐ No

Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.
SENAC	Various in Canada	NG & Power Trading	Various Canadian Gov.	Available Upon Request
SENA	Various in U.S.A.	NG & Power Trading	Various U.S Gov.	Available Upon Request
STM	Various in Mexico	NG & Power Trading	Various Mexican Gov.	Available Upon Request

8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain. _____

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Greg Chownyk	President
Marie-Claude Lafleur	Vice President
Doug Way	Vice President

9. Intended Services and Markets

(a) Intended Services: please identify which of the following services the applicant intends to offer. You may select as many as applicable.

- ☐ to sell or offer to sell electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity) in Ontario.
- ☒ to sell or offer to sell electricity to large-volume consumers (annually consuming more than 150,000 kilowatt hours of electricity) in Ontario.
- ☐ to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to large-volume consumers, or as an agent or broker for large-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
- ☐ to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of electricity in Ontario.

Is the applicant currently providing any of the above listed services?

- ☒ Yes, provide a list of the services the applicant is currently providing.

Selling to large volume consumers since market opened in 2002

- ☐ No, indicate when the applicant intends to provide these services.
-

(b) Wholesale Market Participation: does the applicant intend to operate in the IESO-administered markets and settle bilateral contracts through the IESO?

☒ Yes


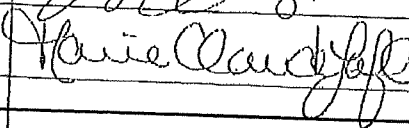
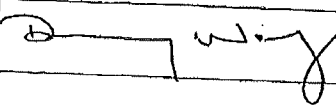
☐ No, please explain how the applicant intends to participate.

(c) Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by its customers through a distributor's settlement system?

☒ Yes

☐ No, please explain how the applicant intends to participate.

(g) I understand and acknowledge that, as a licensed electricity retailer, I must enter into a service agreement with the distributor before registering customers in a distributor's service area.

Name	Signature	Date
Greg Chownyk		03 FEBRUARY 2020
Marie-Claude Lallier		Jan 21, 2020
Pierre Tardif		
Doug Way		Feb. 4 / 2020

Must be signed by:

- (a) the proprietor or by at least one partner, officer or director of the organization; and
- (b) each key individual identified in section 8(b)

Checklist (return with application form)

- ☒ Two copies of the application form have been included with original signature on both copies.
- ☒ A cheque or money order for the non-refundable application fee has been included.
- ☒ A list of all officers and directors, including name and title has been attached to the application form.
- ☒ A corporate organization chart has been attached to the application form.
- ☒ Section 12 has been completed and all relevant financial information has been included.
- ☒ Section 15 has been completed for all key individuals.
- ☒ Each key individual has provided copies of two documents to support their identity. One of the documents includes a photo.