Ontario Energy Board Commission de l'énergie de l'Ontario

Application for an Electricity Wholesaler Licence

Application Instructions

1. Purpose of this Form

Use this form to apply, under section 60 of the *Ontario Energy Board Act*, 1998 (OEB Act), for an "electricity wholesaler" licence. An electricity wholesaler licence issued by the Ontario Energy Board (OEB) enables the licensee to purchase electricity or ancillary services in the Independent Electricity System Operator (IESO) administered markets or directly from a generator; and to sell electricity or ancillary services through the IESO-administered markets or directly to another person, other than a consumer.

2. Completion Instructions

This form is in writeable PDF and can be completed electronically. If necessary, **responses may be continued on additional pages.** The applicant is encouraged to complete the form electronically, save the completed form and file it, along with any attachments, by e-mail to: <u>boardsec@oeb.ca</u>.

THE APPLICANT MUST ALSO SEND TWO PAPER COPIES OF THE COMPLETED AND SIGNED APPLICATION FORM, ALONG WITH TWO PAPER COPIES OF ANY ATTACHMENTS AND THE APPROPRIATE APPLICATION FEE TO:

Board Secretary
Ontario Energy Board
P.O. Box 2319
27th Floor
2300 Yonge Street
Toronto ON M4P 1E4

The OEB may require information that is additional to the information filed in this application form. Also, filing of a completed application form does not preclude the applicant from filing additional information.

Any questions regarding the completion of this application should be directed to the OEB at lndustryRelations@oeb.ca.

3. Application and Registration Fees

A non-refundable application fee is required to process this application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. If a licence is issued, the licensee will be required to pay an annual registration fee. The amounts of the application fee and the annual registration fee are indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at www.oeb.ca.

4. Confidentiality

Information filed as part of or in support of sections 9 to 13 of this application will be treated as confidential. All other information filed as part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information filed as part of or in support of sections other than sections 9 to 13, the applicant must follow the procedure set out in the OEB's Practice Direction on Confidential Filings.

5. Important Information

Applicants should consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. Processing timelines are indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at www.oeb.ca. If submitted incomplete, there may be a delay in processing the application.

Applicants must make a copy of the non-confidential sections of the application available to any person who requests a copy.

Ontario Energy Board P.O. Box 2319 2300 Yonge Street 27th Floor Toronto ON M4P 1E4 Telephone: 1-888-632-6273 Facsimile: (416) 440-7656 Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656



Application for an Electricity Wholesaler Licence

| For Office Use Only | | | | |
|---------------------|-----|--|--|--|
| Application Number | EB- | | | |
| Date Received | | | | |

| 1. The Applicant | t | | | |
|--|------------------|------------------------------|-------------------------------|----------------------------|
| Legal Name of the | Applicant : | Cytec Canada, Inc. | | |
| Business Classifica | tion: | | | |
| ☐ Sole Proprietor | | | | |
| ☐ Partnership | | | | |
| ✓ Corporation | | | | |
| Other (describe) | | | | |
| Date of formation o | r incorporation | 10/22/1993 | | |
| Place of formation of | or incorporation | : | | |
| Province/State | Ontario | | | |
| Country | Canada | | | |
| If the applicant is ar she at least 18 year | | applicant must be at least 1 | 8 years old. If the applicant | is an individual, is he or |
| ☐ Yes | | | | |
| □ No | | | | |
| ✓ Not Applicable - | - not an individ | ual | | |
| Hood Office on Duc | | of Anniloput | | |
| Head Office or Bus | mess Address | о Арріісані | *** | |
| 9061 Garner Rd. | | D : 101 i | | D (UZ; O) |
| City | | Province/State | Country | Postal/Zip Cod |
| Niagra Falls | | Ontario | Canada | L2H 0Y2 |
| Phone Number | | Toll Free (if available) | E-mail Address | |
| 905-374-5913 | | | Donald.Sorley@so | olvay.com |
| Website Address | | | | |

| ✓ New | | | | | | |
|--|--|--|----------------------|---|---|------------------------|
| Renewal, provide the lie | cence numbe | er and expiry date of the | current | licence. | | |
| Licence Number | Expiry Date | | | | | |
| | | | | | 7 | |
| 3. Licence Primary Co | ntact | | | | | |
| The licensee shall designat | e a person w | rho will act as primary co | ntact w | ith the OEB on matters re | elated f | to the licence. |
| | Last Nar | me | | First Name | | Initial |
| Mr. ● Mrs. ○ | Sorley | | | Donald | | |
| Miss C Ms. C | Title/Pos | ition | | | | |
| Other C | Site Con | troller | | | | |
| | Compar | y Name | | | *************************************** | |
| | Cytec C | anada, Inc. | | | | |
| Licence Primary Contact A | .ddress: | | | | | |
| 9061 Garner Rd. | | | | | | |
| City | | Province/State | | Country | | Postal/Zip Code |
| Niagra Falls | | Ontario | | Canada | | L2H 0Y2 |
| Phone Number | Toll Free (if available) E-mail Address | | | | | |
| 905-374-5913 | 3 Donald.Sorley@solvay.com | | | 1 | | |
| 4. Application Primary Indicate if same as ab The primary contact for the primary contact noted above contact for the licence applireview process but with the | ove. Proceed licence application. The | cation may be a person want may also choose to d OEB will communicate w | lesigna ⁄ith this | te a consultant, lawyer, e person during the course | tc. to b | oe the primar <u>y</u> |
| Mr. C. Mrs. C. | <u>Last Nar</u> | ne | | First Name | | Initial |
| Mr. O Mrs. O | | | | | | |
| Miss ∩ Ms. ∩ Other ∩ | Title/Pos | ition | | | | |
| | Compan | y Name | | | | |
| | | | | | | |

2. Application Type

| Application Primary Contact | Address: | | | | |
|--|---|--|--|--|--|
| City | Province/State | Country | Postal/Zip Code | | |
| | | | | | |
| Phone Number | Toll Free (if available) | E-mail Address | E-mail Address | | |
| | | | | | |
| . Trade Names | | | | | |
| • | nce authorizes the licensee to conduname). It also provides for the use o | ū | | | |
| oes the applicant intend to u | se trade names? | | | | |
| Yes, provide a list of t | rade names the applicant intends to | use in the space provide | d below. | | |
| ✓ No, proceed to section | n 6. | | | | |
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| | | | | | |
| | | ASSAULTANIA AND UNIO AND | | | |
| | | | | | |
| . Applicant's Licensing | Status and History | | | | |
| ` ' | ate of the applicant, or an associated e | , , | • • • | | |
| licensed by the OEB? (Th | ne Business Corporations Act definition | for affiliate can be found at | www.e-laws.gov.on.ca) | | |
| | | | ************************************** | | |
| <u> </u> | f current and expired licences in the | table below. | | | |
| Yes, provide details on No, proceed to 6(b). | f current and expired licences in the | table below. | | | |
| <u> </u> | Relation to | table below. the Applicant f, affiliate, partneretc.) | Licence Number | | |
| No, proceed to 6(b). | Relation to | the Applicant | | | |
| No, proceed to 6(b). Licensee Name | Relation to (e.g., applicant itseli | the Applicant | Licence Number | | |
| No, proceed to 6(b). Licensee Name | Relation to (e.g., applicant itseli | the Applicant | Licence Number | | |
| No, proceed to 6(b). Licensee Name | Relation to (e.g., applicant itseli | the Applicant | Licence Number | | |

| | | ant, or an asso | iciated en | itity have any other appl | ication(s) before the OEB |
|---------------------------------------|---|--|---|--|---|
| Applicant Name | | Relationship to this Applicant | | Type of Application | OEB File Number |
| | | | | | |
| | | | | | 17 - 18 1000000000000000000000000000000000 |
| ithin North A | America? | nt, or an associ | iated enti | ity ever undertaken enel | rgy sector activity in any |
| Relation to I | the Applicant | Jurisdiction | | Business Activity | Name of Licensing Body and Licence/Registration No. (if applicable) |
| | | | | | |
| | | | | | |
| | | | | | |
| rements and only officer, other | conduct, finan er officers and | ncial matters, and | d technica ers or pro | al matters. Key individuals prietors. | s include the Chief Executive |
| Name of Key Individual Donald Sorley | | | company if not the Applicant's Business) | | |
| | | | Sile Cui | III ONG! | |
| | an affiliate o ithin North A ils in the tab | ils in the table below. c). Relationshi an affiliate of the applicant of the table below. Relation to the Applicant the key individuals that are rements and conduct, finant officer, other officers and | Relation to the Applicant Jurisdict The key individuals that are responsible for rements and conduct, financial matters, an I Officer, other officers and directors, partners. | ils in the table below. c). Relationship to this Applicant an affiliate of the applicant, or an associated entithin North America? ils in the table below. Relation to the Applicant Jurisdiction The key individuals that are responsible for executing rements and conduct, financial matters, and technical Officer, other officers and directors, partners or profixed the first of | Relationship to this Applicant Type of Application an affiliate of the applicant, or an associated entity ever undertaken energithin North America? ils in the table below. Relation to the Applicant Jurisdiction Business Activity The key individuals that are responsible for executing the following functions for rements and conduct, financial matters, and technical matters. Key individuals I Officer, other officers and directors, partners or proprietors. Title/Position within Applicant |

8. Intended Markets and Services

| Identi | ty the applicant's intended markets and services. Select any that apply. |
|--------|--|
| X | To purchase electricity or ancillary services in the IESO-administered markets. |
| | To purchase electricity or ancillary services directly from a generator. |
| | To sell electricity or ancillary services in the IESO-administered markets. |
| | To sell electricity or ancillary services to wholesalers. |
| | To sell electricity or ancillary services to distributors (i.e., standard supply services). |
| | To sell electricity or ancillary services to electricity retailers. |
| | To sell electricity or ancillary services to persons outside of the Ontario market. |
| | To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. |