



Application for an Electricity Generation Licence Feed-in Tariff Program

For Office Use Only	
Application Number	EB-
Date Received	

1. The Applicant

Legal Name of the Applicant : SWNS Wind LP

Business Classification:

- ☐ Sole Proprietor
- ☒ Partnership
- ☐ Corporation
- ☐ Other (describe) _____

Date of formation or incorporation: May 1, 2020

Place of formation or incorporation:

Province/State Ontario

Country Canada

Head Office or Business Address of Applicant

155 Wellington Street West, Suite 2930

City	Province/State	Country	Postal/Zip Code
<u>Toronto</u>	<u>Ontario</u>	<u>Canada</u>	<u>M5V 3H1</u>

Phone Number	Toll Free (if available)	E-mail Address
<u>416-649-1300</u>	<u></u>	<u>info@capstoneinfra.com</u>

Website Address www.capstoneinfrastructure.com

2. Application Type

- ☒ New
- ☐ Renewal, provide the licence number and expiry date of the current licence.

Licence Number _____ Expiry Date _____

3. Licence Primary Contact

The licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Leitch	Patrick	
Other <input type="radio"/> _____		Title/Position		
		Senior Vice President, Operations		
		Company Name		
		Capstone Infrastructure Corporation		

Licence Primary Contact Address:

155 Wellington Street West, Suite 2930

City	Province/State	Country	Postal/Zip Code
Toronto	Ontario	Canada	M5V 3H1

Phone Number	Toll Free (if available)	E-mail Address
416-649-1316		pleitch@capstoneinfra.com

4. Application Primary Contact

☐ Indicate if same as above. Proceed to section 5.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Peterson	Greg	
Other <input type="radio"/> _____		Title/Position		
		Vice President		
		Company Name		
		Capstone Infrastructure Corporation		

Application Primary Contact Address:

155 Wellington Street West, Suite 2930

City	Province/State	Country	Postal/Zip Code
Toronto	ON	Canada	M5V 3H1

Phone Number	Toll Free (if available)	E-mail Address
416-649-1312		gpeterson@capstoneinfra.com

5. Trade Names

The electricity generation licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

Does the applicant intend to use trade names?

☐ Yes, provide a list of trade names the applicant intends to use in the space provided below.

☒ No, proceed to section 6.

6. Applicant's Licensing Status and History

(a) Has the applicant ever been licensed by the OEB?

☐ Yes, provide details of current and expired licences in the table below.

☒ No

Licensee Name	Licensee's Address	Licence Number

(b) Has the applicant ever had an energy market related licence or registration in Ontario and/or other jurisdiction within North America refused, suspended, revoked or cancelled?

☐ Yes, provide details

--

☒ No

7. Key Individuals

In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

Name of Key Individual	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
See attachment	

8. Generation Facilities

Is the applicant applying to be licensed for more than one facility?

- ☒ Yes. Make a copy of **sections 8,9 and 10 of this application form** and provide information for each additional facility as an attachment.
- ☐ No

a) Generation Type: ☐ Biogas ☐ Biogas (On-Farm) ☐ Landfill Gas
☐ Renewable Bio-Mass ☐ Solar PV (Rooftop) ☐ Solar PV (Ground Mount)
☐ Wind (Off-Shore) ☒ Wind (On-Shore) ☐ Waterpower

Gross Nameplate Capacity 8.2 ☐ kW ☒ MW

Expected Commercial Operation Date 21-Nov-14

Facility Name Springwood Wind Farm

Facility Address 6921 3rd Line, Fergus, ON

b) Does/will the applicant own and operate any of the following (check any that apply):

i) A distribution line that is used to distribute electricity within the generation facility described in section 8 a) or from that facility to the distribution system of a Local Distribution Company?

- ☒ Yes, provide length of the distribution line in kilometres 3.1
- ☐ No

ii) A transmission line that is used to distribute electricity within the generation facility described in section 8a) or from that facility to the IESO-controlled grid?

- ☐ Yes, provide length of the transmission line in kilometres _____
- ☒ No

iii) A transformer station or distribution station that is used to transform the voltage of electricity at the generation facility described in section 8a), on a transmission line or on the distribution system of a Local Distribution Company?

- ☐ Yes
- ☒ No

c) Responsibilities of Applicant:

- ☒ Owner and Operator
- ☐ Operator only, please indicate if you are leasing the facility and identify owner _____
- _____
- ☐ Owner only, please identify lessee/operator _____

If you are applying for only one of the two qualifications, please provide information on the status of the other qualification. The information should include confirmation as to whether or not the person or entity seeking the other qualification is licensed; if licensed, please provide the licence number, and if not, indicate when an application for the other qualification will be filed with the OEB:

9. Feed-in Tariff Program Contract and Notice to Proceed

Provide details regarding FIT contract with the IESO and Notice to Proceed

FIT Reference #: FIT - FR3Q4US _____

Contract Date: May 3, 2010 _____

Date Notice to Proceed was received: August 20, 2013 _____

**Note: The application cannot be processed until the IESO issues a Notice to Proceed for the project.
A copy of the Notice to Proceed must be submitted with your application.**

10. Connection

a) Will the generation facility be connected to a Local Distribution Company?

- ☒ Yes, identify the Local Distribution Company and the connection point (i.e. feeder name, name of transformer station or distribution station to which the feeder is connected)

Hydro One Networks Inc., M6 feeder, FERGUS TS _____

☐ No

b) Will the generation facility be connected to a Host Facility?

- ☐ Yes, identify the Host Facility and the connection point (i.e. name of Local Distribution Company or name of Transmitter serving Host Facility, name of feeder or circuit connecting Host Facility, name of transformer station or name of switching station, name of transformer or distribution station to which the feeder is connected) _____

☒ No

c) Will the generation facility be connected to a transmission system (the IESO-controlled grid)?

☐ Yes, identify the connection point (i.e. name of circuit, name of transformer or switching station)

☒ No

If the applicant has answered "No" to a), b) and c), explain how the applicant intends to connect the project.

11. Notice

The OEB is authorized, under section 4.14 of the OEB Act, to collect personal information for the purpose of carrying out its duties and exercising its powers under the OEB Act or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

Board Secretary
Ontario Energy Board
P.O Box 2319
2300 Yonge Street, 27th Floor
Toronto, ON
M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the *Freedom of Information and Protection of Privacy Act* (FIPPA). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

7. Key Individuals

In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

Name of Key Individual	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)

8. Generation Facilities

Is the applicant applying to be licensed for more than one facility?

- ☒ Yes. Make a copy of **sections 8,9 and 10 of this application form** and provide information for each additional facility as an attachment.
- ☐ No

a) Generation Type: ☐ Biogas ☐ Biogas (On-Farm) ☐ Landfill Gas
☐ Renewable Bio-Mass ☐ Solar PV (Rooftop) ☐ Solar PV (Ground Mount)
☐ Wind (Off-Shore) ☒ Wind (On-Shore) ☐ Waterpower

Gross Nameplate Capacity 6.15 ☐ kW ☒ MW

Expected Commercial Operation Date 21-Nov-14

Facility Name Whittington Wind Farm

Facility Address 514524 2nd Line, Amaranth, ON

b) Does/will the applicant own and operate any of the following (check any that apply):

i) A distribution line that is used to distribute electricity within the generation facility described in section 8 a) or from that facility to the distribution system of a Local Distribution Company?

- ☒ Yes, provide length of the distribution line in kilometres 1.7
- ☐ No

ii) A transmission line that is used to distribute electricity within the generation facility described in section 8a) or from that facility to the IESO-controlled grid?

- ☐ Yes, provide length of the transmission line in kilometres _____
- ☒ No

iii) A transformer station or distribution station that is used to transform the voltage of electricity at the generation facility described in section 8a), on a transmission line or on the distribution system of a Local Distribution Company?

- ☐ Yes
- ☒ No

c) Responsibilities of Applicant:

- ☒ Owner and Operator
- ☐ Operator only, please indicate if you are leasing the facility and identify owner _____
- _____
- ☐ Owner only, please identify lessee/operator _____

If you are applying for only one of the two qualifications, please provide information on the status of the other qualification. The information should include confirmation as to whether or not the person or entity seeking the other qualification is licensed; if licensed, please provide the licence number, and if not, indicate when an application for the other qualification will be filed with the OEB:

9. Feed-in Tariff Program Contract and Notice to Proceed

Provide details regarding FIT contract with the IESO and Notice to Proceed

FIT Reference #: FIT - FLBG88U _____

Contract Date: May 3, 2010 _____

Date Notice to Proceed was received: September 18, 2013 _____

**Note: The application cannot be processed until the IESO issues a Notice to Proceed for the project.
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10. Connection

a) Will the generation facility be connected to a Local Distribution Company?

- ☒ Yes, identify the Local Distribution Company and the connection point (i.e. feeder name, name of transformer station or distribution station to which the feeder is connected)

Hydro One Networks Inc., M4 feeder, ORANGEVILLE TS DESN2 _____

☐ No

b) Will the generation facility be connected to a Host Facility?

- ☐ Yes, identify the Host Facility and the connection point (i.e. name of Local Distribution Company or name of Transmitter serving Host Facility, name of feeder or circuit connecting Host Facility, name of transformer station or name of switching station, name of transformer or distribution station to which the feeder is connected) _____

☒ No

c) Will the generation facility be connected to a transmission system (the IESO-controlled grid)?

☐ Yes, identify the connection point (i.e. name of circuit, name of transformer or switching station)

☒ No

If the applicant has answered "No" to a), b) and c), explain how the applicant intends to connect the project.

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Is the applicant applying to be licensed for more than one facility?

- ☒ Yes. Make a copy of **sections 8,9 and 10 of this application form** and provide information for each additional facility as an attachment.
- ☐ No

a) Generation Type: ☐ Biogas ☐ Biogas (On-Farm) ☐ Landfill Gas
☐ Renewable Bio-Mass ☐ Solar PV (Rooftop) ☐ Solar PV (Ground Mount)
☐ Wind (Off-Shore) ☒ Wind (On-Shore) ☐ Waterpower

Gross Nameplate Capacity 4.1 ☐ kW ☒ MW

Expected Commercial Operation Date 21-Dec-15

Facility Name Napier Wind Project

Facility Address 1890 Napperton Drive, Kerwood, ON

b) Does/will the applicant own and operate any of the following (check any that apply):

i) A distribution line that is used to distribute electricity within the generation facility described in section 8 a) or from that facility to the distribution system of a Local Distribution Company?

- ☒ Yes, provide length of the distribution line in kilometres 2.7
- ☐ No

ii) A transmission line that is used to distribute electricity within the generation facility described in section 8a) or from that facility to the IESO-controlled grid?

- ☐ Yes, provide length of the transmission line in kilometres _____
- ☒ No

iii) A transformer station or distribution station that is used to transform the voltage of electricity at the generation facility described in section 8a), on a transmission line or on the distribution system of a Local Distribution Company?

- ☐ Yes
- ☒ No

c) Responsibilities of Applicant:

- ☒ Owner and Operator
- ☐ Operator only, please indicate if you are leasing the facility and identify owner _____
- _____
- ☐ Owner only, please identify lessee/operator _____

If you are applying for only one of the two qualifications, please provide information on the status of the other qualification. The information should include confirmation as to whether or not the person or entity seeking the other qualification is licensed; if licensed, please provide the licence number, and if not, indicate when an application for the other qualification will be filed with the OEB:

9. Feed-in Tariff Program Contract and Notice to Proceed

Provide details regarding FIT contract with the IESO and Notice to Proceed

FIT Reference #: FIT - FHVX9D _____

Contract Date: July 5, 2011 _____

Date Notice to Proceed was received: March 24, 2014 _____

**Note: The application cannot be processed until the IESO issues a Notice to Proceed for the project.
A copy of the Notice to Proceed must be submitted with your application.**

10. Connection

a) Will the generation facility be connected to a Local Distribution Company?

- ☒ Yes, identify the Local Distribution Company and the connection point (i.e. feeder name, name of transformer station or distribution station to which the feeder is connected)

Hydro One Networks Inc., M1 feeder, STRATHROY TS _____

☐ No

b) Will the generation facility be connected to a Host Facility?

- ☐ Yes, identify the Host Facility and the connection point (i.e. name of Local Distribution Company or name of Transmitter serving Host Facility, name of feeder or circuit connecting Host Facility, name of transformer station or name of switching station, name of transformer or distribution station to which the feeder is connected) _____

☒ No

c) Will the generation facility be connected to a transmission system (the IESO-controlled grid)?

☐ Yes, identify the connection point (i.e. name of circuit, name of transformer or switching station)

☒ No

If the applicant has answered "No" to a), b) and c), explain how the applicant intends to connect the project.

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Is the applicant applying to be licensed for more than one facility?

- ☒ Yes. Make a copy of **sections 8,9 and 10 of this application form** and provide information for each additional facility as an attachment.
- ☐ No

a) Generation Type: ☐ Biogas ☐ Biogas (On-Farm) ☐ Landfill Gas
☐ Renewable Bio-Mass ☐ Solar PV (Rooftop) ☐ Solar PV (Ground Mount)
☐ Wind (Off-Shore) ☒ Wind (On-Shore) ☐ Waterpower

Gross Nameplate Capacity 10.25 ☐ kW ☒ MW

Expected Commercial Operation Date 9-Nov-17

Facility Name Sumac Ridge Wind Farm

Facility Address 602 Gray Rd., Kawartha Lakes, ON

b) Does/will the applicant own and operate any of the following (check any that apply):

i) A distribution line that is used to distribute electricity within the generation facility described in section 8 a) or from that facility to the distribution system of a Local Distribution Company?

- ☒ Yes, provide length of the distribution line in kilometres 3.9
- ☐ No

ii) A transmission line that is used to distribute electricity within the generation facility described in section 8a) or from that facility to the IESO-controlled grid?

- ☐ Yes, provide length of the transmission line in kilometres _____
- ☒ No

iii) A transformer station or distribution station that is used to transform the voltage of electricity at the generation facility described in section 8a), on a transmission line or on the distribution system of a Local Distribution Company?

- ☐ Yes
- ☒ No

c) Responsibilities of Applicant:

- ☒ Owner and Operator
- ☐ Operator only, please indicate if you are leasing the facility and identify owner _____
- _____
- ☐ Owner only, please identify lessee/operator _____

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9. Feed-in Tariff Program Contract and Notice to Proceed

Provide details regarding FIT contract with the IESO and Notice to Proceed

FIT Reference #: FIT - F707YDW _____

Contract Date: May 3, 2010 _____

Date Notice to Proceed was received: November 18, 2016 _____

**Note: The application cannot be processed until the IESO issues a Notice to Proceed for the project.
A copy of the Notice to Proceed must be submitted with your application.**

10. Connection

a) Will the generation facility be connected to a Local Distribution Company?

- ☒ Yes, identify the Local Distribution Company and the connection point (i.e. feeder name, name of transformer station or distribution station to which the feeder is connected)
- Hydro One Networks Inc. M12 feeder, WILSON TS DESN2, but being changed to Enfield TS M3 by HONI

☐ No

b) Will the generation facility be connected to a Host Facility?

- ☐ Yes, identify the Host Facility and the connection point (i.e. name of Local Distribution Company or name of Transmitter serving Host Facility, name of feeder or circuit connecting Host Facility, name of transformer station or name of switching station, name of transformer or distribution station to which the feeder is connected)
- _____
- _____

☒ No

c) Will the generation facility be connected to a transmission system (the IESO-controlled grid)?

☐ Yes, identify the connection point (i.e. name of circuit, name of transformer or switching station)

☒ No

If the applicant has answered "No" to a), b) and c), explain how the applicant intends to connect the project.

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12. Certification and Acknowledgement

- (a) I certify that the information contained in this application and in the documents provided are true and accurate.
- (b) I understand and acknowledge that, as a licensee, I must provide information as the OEB may require from time to time.
- (c) I understand and acknowledge that, as a licenced electricity generator, I must enter into agreements with the distributor or transmitter to whom my facilities are connected.

Print Name of Key Individual	Signature of Key Individual	Date
Patrick Leitch		October 28, 2020

If the applicant is a sole proprietor, he/she must sign.

If the applicant is a corporation, a person with authority to bind the corporation must sign.

If the applicant is a partnership, a partner with authority to sign on behalf of the partnership must sign.

Checklist (return with application form)

- ☒ Two copies of the application form have been included with original signature on both copies
- ☐ A cheque or money order for the non-refundable application fee has been included
- ☒ A copy of the Notice to Proceed has been included

Due to Covid19 protocols, the Applicant will pay the application fee by electronic funds transfer upon receiving the EFT instructions from the Board.

SWNS Wind LP
Application for Electricity Generation Licence Application
Supplemental Attachment

7. Key Individuals

The following people are the key individuals relating to the Applicant:

Directors of SWNS Wind GP Corp., the general partner of SWNS Wind LP:

David Eva

Andrew Kennedy

Aileen Gien

Officers of SWNS Wind GP Corp., the general partner of SWNS Wind LP:

David Eva - Chief Executive Officer

Andrew Kennedy - Chief Financial Officer

Aileen Gien - General Counsel and Corporate Secretary

Patrick Leitch - Senior Vice President, Operations



120 Adelaide Street West
Suite 1600
Toronto, Ontario M5H 1T1
T 416-967-7474
F 416-967-1947
www.powerauthority.on.ca


NOTICE TO PROCEED

Pursuant to Section 2.4 of the FIT Contract, the OPA is hereby issuing this Notice to Proceed. Capitalized terms not defined herein have the meanings ascribed thereto in the FIT Contract.

We request that you acknowledge receipt of this Notice to Proceed by signing both copies of this document and returning one (1) hardcopy of this Notice to Proceed to the OPA and emailing an electronic copy to FIT.Contract@powerauthority.on.ca

Date	Wednesday, September 18, 2013
Legal Name of Supplier	wpd Whittington Wind Incorporated
Contract Identification #	F-000668-WIN-130-601
Contract Date	May 3, 2010

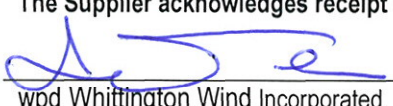
OPA AUTHORIZED SIGNATORY

By:  Date: Sept 19/13

Bonnie Hiltz
Manager, Renewable Energy
Ontario Power Authority

SUPPLIER AUTHORIZED SIGNATORY

The Supplier acknowledges receipt of this Notice to Proceed.

By:  Date: SEPT 24/13

wpd Whittington Wind Incorporated

Name: IAN MACRAE

Title: PRESIDENT



120 Adelaide Street West
Suite 1600
Toronto, Ontario M5H 1T1
T 416-967-7474
F 416-967-1947
www.powerauthority.on.ca


NOTICE TO PROCEED

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Date	Monday, March 24, 2014
Legal Name of Supplier	wpd Napier Wind Incorporated
Contract Identification #	F-002194-WIN-130-601
Contract Date	July 5, 2011

OPA AUTHORIZED SIGNATORY

By:  Date: MAR 24 2014

Michael Killeavy
Director, Contract Management
Ontario Power Authority

SUPPLIER AUTHORIZED SIGNATORY

The Supplier acknowledges receipt of this Notice to Proceed.

By:  Date: MAR 26/14

wpd Napier Wind Incorporated

Name: **IAN MACRAE**
Title: **PRESIDENT**



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Date	Tuesday, August 20, 2013
Legal Name of Supplier	wpd Springwood Wind Incorporated
Contract Identification #	F-000666-WIN-130-601
Contract Date	May 3, 2010

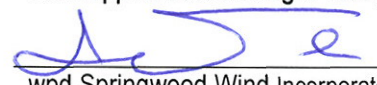
OPA AUTHORIZED SIGNATORY

By:  Date: Aug 20, 2013

Bonnie Hiltz
Manager, Renewable Energy
Ontario Power Authority

SUPPLIER AUTHORIZED SIGNATORY

The Supplier acknowledges receipt of this Notice to Proceed.

By:  Date: Aug 26/13

wpd Springwood Wind Incorporated

Name: IAN MACRAE

Title: PRESIDENT



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www.ieso.ca


NOTICE TO PROCEED

Pursuant to Section 2.4 of the FIT Contract, the IESO is hereby issuing this Notice to Proceed. Capitalized terms not defined herein have the meanings ascribed thereto in the FIT Contract, and for the purposes of FIT Contract version 3.1, "IESO" refers to the Sponsor.

We request that you acknowledge receipt of this Notice to Proceed by signing both copies of this document and returning one (1) hardcopy of this Notice to Proceed to IESO Contract Management and emailing an electronic copy to FIT.Contract@ieso.ca within 30 days of receiving this Notice to Proceed.

Date	November 18, 2016
Legal Name of Supplier	wpd Sumac Ridge Wind Incorporated
Contract Identification #	F-000664-WIN-130-601
Contract Date	May 3, 2010

IESO AUTHORIZED SIGNATORY

By:  Date: NOV 18 2016

Perry Cecchini
Manager, Renewable Energy Contracts
Contract Management
Independent Electricity System Operator

SUPPLIER AUTHORIZED SIGNATORY

The Supplier acknowledges receipt of this Notice to Proceed.

By:  Date: Nov 24/16

wpd Sumac Ridge Wind Incorporated

Name: **IAN MACRAE**
Title: **RESIDENT**