

#### 1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant

- (a) to sell or offer to sell natural gas to low-volume consumers (consuming 50,000m<sup>3</sup> or less of natural gas per year);
- (b) to act as the agent or broker for a seller of natural gas to a low-volume consumer; and/or
- (c) to act or offer to act as an agent or broker of a low-volume consumer in the purchase of gas.

#### 2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

The applicant must also complete the checklist attached to the application form.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary Ontario Energy Board P.O Box 2319 2300 Yonge Street, 27<sup>th</sup> Floor Toronto, ON M4P 1E4

Any questions regarding the completion of this application should be directed to the Ontario Energy Board (OEB) at IndustryRelations@oeb.ca.

Please note that the OEB may require information that is additional or supplementary to the information filed in this application form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

Applicants should consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. Processing timelines are indicated on the "Performance Standards for Processing Applications" page of the OEB's website at www.oeb.ca. If submitted incomplete, there may be a delay in processing the application.

#### 3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at www.oeb.ca.

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at <u>www.oeb.ca</u>.

#### 4. Confidentiality

Sections 10 to 15 of this application will be treated as confidential. All other information filed as a part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 10 to 15, the applicant must follow the procedure set out in the OEB's Practice Direction on Confidential Filings.

#### 5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.

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Print Form

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Ontario Energy Board P.O. Box 2319 2300 Yange Street 27<sup>th</sup> Floor Toronto ON M4P 1E4 Telephone: 1-888.632-6273 Facsimile: (416) 440-7656 Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P ¡E4 Teléphone: 1-888-632-6273 Télépone: 1-888-632-6273



# **Application for a Gas Marketer Licence**

Fo	r Office Use Only
Application Number	EB -
Date Received	

## 1. The Applicant

Legal Name of the Applicant :	SilverBirch Power Corp
Name to Appear on Licence:	SilverBirch Power Corp

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

**Business Classification:** 

- Sole Proprietor
- C Partnership
- C Corporation

	C	Other	(describe)
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Date of formation or incorporation: 03/24/2015

Place of formation or incorporation: Ottawa

Ontario

Canada

Province/state

Country

If the applicant is an individual, the applicant must be at least 18 years old. If the applicant is an individual, is he or she at least 18 years old?

C Yes

C No

Not applicable - not an individual

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## 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

		Last Name	First Name	Initial
Mr. 📀	Mrs. C	Quirouette	Daniel	
Miss C	Ms. C	Title/Position		, , , , , , , , , , , , , , , , , , ,
Other C		Principal		
		Company Name if different	from Name to Appear on Licence	
				]

Licence Primary Conta	act Address:	dress:		
210 Dalhousie St.				
City	Province/	State	Country	Postal/Zip Code
Ottawa	Ontario		Canada	K1N 7C8
Phone Number	Toli Free (if available)	Fax Number	E-mail Address	
613-694-4411 x258	][	613-694-4412	danq@silverbirchpov	wercorp.com

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

Mr. C M	Ars. C	Last Name		First Name	Initial
	lis. C	L Title/Position			J[]
		Company Name if	different from Name	to Appear on Licence	
Address for Ser	vice in Ontari	o (if different than the	e Licence Primary Co	ontact Address above)	
City		]	Province ONTARIO	Postal Code	]
Phone Number	To1 	Free (if available)	Fax Number	E-mail Address	

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## **3. Application Primary Contact**

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

		Last Name		First Name	Initial
Mr. O	Mrs. C	Lemieux		Melody	A
Miss C	Ms. (•	Title/Position			
Other C		<b>Operations Manag</b>	er		
		Company Name if	different from Nam	e to Appear on Licence	
Application Pr	imary Contact	Address:			
210 Dalhousie	e St				
City	-	Province/S	tate	Country	Postal/Zip Code
Ottawa		Ontario		Canada	K1N 7C8
Phone Numbe	7	I Free (if available)	Fax Number 613-694-4412	E-mail Address	chpower.com

### 4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario which may be reached by the general public without a charge.

		Last Name		First Name	
Mr. C	Mrs. C	Lemieux		Melody	A
Miss C	Ms. 🔎	Title/Position	1		
Other C	·	Operations I	Manager		
		Company N	ame if different from Name	e to Appear on Licence	
Customer Co	molaint or	Inquiries Primary C	Contact Address:		
[		inquines Failibry C	Under Aug 255.		
210 Dalhous	ie St				
City			Province	Postal Code	
Ottawa			ONTARIO	K1N 7C8	
Phone Numb	er	Toll Free	Fax Number	E-mail Address	
613-694-441	1 x.222	][	[613-694-4412	[mlemieux@silverbirchpow	ver.com ]

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### 5. Type of Application

#### New licence

 Renewal, please provide the licence number and expiry date of the existing licence Licence Number: GM Expiry Date:

## 6. Trade Names

The gas marketer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed gas marketer.

Does the applicant intend to use trade names?

Yes, provide a list of trade names the applicant intends to use in the space provided below.

No, proceed to 7.

### 7. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the OEB? (the Business Corporations Act definition for affiliate can be found at www.e-laws. gov.on.ca).
  - Yes, provide details of current and expired licences in the table below.
  - No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partneretc.)	Licence Number

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- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the OEB?
  - Yes, provide details in the table below.
  - No, proceed to 7(c).

Applicant Name	Type of Application	OEB File Number (if applicable)
SilverBirch Power Corp	Electricity Retailer	EB-2020-0174

- (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?
  - Yes, provide details of current and expired licences in the table below
  - No No

Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.

### 8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a tist of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain.

Name of Key Individual	Title/position within applicant's business (or identif company if not the applicant's business)	
Daniel Quirouette	Principal	
Matthew Hanson	Senior Market Analyst	
Melody Lemieux	Operations Manager	

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## 9. Intended Services

Intended Services: please identify which of the following services the applicant intends to offer.

to sell or offer to sell gas to low-volume consumers (consuming 50,000m<sup>3</sup> or less of natural gas per year) in Ontario.

to act as an agent or broker for a gas marketer with respect to the sale or offering for sale of natural gas to lowvolume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of natural gas in Ontario.

Is the applicant currently providing any of the above listed services?

Yes, provide a list of the services the applicant is currently providing.

No, indicate when the applicant intends to provide these services.

Q2'2021

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### 16. Notice

The OEB is authorized, under section 4.14 of the Ontario Energy Board Act, 1998, to collect personal information for the purpose of carrying out its duties and exercising its powers under the Ontario Energy Board Act, 1998 or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualifed to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

Board Secretary Ontario Energy Board P.O Box 2319 2300 Yonge Street, 27<sup>th</sup> Floor Toronto, ON M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the *Freedom of Information and Protection of Privacy Act* ("FIPPA"). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the Board from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

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## Checklist (return with application form)

Two copies of the application form have been included with original signature on both copies.

A cheque or money order for the non-refundable application fee has been included.

A list of all officers and directors, including name and title has been attached to the application form.

A corporate organization chart has been attached to the application form.

Section 12 has been completed and all relevant financial information has been included.

Section 15 has been completed for all key individuals.

Each key individual has provided copies of two documents to support their identity. One of the documents includes a photo.

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