Ontario Energy Board Commission de l'énergie de l'Ontario

Application for an Electricity Wholesaler Licence Application Instructions



1. Purpose of this Form

Use this form to apply, under section 60 of the *Ontario Energy Board Act*, 1998 (OEB Act), for an "electricity wholesaler" licence. An electricity wholesaler licence issued by the Ontario Energy Board (OEB) enables the licensee to purchase electricity or ancillary services in the Independent Electricity System Operator (IESO) administered markets or directly from a generator; and to sell electricity or ancillary services through the IESO-administered markets or directly to another person, other than a consumer.

2. Completion Instructions

This form is in writeable PDF and can be completed electronically. If necessary, **responses may be continued on additional pages.** The applicant is encouraged to complete the form electronically, save the completed form and file it, along with any attachments, by e-mail to: boardsec@oeb.ca.

THE APPLICANT MUST ALSO SEND TWO PAPER COPIES OF THE COMPLETED AND SIGNED APPLICATION FORM, ALONG WITH TWO PAPER COPIES OF ANY ATTACHMENTS AND THE APPROPRIATE APPLICATION FEE TO:

Board Secretary Ontario Energy Board P.O. Box 2319 27th Floor 2300 Yonge Street Toronto ON M4P 1E4

The OEB may require information that is additional to the information filed in this application form. Also, filing of a completed application form does not preclude the applicant from filing additional information.

Any questions regarding the completion of this application should be directed to the OEB at lndustryRelations@oeb.ca.

3. Application and Registration Fees

A non-refundable application fee is required to process this application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. If a licence is issued, the licensee will be required to pay an annual registration fee. The amounts of the application fee and the annual registration fee are indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at www.oeb.ca.

Confidentiality

Information filed as part of or in support of sections 9 to 13 of this application will be treated as confidential. All other information filed as part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information filed as part of or in support of sections other than sections 9 to 13, the applicant must follow the procedure set out in the OEB's Practice Direction on Confidential Filings.

5. Important Information

Applicants should consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. Processing timelines are indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at www.oeb.ca. If submitted incomplete, there may be a delay in processing the application.

Applicants must make a copy of the non-confidential sections of the application available to any person who requests a copy.

Ontario Energy Board P.O. Box 2319 2300 Yonge Street 27th Floor Toronto ON M4P 1E4 Telephone: 1-888-632-6273 Facsimile: (416) 440-7656

Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656



Application for an Electricity Wholesaler Licence

For Office Use Only			
Application Number	EB-		
Date Received			

1. The Applicant	•			
Legal Name of the	Compass Minerals Canada Corp.			
Business Classifica	tion:			
☐ Sole Proprietor				
☐ Partnership				
✓ Corporation				
Other (describe)				
Date of formation o	r incorporation:	November 24, 2005	7/	
Place of formation of	or incorporation	:		
Province/State	Nova Scotia		_	
Country	Canada			
If the applicant is ar she at least 18 year	100	applicant must be at least 18	years old. If the applicant	is an individual, is he or
☐ Yes				
☐ No				
✓ Not Applicable -	not an individu	ual		
Head Office or Bus	iness Address	of Applicant		
		e, 300 North Harbour Road We	est	
City		Province/State	Country	Postal/Zip Code
Goderich		Ontario	Canada	N7A 3Y9
Phone Number		Toll Free (if available)	E-mail Address	
519-524-8351			woodwardj@comp	assminerals.com
Website Address	MANAY COMPCC	sminerals.com		

2. Application Type			
☐ New			
Renewal, provide the lice	nce number and expiry date of the curr	ent licence.	ш
Licence Number EB-2012-0	Expiry Date J	lanuary 23, 2018	
3. Licence Primary Con	tact		
The licensee shall designate	a person who will act as primary contac	t with the OEB on matters relate	d to the licence.
	Last Name	First Name	Initial
Mr. ○ Mrs. •	Verdam-Woodward	Jennifer	L
Miss O Ms. O	Title/Position		
Other O	Senior Procurement Manager - Cana	da	
	Company Name		
	Compass Minerals Canada Corp.		
Licence Primary Contact Add	dress:		
300 North Harbour Road	W, PO Box 370		
City	Province/State	Country	Postal/Zip Code
Goderich	ON	Canada	N7A 3Y9
Phone Number	Toll Free (if available)	E-mail Address	
519-524-8351x512268		woodwardj@compassmir	nerals.com
4. Application Primary C			
Indicate if same as abov			
primary contact noted above. contact for the licence applica	ence application may be a person with An applicant may also choose to designation. The OEB will communicate with the tence primary contact after a licence is	gnate a consultant, lawyer, etc. to his person during the course of th	be the primary
8.	Last Name	First Name	Initial
Mr. O Mrs. O			
Miss O Ms. O	Title/Position		
Other O			
	Company Name		
1 -	1		

Application Primary Contact Address:			
City	ovince/State	Country	Postal/Zip Code
Phone Number Toll Fr	ee (if available)	E-mail Address	
5. Trade Names			
The electricity wholesaler licence authorizes held (i.e. the applicant's legal name). It also			
Does the applicant intend to use trade name	es?		
Yes, provide a list of trade names the	ne applicant intends to use in	n the space provide	ed below.
✓ No, proceed to section 6.			
			3
6. Applicant's Licensing Status and	d History		
(a) Has the applicant, an affiliate of the applic			
licensed by the OEB? (The Business Con	.tn		www.e-laws.gov.on.ca)
Yes, provide details of current and e	expired licences in the table	below.	×
No, proceed to 6(b).			
Licensee Name	Relation to the A (e.g., applicant itself, affilia	I DE CONTRACTOR CONTRACTOR	Licence Number
Compass Minerals Canada Corp.	applicant		EB-2012-0371
		_	

<u> </u>	ils in the table belo	THE STATE OF THE S	an associated en	tity have any other app	lication(s) before the OEB
Applicant Nam	ne Relat	Relationship to this Applicant		Type of Application	OEB File Number
other jurisdiction w		?	n associated enti	ty ever undertaken ene	rgy sector activity in any
Company Name	Relation to the App	licant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)

7. Key Individuals

In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

Name of Key Individual	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)	
Kevin S. Crutchfield CEO, Compass Minerals International,		
George J. Schuller, Jr.	COO, Compass Minerals International, Inc.	
James D. Standen	CFO, Compass Minerals International, Inc.	

8. Intended Markets and Services

ident	ity the applicant's intended markets and services. Select any that apply.
\boxtimes	To purchase electricity or ancillary services in the IESO-administered markets.
	To purchase electricity or ancillary services directly from a generator.
	To sell electricity or ancillary services in the IESO-administered markets.
	To sell electricity or ancillary services to wholesalers.
	To sell electricity or ancillary services to distributors (i.e., standard supply services).
	To sell electricity or ancillary services to electricity retailers.
	To sell electricity or ancillary services to persons outside of the Ontario market.
	To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. The application form can be found at www oeb can