# Application for an Electricity Wholesaler Licence Application Instructions



#### 1. Purpose of this Form

Use this form to apply, under section 60 of the *Ontario Energy Board Act, 1998* (OEB Act), for an "electricity wholesaler" licence. An electricity wholesaler licence issued by the Ontario Energy Board (OEB) enables the licensee to purchase electricity or ancillary services in the Independent Electricity System Operator (IESO) administered markets or directly from a generator; and to sell electricity or ancillary services through the IESO-administered markets or directly to another person, other than a consumer.

#### 2. Completion Instructions

This form is in writeable PDF and can be completed electronically. If necessary, **responses may be continued on additional pages.** The applicant is encouraged to complete the form electronically, save the completed form and file it, along with any attachments, by e-mail to: <a href="mailto:boardsec@oeb.ca">boardsec@oeb.ca</a>.

THE APPLICANT MUST ALSO SEND TWO PAPER COPIES OF THE COMPLETED AND SIGNED APPLICATION FORM, ALONG WITH TWO PAPER COPIES OF ANY ATTACHMENTS AND THE APPROPRIATE APPLICATION FEE TO:

Board Secretary Ontario Energy Board P.O. Box 2319 27<sup>th</sup> Floor 2300 Yonge Street Toronto ON M4P 1E4

The OEB may require information that is additional to the information filed in this application form. Also, filing of a completed application form does not preclude the applicant from filing additional information.

Any questions regarding the completion of this application should be directed to the OEB at <a href="mailto:lndustryRelations@oeb.ca">lndustryRelations@oeb.ca</a>.

#### 3. Application and Registration Fees

A non-refundable application fee is required to process this application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. If a licence is issued, the licensee will be required to pay an annual registration fee. The amounts of the application fee and the annual registration fee are indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at <a href="www.oeb.ca">www.oeb.ca</a>.

#### 4. Confidentiality

Information filed as part of or in support of sections 9 to 13 of this application will be treated as confidential. All other information filed as part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information filed as part of or in support of sections other than sections 9 to 13, the applicant must follow the procedure set out in the OEB's Practice Direction on Confidential Filings.

#### 5. <u>Important Information</u>

Applicants should consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. Processing timelines are indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at <a href="https://www.oeb.ca">www.oeb.ca</a>. If submitted incomplete, there may be a delay in processing the application.

Applicants must make a copy of the non-confidential sections of the application available to any person who requests a copy.

Ontario Energy Board P.O. Box 2319 2300 Yonge Street 27th Floor Toronto ON M4P 1E4 Telephone: 1-888-632-6273 Facsimile: (416) 440-7656 Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656



## Application for an Electricity Wholesaler Licence

For C	Office Use Only
Application Number	EB-
Date Received	

1. The Applican	t			
Legal Name of the	Applicant :	Carmeuse Lime (Canada) Limited	d	
Business Classifica	tion:			
☐ Sole Proprietor				
Partnership				
Corporation				
Other (describe)				
Date of formation of	r incorporation:	Jan 1, 2004		
Place of formation of	or incorporation:			
Province/State	Ontario			
Country	Canada			
If the applicant is an she at least 18 year		applicant must be at least 18 year	s old. If the applicant is an indiv	ridual, is he or
☐ Yes				
☐ No				
✓ Not Applicable	- not an individua	al		
Head Office or Bus	iness Address o	f Applicant		
PO Box 1690				
City		Province/State	Country	Postal/Zip Code
Blind River		ON	Canada	P0R1B0
Phone Number		Toll Free (if available)	E-mail Address	
705-849-2201 ext	. 225		Shawn.Rae@carmeuse.com	)
Website Address	https://www.ca	armeuse.com/na-en		

Renewal, provide the licence number and expiry date of the current licence.    Licence Number   EB-2015-0258   Expiry Date   14OCT2020	☐ New				
3. Licence Primary Contact  The licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.    Mr.	Renewal, provide the lice	nce numb	er and expiry date of the o	current licence.	
The licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.    Last Name	Licence Number EB-2015-0258		Expiry Date	14OCT2020	<u> </u>
The licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.    Last Name	2 Licence Drimon, Con	toot			
Mr. Mrs. Strunck Miss Ms. Title/Position  Cither C  Energy Buyer  Company Name  Carmeuse Lime  Licence Primary Contact Address:  11 Stanwix Street 21st FL  City Province/State Country Postal/Zip Code  Pittsburgh PA USA 15222  Phone Number Toll Free (if available) E-mail Address  4. Application Primary Contact  Indicate if same as above. Proceed to section 5.  The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.  Mr. Mrs. Rae  Miss Ms. Title/Position  Site Operations Manager  Company Name	_				La la de D
Mr. Mrs. Strunck  Miss Ms. Title/Position  Other Company Name  Carmeuse Lime  Licence Primary Contact Address:  11 Stanwix Street 21st FL  City Province/State Country Postal/Zip Code  Pittsburgh PA USA 15222  Phone Number Toll Free (if available) E-mail Address  412-995-2028 michael.strunck@carmeuse.com  4. Application Primary Contact  Indicate if same as above. Proceed to section 5.  The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.  Mr. Mrs. Rae Shawn Initial  Mr. Mrs. Rae Shawn  Title/Position  Site Operations Manager  Company Name	The licensee shall designate	a person v	vho will act as primary cor	itact with the OEB on matters	s related to the licence.
Miss Ms. C Title/Position  Other C Energy Buyer  Company Name  Carmeuse Lime  Licence Primary Contact Address:  11 Stanwix Street 21st FL  City Province/State Country Postal/Zip Code  Pittsburgh PA USA 15222  Phone Number Toll Free (if available) E-mail Address  Michael.strunck@carmeuse.com  4. Application Primary Contact  Indicate if same as above. Proceed to section 5.  The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.  Mr. Mrs. C Rae Shawn  Miss Ms. C Title/Position  Site Operations Manager  Company Name		Last Na	me	First Name	Initial
Other Other Company Name    Carmeuse Lime	Mr. ● Mrs. ○	Strunck		Michael	
Company Name Carmeuse Lime  Licence Primary Contact Address:  11 Stanwix Street 21st FL  City Province/State Country Postal/Zip Code Pittsburgh PA USA 15222  Phone Number Toll Free (if available) E-mail Address  412-995-2028 michael.strunck@carmeuse.com  4. Application Primary Contact Indicate if same as above, Proceed to section 5,  The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.  Mr. Mrs. Rae First Name Initial  Mr. Mrs. Mrs. Rae Shawn  Title/Position  Site Operations Manager Company Name	Miss O Ms. O	Title/Pos	sition		
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City Province/State Country Postal/Zip Code Pittsburgh PA USA 15222  Phone Number Toll Free (if available) E-mail Address  412-995-2028 michael.strunck@carmeuse.com  4. Application Primary Contact  ☐ Indicate if same as above. Proceed to section 5.  The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.  Mr.		Carmeu	ise Lime		
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Pittsburgh PA  USA  15222  Phone Number Toll Free (if available) E-mail Address  412-995-2028  Michael.strunck@carmeuse.com  4. Application Primary Contact Indicate if same as above. Proceed to section 5.  The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.  Mr. Mrs. Rae  Miss Ms. Titte/Position  Other Company Name	L		Dravinas/Ctata	Country	Dootal/7in Code
Phone Number					
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contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.  Last Name First Name Initial Rae Shawn Title/Position Other O Site Operations Manager Company Name	•		• •		
Mr. Mrs. Mrs. Mrs. Mss. Mss. Mss. Mss. M					
Mr. Mrs. Rae Shawn  Miss Ms. Site Operations Manager  Company Name	review process but with the lie	ence prim	nary contact after a licence	e is issued.	
Mr. Mrs. Rae Shawn  Miss Ms. Site Operations Manager  Company Name				First Name	 Initial
Miss Ms. Title/Position Other Company Name	Mr • Mrs. •		THE .		Illiuai
Other C Site Operations Manager  Company Name				Gilawii	
Company Name					
		<u>.</u>			
Carmeuse Lime		· ·	•		

2. Application Type

Application Primary Contact Address:			
P.O. Box 1690			
City P Blind River	rovince/State N	Country Canada	Postal/Zip Code O0R 1B0
Phone Number Toll F	ree (if available)	E-mail Address	
705-849-5232		Shawn.Rae@carm	neuse.com
5. Trade Names			
The electricity wholesaler licence authorize held (i.e. the applicant's legal name). It als		•	
Does the applicant intend to use trade nam	es?		
Yes, provide a list of trade names t	the applicant intends to use i	n the space provide	d below.
✓ No, proceed to section 6.			
	110.4		
6. Applicant's Licensing Status ar	-		
<ul><li>(a) Has the applicant, an affiliate of the applicant, it is licensed by the OEB? (The Business Communication)</li></ul>	• ,	•	• • •
✓ Yes, provide details of current and	expired licences in the table	below.	
No, proceed to 6(b).			
Licensee Name	Relation to the A	• •	Licence Number
Carmeuse Lime (Canada) Limited	applicant itself		EW-2015-0258
Carmeuse Lime (Canada) Limited	applicant itself		EW-2010-0261
Northern Lime Limited	applicant itself		EW-2001-0803

(b) Does the applicant  ☐ Yes, provide deta  ✓ No, proceed to 6(	ils in the table		ant, or an associated	entity have any other app	olication(s) before the OEB?
Applicant Name		Relationship to this Applicant		Type of Application	OEB File Number
<ul> <li>(c) Has the applicant, an affiliate of the applicant, or an associated entity ever undertaken energy sector activity in any other jurisdiction within North America?</li> <li>Yes, provide details in the table below.</li> <li>No</li> </ul>					
Company Name	Relation to the Applicant		Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
	1				

### 7. Key Individuals

In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

Name of Key Individual	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)	
Blake Miller	Senior Purchasing Manager	
Michael Strunck	Energy Buyer	
_		

## 8. Intended Markets and Services

ldent	ify the applicant's intended markets and services. Select any that apply.
$\boxtimes$	To purchase electricity or ancillary services in the IESO-administered markets.
	To purchase electricity or ancillary services directly from a generator.
	To sell electricity or ancillary services in the IESO-administered markets.
	To sell electricity or ancillary services to wholesalers.
	To sell electricity or ancillary services to distributors (i.e., standard supply services).
	To sell electricity or ancillary services to electricity retailers.
	To sell electricity or ancillary services to persons outside of the Ontario market.
	To sell electricity to consumers, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. The application form can be found at <a href="https://www.oeb.ca">www.oeb.ca</a> .

## Checklist (return with application form)

X	Two copies of the application form have been included with original signature on both copies
$\times$	A cheque or money order for the non-refundable application fee has been included
$\times$	A corporate organization chart has been attached to the application form
X	Section 10 has been completed and all relevant financial information has been included
$\times$	Section 13 has been completed for all key individuals