**INTERNAL AUDIT**
**COMPLETED ENGAGEMENTS – Q4 2016 to 2020**

**(Note: Engagements pertaining exclusively to OPG's non-regulated business are excluded)**

| Board Report | Engagement Name |
|---|---|
| ARC 2016 Q4 | DNR EPC Contractor Procurement Oversight |
| ARC 2016 Q4 | DNR Project Changes & Rework |
| ARC 2016 Q4 | Nuclear Fleet Initiative ("NFI")-07 Parts Improvement Initiative |
| ARC 2016 Q4 | Pickering Planned Outage Management Follow-up |
| ARC 2016 Q4 | RGPM NERC CIP Compliance |
| ARC 2016 Q4 | DNR Contractor Timekeeping |
| ARC 2016 Q4 | DNR Project Cost Management System - Ecosys |
| ARC 2016 Q4 | ICOFR Program |
| ARC 2016 Q4 | Low & Intermediate Level Waste Management |
| ARC 2016 Q4 | Nuclear Fund Management |
| ARC 2016 Q4 | Pension Fund Management |
| ARC 2016 Q4 | RGPM - Work Protection |
| ARC 2016 Q4 | RGPM Dam & Public Safety |
| ARC 2016 Q4 | DNR Finance Controls |
| ARC 2016 Q4 | Contract Audit - TDL Group Lease Agreement |
| ARC 2017 Q1 | Competitive Bidding |
| ARC 2017 Q1 | Nuclear Inventory Management |
| ARC 2017 Q1 | Heavy Water Management |
| ARC 2017 Q1 | IT Disaster Recovery Planning - 700 University (2016) |
| ARC 2017 Q1 | Bruce Power Ring Fence |
| ARC 2017 Q1 | 2016 Business Expenses – Board of Directors |
| ARC 2017 Q1 | 2016 Business Expenses – ELT |
| ARC 2017 Q1 | Insurance |
| ARC 2017 Q1 | 2016 Stakeholder Reward Program ("SRP") |
| ARC 2017 Q2 | Nuclear Stores |
| ARC 2017 Q2 | Cybersecurity - Third Party Access |
| ARC 2017 Q2 | Recruit Select & Hire |
| ARC 2017 Q2 | RGPM Asset Management & Maintenance |
| ARC 2017 Q2 | Labour Relations |
| ARC 2017 Q2 | Software Licensing |
| ARC 2017 Q2 | Fraud Risk Assessment |
| ARC 2017 Q3 | DNR Quality Oversight |
| ARC 2017 Q3 | Accounts Payable |
| ARC 2017 Q3 | Business Planning |
| ARC 2017 Q3 | DNR Project Execution - Balance of Plant |
| ARC 2017 Q3 | DNR Vendor Productivity |
| ARC 2017 Q3 | ES MSA Contract Management - AG Follow-up |
| ARC 2017 Q3 | Health & Safety |

| Board Report | Engagement Name |
|---|---|
| ARC 2017 Q4 | Cybersecurity - Mobile Work Solutions |
| ARC 2017 Q4 | Facilities Management - Space Allocation |
| ARC 2017 Q4 | Nuclear Engineering Capital Spending |
| ARC 2017 Q4 | Projects & Modifications- Vendor Quality Audit |
| ARC 2017 Q4 | DNR Project Execution - RFR |
| ARC 2017 Q4 | DNR Program Oversight & Reporting |
| ARC 2017 Q4 | Ground Water Monitoring |
| ARC 2017 Q4 | Succession Planning |
| ARC 2018 Q1 | DNR Turbine Generator Project |
| ARC 2018 Q1 | Code of Business Conduct |
| ARC 2018 Q1 | Employee Business Expenses |
| ARC 2018 Q1 | Nuclear Laundry Services |
| ARC 2018 Q1 | Surplus Asset Recovery |
| ARC 2018 Q1 | Cybersecurity - Corporate Network Wi-fi |
| ARC 2018 Q1 | Directors' Expenses |
| ARC 2018 Q1 | Executive Leadership Team ("ELT") Expenses |
| ARC 2018 Q1 | Stakeholders' Reward Plan ("SRP") Review |
| ARC 2018 Q2 | Nuclear Stores Issuance Follow-up |
| ARC 2018 Q2 | Health Benefits Administration |
| ARC 2018 Q2 | Nuclear Outage Management |
| ARC 2018 Q2 | Water Management |
| ARC 2018 Q2 | DNR Construction Switch Initiatives |
| ARC 2018 Q2 | IT Asset Management |
| ARC 2018 Q2 | OPG Environment Management System (EMS) Internal Audit Program |
| ARC 2018 Q3 | NFI-06 Maintenance Excellence Initiative |
| ARC 2018 Q3 | Compensation & Bonus |
| ARC 2018 Q3 | DNR Planning & Readiness - Unit 3 |
| ARC 2018 Q3 | Fish Impingement & Entrainment Management - Nuclear |
| ARC 2018 Q3 | IT Strategy & Project Gating |
| ARC 2018 Q4 | DNR Return to Service - Unit 2 |
| ARC 2018 Q4 | Nuclear Parts Quality & Inspection |
| ARC 2018 Q4 | Nuclear Plant Security |
| ARC 2018 Q4 | Ranney Falls G3 Project |
| ARC 2018 Q4 | Accounts Receivable - Non Generation |
| ARC 2018 Q4 | Cybersecurity - Incident Response & Recovery |
| ARC 2018 Q4 | DNR Vendor Billings - Voucher Payments |
| ARC 2019 Q1 | Nuclear Innovation |
| ARC 2019 Q1 | Robotics Implementation Framework |
| ARC 2019 Q1 | RG Cost Effectiveness Incentive Regulation |
| ARC 2019 Q1 | Directors' Expenses |
| ARC 2019 Q1 | Executive Leadership Team ("ELT") Expenses |
| ARC 2019 Q1 | Nuclear Fuel Procurement |

| Board Report | Engagement Name |
|---|---|
| ARC 2019 Q2 | Contractor Safety Management |
| ARC 2019 Q2 | DNR Unit 2 - Asset In Service |
| ARC 2019 Q2 | Indigenous Relations |
| ARC 2019 Q2 | New Horizons Systems Solutions ("NHSS") Contract - IT Support |
| ARC 2019 Q2 | Workforce Planning |
| ARC 2019 Q3 | CSA 290.7-14 Cybersecurity Compliance |
| ARC 2019 Q3 | Enterprise Risk Management |
| ARC 2019 Q3 | Waterways Public Safety |
| ARC 2019 Q3 | Engineering Services - Vendor Oversight |
| ARC 2019 Q4 | Payroll |
| ARC 2019 Q4 | DNR Claims & Dispute Management |
| ARC 2019 Q4 | DNR Procurement Oversight - Unit 3 |
| ARC 2019 Q4 | Nuclear Waste & Radioactive Material Transportation |
| ARC 2019 Q4 | Strategic Sourcing |
| ARC 2019 Q4 | North Bay Control Room Dispersion |
| ARC 2019 Q4 | OEB Rate Application Readiness |
| ARC 2019 Q4 | Projects & Modifications - Assets in Service |
| ARC 2020 Q1 | Procurement Card Expenses |
| ARC 2020 Q1 | Nuclear Stores Issuance Follow-up |
| ARC 2020 Q1 | Sir Adam Beck 1 - G1/G2 Conversion |
| ARC 2020 Q1 | Board of Directors Business Expenses |
| ARC 2020 Q1 | Executive Leadership Team Business Expenses |
| ARC 2020 Q2 | Cloud Computing |
| ARC 2020 Q2 | Enterprise Project Excellence |
| ARC 2020 Q2 | IT Risk & Governance |
| ARC 2020 Q2 | Isotope Sales Operations |
| ARC 2020 Q2 | Pickering Outage Management |
| ARC 2020 Q3 | DNR Turbine Generator Project - Unit 3 |
| ARC 2020 Q3 | DNR Quality Oversight |
| ARC 2020 Q3 | IT Projects Portfolio Management |
| ARC 2020 Q3 | RG Safety Improvement Plan |
| ARC 2020 Q3 | Supply Chain Disruption and Risk Management |
| ARC 2020 Q3 | Ethics Program & Investigations |
| ARC 2020 Q3 | Fraud Risk Assessment |
| ARC 2020 Q4 | CIO Insider Threat Data Loss Prevention |
| ARC 2020 Q4 | Management Compensation |
| ARC 2020 Q4 | DNR Unit 2 Cost Allocation |
| ARC 2020 Q4 | RG Asset Management & Maintenance Follow-up |
| ARC 2020 Q4 | Pickering Safstore |
| ARC 2020 Q4 | [redacted] |
| ARC 2020 Q4 | Nuclear Asset Management - Equipment Reliability & Maintenance |