



## Application for a Gas Marketer Licence

### Application Instructions

#### 1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant

- (a) to sell or offer to sell natural gas to low-volume consumers (consuming 50,000m<sup>3</sup> or less of natural gas per year);
- (b) to act as the agent or broker for a seller of natural gas to a low-volume consumer; and/or
- (c) to act or offer to act as an agent or broker of a low-volume consumer in the purchase of gas.

#### 2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

The applicant must also complete the checklist attached to the application form.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary  
Ontario Energy Board  
P.O Box 2319  
2300 Yonge Street, 27<sup>th</sup> Floor  
Toronto, ON M4P 1E4

Any questions regarding the completion of this application should be directed to the Ontario Energy Board (OEB) at [IndustryRelations@oeb.ca](mailto:IndustryRelations@oeb.ca).

Please note that the OEB may require information that is additional or supplementary to the information filed in this application form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

Applicants should consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. Processing timelines are indicated on the "[Performance Standards for Processing Applications](#)" page of the OEB's website at [www.oeb.ca](http://www.oeb.ca). If submitted incomplete, there may be a delay in processing the application.

#### 3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at [www.oeb.ca](http://www.oeb.ca).

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at [www.oeb.ca](http://www.oeb.ca).

#### 4. Confidentiality

Sections 10 to 15 of this application will be treated as confidential. All other information filed as a part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 10 to 15, the applicant must follow the procedure set out in the OEB's Practice Direction on Confidential Filings.

#### 5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.

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Ontario Energy Board  
P.O. Box 2319  
2300 Yonge Street  
27<sup>th</sup> Floor  
Toronto ON M4P 1E4  
Telephone: 1-888-632-6273  
Facsimile: (416) 440-7656

Commission de l'énergie  
l'Ontario  
C.P. 2319  
2300, rue Yonge  
27<sup>e</sup> étage  
Toronto ON M4P 1E4  
Téléphone: 1-888-632-6273  
Télécopieur: (416) 440-7656



## Application for a Gas Marketer Licence

For Office Use Only	
Application Number	EB -
Date Received	

### 1. The Applicant

Legal Name of the Applicant : Unified Energy Services, LLC

Name to Appear on Licence: Unified Energy Services, LLC

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

- Sole Proprietor  
 Partnership  
 Corporation  
 Other (describe) Limited Liability Company

Date of formation or incorporation: Nov 2008

Place of formation or incorporation: Texas

Province/state Texas

Country United States

If the applicant is an individual, the applicant must be at least 18 years old.

If the applicant is an individual, is he or she at least 18 years old?

- Yes  
 No  
 Not applicable - not an individual

## 2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Harris	Michael	
Other <input type="radio"/>		Title/Position	CEO	
		Company Name if different from Name to Appear on Licence	N/A	

Licence Primary Contact Address:

2625 Greenbriar Dr

City	Province/State	Country	Postal/Zip Code
Houston	Texas	USA	77098

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
832-818-7000	N/A	832-204-8411	Michael.Harris@unifiedenergy.com

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

Mr. <input type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>			
Other <input type="radio"/>		Title/Position		
		Company Name if different from Name to Appear on Licence	Idealogic AFS Inc	

Address for Service in Ontario (if different than the Licence Primary Contact Address above)

408-105 Victoria St.

City	Province	Postal Code
Toronto	ONTARIO	M5C 3B4

Phone Number	Toll Free (if available)	Fax Number	E-mail Address
832-818-7000	N/A	832-204-8411	Michael.Harris@unifiedenergy.com

### 3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. <input type="radio"/>	Mrs. <input checked="" type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Caden	Brooke	
Other <input type="radio"/>		Title/Position	Commission & Operational Manager	
		Company Name if different from Name to Appear on Licence	same	
Application Primary Contact Address:				
2625 Greenbriar Dr.				
City	Province/State	Country	Postal/Zip Code	
Houston	Texas	USA	77098	
Phone Number	Toll Free (if available)	Fax Number	E-mail Address	
281-620-3457	N/A	N/A	payments@unifiedenergy.com	

### 4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario which may be reached by the general public without a charge.

Mr. <input checked="" type="radio"/>	Mrs. <input type="radio"/>	Last Name	First Name	Initial
Miss <input type="radio"/>	Ms. <input type="radio"/>	Harris	Michael	
Other <input type="radio"/>		Title/Position	CEO	
		Company Name if different from Name to Appear on Licence	Same	
Customer Complaint or Inquiries Primary Contact Address:				
408-105 Victoria St.				
City	Province	Postal Code		
Toronto	ONTARIO	M5C 3B4		
Phone Number	Toll Free	Fax Number	E-mail Address	
832-818-7000	N/A	832-204-8411	Michael.Harris@unifiedenergy.com	

## 5. Type of Application

- New licence  
 Renewal, please provide the licence number and expiry date of the existing licence  
 Licence Number: GM- 2016-0156      Expiry Date: June 2021

## 6. Trade Names

The gas marketer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed gas marketer.

Does the applicant intend to use trade names?

- Yes, provide a list of trade names the applicant intends to use in the space provided below.  
 No, proceed to 7.


## 7. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the OEB? (the *Business Corporations Act* definition for affiliate can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca)).
- Yes, provide details of current and expired licences in the table below.  
 No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partner...etc.)	Licence Number
Unified Energy Services LLC	itself	ER-2015-0357
Unified Energy Services LLC	itself	GM-2016-0156

(b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the OEB?

- Yes, provide details in the table below.  
 No, proceed to 7(c).

Applicant Name	Type of Application	OEB File Number (if applicable)
Unified Energy Services LLC	itself	ER-2015-0357

(c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?

- Yes, provide details of current and expired licences in the table below  
 No

Company Name	Jurisdiction	Business Activity	Name of Licensing Body	Licence/Registration No.
See Exhibit A				

## 8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

**Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain.** \_\_\_\_\_

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Michael Harris	CEO
Bennett Rowe	President
Christian Skov	Vice President of Sales

## 9. Intended Services

Intended Services: please identify which of the following services the applicant intends to offer.

- to sell or offer to sell gas to low-volume consumers (consuming 50,000m<sup>3</sup> or less of natural gas per year) in Ontario.
- to act as an agent or broker for a gas marketer with respect to the sale or offering for sale of natural gas to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of natural gas in Ontario.

Is the applicant currently providing any of the above listed services?

- Yes, provide a list of the services the applicant is currently providing.

Acting as an agent/broker pricing customers for a retailer (Direct Energy) with respect to the sale or offering of  
Gas with the hope of closing deals.

- No, indicate when the applicant intends to provide these services.
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