Application for a Unit Sub-Metering Licence

Application Instructions

1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant to engage in unit sub-metering.

2. Completion Instructions

This form is a writeable PDF.

The applicant must either:

- type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

Please send both copies of the completed form and two copies of any attachments to:

Board Secretary Ontario Energy Board P.O Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4

Any questions regarding the completion of this application should be directed to the Ontario Energy Board (OEB) at <u>IndustryRelations@oeb.ca.</u>

Please note that the OEB may require information that is additional or supplementary to the information filed in this application form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

Applicants should consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. Processing timelines are indicated on the "Performance Standards for Processing Applications" page of the OEB's website at www.oeb.ca. If submitted incomplete, there may be a delay in processing the application.

3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at <u>www.oeb.ca</u>.

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at <u>www.oeb.ca.</u>

4. Confidentiality

Sections 8 to 12 of this application will be treated as confidential. All other information filed as a part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 8 to 12, the applicant must follow the procedure set out in the OEB's Practice Direction on Confidential Filings.

5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.



Print Form

 Ontario Energy

 Board

 P.O. Box 2319

 2300 Yonge Street

 27th Floor

 Toronto ON M4P 1E4

 Telephone: 1-888-632-6273

 Facsimile: (416) 440-7656

Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656



Application for a Unit Sub-Metering Licence

For Office Use Only		
Application Number	EB -	
Date Received		

1. The Applicant

Legal Name of the Applicant :	ENWIN Energy Ltd.
Name to Appear on Licence:	ENWIN Energy Ltd.
Indicate if same as above	、 、

If not the same as above, the name must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice and on the licence.

Date of formation or incorporation: December 13, 1999

2. Licence Primary Contact

As a condition of licensing, the licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

	Last Name	First Name	Initial
Mr. 💿 Mrs. 🔿	Taylor	Kristopher	W A
Miss O Ms. O	Title/Position		
Other C	Vice President Business Development		
	Company Name if different from Na	ame to Appear on Licence	
Licence Primary Contact	Address:		
4545 Rhodes Drive			
City	Province/State	Country	Postal/Zip Code
Windsor	Ontario	Canada	N8W 5T1
Phone Number	Toll Free (if available) Fax Number	E-mail Address	
519-251-7300		ktaylor@enwin.com	

3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

Mr. O	Mrs. C	Last Name		First Name	Initial
Miss 🔿 Other 🔿	Ms. C	Title/Position	L		
		Company Name if di	ifferent from Name to	Appear on Licence	
Application Primary Contact Address:					
City		Province/Sta	te	Country	Postal/Zip Code
Phone Numbe	r Tol	II Free (if available) F	Fax Number	E-mail Address	

4. Trade Names

The unit sub-metering licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed unit sub-meter provider.

Does the applicant intend to use trade names?

Yes, provide a list of trade names the applicant intends to use in the space provided below.

No, proceed to 5

5. Applicant's Licensing Status and History

- (a) Has the applicant or an affiliate of the applicant ever been licensed by the OEB? (the Business Corporations Act definition for affiliate can be found at <u>www.e-laws.gov.on.ca/html/statutes/english/</u>
 <u>elaws statutes 90b16 e.htm</u>)
 - Yes, provide details of current and expired licences in the table below
 - No, proceed to 5(b)

Licensee Name	OEB Licence Number	
ENWIN Utilities Ltd.	ED-2002-0527	

(b) Has the applicant or an affiliate of the applicant ever undertaken electricity sector activity in another jurisdiction within North America?

Yes, provide details of current and expired licences in the table below

No No

Licensee Name	Jurisdiction	Business Activity

6. Officers, Directors and Key Individuals

(a) Provide as a separate attachment a list of all officers and directors, including name and title.

(b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Name of Key Individual	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)	
Kristopher Taylor	VP Business Development, ENWIN Utilities Ltd. (Affiliate)	
Matt Carlini	VP Corporate Services & CFO, ENWIN Utilities Ltd. (Affiliate)	
Helga Reidel	President & CEO - Windsor Canada Utilities Ltd. (Affiliate)	

7. Intended Services

Please describe the applicant's intended services with respect to unit sub-metering:

ENWIN Energy Ltd. intends to provide sub-metering services to eligible and willing customers in Windsor and across Ontario.

Is the applicant currently providing these services?

Yes

X

No, indicate when the applicant intends to provide these services As early as when licensed.

If the applicant objects to public disclosure of any of the information in the preceding sections, the applicant must notify the OEB and follow the OEB's Practice Direction on Confidential Filings.

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13. Notice

The OEB is authorized, under section 4.14 of the Ontario Energy Board Act, 1998, to collect personal information for the purpose of carrying out its duties and exercising its powers under the Ontario Energy Board Act, 1998 or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

Board Secretary Ontario Energy Board P.O Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the *Freedom of Information and Protection of Privacy Act* ("FIPPA"). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

14. Certification and Acknowledgement

I certify that the information contained in this application and in documents provided are true and accurate.

I understand and acknowledge that, as a licensed unit sub-meter provider, I must provide information as the OEB may require from time to time.

Print Name of Key Individual	Signature of Key Individual	Date
Kris Taylor		7/7/21

(Must be signed by one of the key individuals identified in section 6)

Checklist (return with application form)

- Two copies of the application form have been included with original signature on both copies
- A cheque or money order for the non-refundable application fee has been included
- A list of all officers and directors, including name and title has been attached to the application form
- A corporate organization chart has been attached to the application form
- Two years of financial information have been attached to the application form
- Section 12 has been completed for all key individuals