

DECISION AND ORDER EB-2021-0152

UNIFIED ENERGY SERVICES, LLC

Application for a Gas Marketer Licence

BY DELEGATION, BEFORE: Brian Hewson

Vice President

Consumer Protection & Industry Performance

October 7, 2021

DECISION AND ORDER

Unified Energy Services, LLC (Unified Energy) filed an application on April 28, 2021, with the Ontario Energy Board (OEB) pursuant to section 50 of the *Ontario Energy Board Act, 1998* (OEB Act), for a gas marketer licence. To participate as an agent or broker for natural gas in the gas market in Ontario, the applicant requires a gas marketer licence pursuant to section 48 of the OEB Act.

This Decision and Order is being issued by the Delegated Authority pursuant to Section 6 of the OEB Act. The Delegated Authority has considered the application without holding a hearing pursuant to section 6(4) of the OEB Act.

The applicant has been previously licensed by the OEB and has applied to renew its Gas Marketer's Agent Only licence, which expired on June 8, 2021. An Interim Decision and Order extending the licence term to November 1, 2021, was issued on May 20, 2021.

After considering the application, the OEB finds that it is in the public interest to issue the gas marketer licence to Unified Energy Services, LLC. This licence is granted without prejudice with regard to any future compliance actions.

IT IS ORDERED THAT:

1. The application for a gas marketer licence (agent only) is granted, on such conditions as are contained in the attached licence.

DATED at Toronto October 7, 2021

ONTARIO ENERGY BOARD

Original Signed By

Brian Hewson Vice President, Consumer Protection & Industry Performance



Gas Marketer Licence Agent Only

GM-2021-0152

Unified Energy Services, LLC

Valid Until October 6, 2026

Original Signed By

Brian Hewson Vice President, Consumer Protection & Industry Performance Ontario Energy Board Date of Issuance: October 7, 2021

Ontario Energy Board P.O. Box 2319 2300 Yonge Street 27th. Floor Toronto, ON M4P 1E4 Commission de l'énergie de l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4

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1 Definitions

In this Licence:

"Act" means the Ontario Energy Board Act, 1998, S.O. 1998, c. 15, Schedule B;

"low-volume consumer" means a person who annually uses less than 50,000 cubic meters of gas;

"Licensee" means Unified Energy Services, LLC;

"regulation" means a regulation made under the Act.

2 Interpretation

2.1 In this Licence, words and phrases shall have the meaning ascribed to them in the Act. Words or phrases importing the singular shall include the plural and vice versa. Headings are for convenience only and shall not affect the interpretation of this Licence. Any reference to a document or a provision of a document includes an amendment or supplement to, or a replacement of, that document or that provision of that document. In the computation of time under this Licence, where there is a reference to a number of days between two events, they shall be counted by excluding the day on which the first event happens and including the day on which the second event happens. Where the time for doing an act expires on a holiday, the act may be done on the next day that is not a holiday.

3 Authorization

- 3.1 The Licensee is authorized, under Part IV of the Act, and subject to the terms and conditions set out in this Licence:
 - a) to act as the agent or broker for seller of gas to a low-volume consumer; and
 - b) to act or offer to act as the agent or broker of a low-volume consumer in the purchase of gas.
- 3.2 The Licensee is authorized to conduct business in the name under which this Licence is issued, or any trade name(s) listed in Schedule 1.

4 Obligation to Comply with Legislation and Regulations

4.1 The Licensee shall comply with all applicable provisions of the Act and regulations under the Act except where the Licensee has been exempted from such compliance by regulation.

5 Obligation to Comply with Codes

5.1 The Licensee shall at all times comply with all applicable provisions of the Code of Conduct for Gas Marketers, as issued and amended by the Board from time to time under Part III of the Act.

5.2 This Licensee shall:

- a) make a copy of the Code available for inspection by members of the public at its head office and regional offices during normal business hours; and
- b) provide a copy of the Code to any person who requests it. The Licensee may impose a fair and reasonable charge for the cost of providing copies.

6 Agent for Service

6.1 If the Licensee does not have an office or other place of business in Ontario, the Licensee shall ensure the continuing appointment at all times of an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario, as the Licensee's agent for service in Ontario on whom service of process, notices or other documentation may be made.

7 Provision of Information to the Board

- 7.1 The Licensee shall maintain records of and provide, in the manner and form determined by the Board, such information as the Board may require from time to time.
- 7.2 Without limiting the generality of paragraph 7.1, the Licensee shall notify the Board of any material change in circumstances that adversely affects or is likely to adversely affect the business, operations or assets of the Licensee as soon as practicable, but in any event no more than twenty (20) days past the date upon which such change occurs.

8 Customer Complaint and Dispute Resolution

8.1 The Licensee shall participate in a consumer complaints resolution process selected by the Board.

9 Term of Licence

9.1 This Licence shall take effect on October 7, 2021 and expire on October 6, 2026. The term of this Licence may be extended by the Board.

10 Fees and Assessments

10.1 The Licensee shall pay all fees charged and amounts assessed by the Board.

11 Communication

11.1 The Licensee shall designate a person that will act as a primary contact with the Board on matters related to this Licence. The Licensee shall notify the Board promptly should the contact details change.

- 11.2 All official communication relating to this Licence shall be in writing.
- 11.3 All written communication is to be regarded as having been given by the sender and received by the addressee:
 - a) when delivered in person to the addressee by hand, by registered mail, or by courier;
 - ten (10) business days after the date of posting, if the communication is sent by regular mail; or
 - c) when received by facsimile transmission by the addressee, according to the sender's transmission report.

12 Copies of the Licence

- 12.1 The Licensee shall:
 - a) make a copy of this Licence available for inspection by members of the public at its head office and regional offices during normal business hours; and
 - b) provide a copy of this Licence to any person who requests it. The Licensee may impose a fair and reasonable charge for the cost of providing copies.

Unified Energy Services, LLC Gas Marketer Licence GM-2021-0152 Agent Only

SCHEDULE 1 AUTHORIZED TRADE NAMES

None