Application for an Electricity Retailer Licence Application Instructions

1. Purpose of this Form

This form is to be used to apply for a licence that would enable the applicant

- (a) to sell or offer to sell electricity to a consumer;
- (b) to act as agent or broker for a retailer with respect to the sale of electricity; and/or
- (c) to act or offer to act as an agent or broker for a consumer with respect to the sale or offering for sale of electricity.

2. Completion Instructions

This form is in a writeable PDF format. The applicant must either:

- type answers to all questions, print two copies and sign both copies; or
- print a copy of the form, clearly print answers to all questions, make a copy and sign both copies.

The applicant must also complete the checklist attached to the application form.

Please send both copies of the completed form, checklist, and two copies of any attachments to:

Board Secretary Ontario Energy Board P.O Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4

Any questions regarding the completion of this application should be directed to the Ontario Energy Board (OEB) at <u>IndustryRelations@oeb.ca</u>.

Please note that the OEB may require information that is additional or supplementary to the information filed in this application form and that filing of the form does not preclude the applicant from filing additional or supplementary information.

Applicants should consider the timelines required to process applications to avoid submitting applications too early or too late. Submitting applications too early without reasonable certainty of the proposed licensed activities will impede regulatory efficiency while submitting applications too late will leave the applicant to bear the risk of delayed commencement of licensed activities. Processing timelines are indicated on the "<u>Performance Standards for Processing Applications</u>" page of the OEB's website at <u>www.oeb.ca</u>. If submitted incomplete, there may be a delay in processing the application.

3. Application and Registration Fees

A non-refundable application fee is required to process your application. Please enclose a cheque or money order made payable to the **ONTARIO ENERGY BOARD**. The amount of the application fee is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at <u>www.oeb.ca</u>.

If a licence is issued, the licensee will be required to pay an annual registration fee. Annual registration fee information is indicated on the "Apply for a Licence" page of the "Industry" section of the OEB's website at <u>www.oeb.ca</u>.

4. Confidentiality

Sections 10 to 15 of this application will be treated as confidential. All other information filed as a part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information contained in sections other than sections 10 to 15, the applicant must follow the procedure set out in the OEB's Practice Direction on Confidential Filings.

5. Important Information

The applicant must make a copy of the non-confidential sections of the application available to any person who requests a copy of the application.





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 Ontario Energy

 Board

 P.O. Box 2319

 2300 Yonge Street

 27th Floor

 Toronto ON M4P 1E4

 Telephone: 1-888-632-6273

 Facsimile: (416) 440-7656

Commission de l'énergie l'Ontario C.P. 2319 2300, rue Yonge 27e étage Toronto ON M4P 1E4 Téléphone: 1-888-632-6273 Télécopieur: (416) 440-7656



Application for an Electricity Retailer Licence

ffice Use Only
ЕВ -

Date Received

1. The Applicant

Legal Name of the Applicant : Canadian Energy Protection Corp.

Name to Appear on Licence:

Indicate if the name to appear on the licence is the same as the legal name.

Please note that if the name to appear on the licence is not the same as the legal name, the name on the licence must include the legal name of the applicant and the legal name must appear first. The "Name to Appear on Licence" will appear on the notice of application and on the licence.

Business Classification:

- Sole Proprietor
- Partnership

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- Corporation
- Other (describe)

Date of formation or incorporation: October 30, 2014

Place of formation or incorporation: Ottawa

Province/state	Ontario	
Country	Canada	

If the applicant is an individual, the applicant must be at least 18 years old. If the applicant is an individual, is he or she at least 18 years old?

⊖ Yes

 \bigcirc No

• Not applicable - not an individual

2. Licence Primary Contact

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As a condition of licensing, the licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

	Last Name	First Name	Initial
Mr. Mrs. 	Cunningham	Mark	
Miss 🔿 Ms. 🔿	Title/Position		
Other 🔿	President and Chairman		
	Company Name if different from N	ame to Appear on Licence	
Licence Primary Conta	ct Address:		
9-2020 Lanthier Dr. Su	ite 219		
City	Province/State	Country	Postal/Zip Code
Orleans	Ontario	Canada	K4A 3V4
Phone Number	Toll Free (if available) Fax Number	E-mail Address	
(613)-702-4629	1-844-349-3378 (613)-702-4639	m.cunningham@ca	nadianenergyprotection.c

If the above address is not in Ontario, the applicant must also provide contact information for service purposes in the province of Ontario. Applicants whose offices are not located in Ontario may provide the address of an agent (an individual who is a resident of Ontario and is at least 18 years old, or a corporation that has its head office or registered office in Ontario) as the address of service.

	Last Name		First Name	Initial
Mr. 🔿 Mrs. 🔿				
Miss O Ms. O	Title/Position			
	Company Name if	different from Name to	Appear on Licence	
Address for Service in Ontai	rio (if different than th	e Licence Primary Cor	ntact Address above)	
City		Province	Postal Code	_
		ONTARIO		
Phone Number To	oll Free (if available)	Fax Number	E-mail Address	

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3. Application Primary Contact

Indicate if same as above. If yes, proceed to section 4.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application but with the licence primary contact after a licence is issued.

	Last Name	First Name	Initial
Mr. 🔿 Mrs. 🔿			
Miss 🔿 Ms. 🔿	Title/Position		
Other 〇			
	Company Name if different from	Name to Appear on Licence	
Application Primary Contac	t Address:		
City	Province/State	Country	Postal/Zip Code
Phone Number T	oll Free (if available) Fax Number	E-mail Address	

4. Customer Complaint or Inquiries Primary Contact

Provide contact information of the person to whom correspondence or communication regarding customer complaints or inquiries should be addressed. The mailing address should be in Ontario and the telephone number should be listed in Ontario. If the applicant intends to retail electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity), the applicant should also provide a telephone number which may be reached by the general public without a charge.

Mr. C	Mra 🔿	Last Name		First Name	Initial
Mr. 💿	Mrs. 🔿	Cunningham		Mark	
Miss 🔿	Ms. 🔿	Title/Position			
Other ()		President and Cha	airman		
		Company Name i	f different from Name t	o Appear on Licence	
Customer Co	mplaint or I	nquiries Primary Contac	t Address:		
9-2020 Lanth	ier Dr. Suit	e 219			
City			Province	Postal Code	
Orleans			ONTARIO	K4A 3V4	
Phone Numb	er	Toll Free	Fax Number	E-mail Address	
(613)-702-46	29	1-844-349-3378	(613)-702-4639	admin@canadianener	gyprotection.com

5. Type of Application

- \bigcirc New licence
- Renewal, please provide the licence number and expiry date of the existing licence
 Licence Number: ER- 2016-0358
 Expiry Date: June 14, 2022

6. Trade Names

The electricity retailer licence authorizes the licensee to conduct business using the name under which the licence is held. It also provides for the use of trade names by the licensed electricity retailer.

Does the applicant intend to use trade names?

Yes, provide a list of trade names the applicant intends to use in the space provided below.

No, proceed to 7.

Canadian Energy Protection

Go Canadian

Freedom Power

7. Applicant's Licensing Status and History

- (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever been licensed by the OEB? (the *Business Corporations Act* definition for affiliate can be found at <u>www.e-laws.</u> <u>gov.on.ca</u>).
 - Yes, provide details of current and expired licences in the table below.
 - No, proceed to 7(b).

Licensee Name	Relation to the applicant (e.g., applicant itself, affiliate, partneretc.)	Licence Number
Canadian Energy Protection Corp.	Applicant Itself	GM-2016-0357
Canadian Energy Protection Corp.	Applicant Itself	ER-2016-0358
Canadian Energy Protection Corp.	Applicant Itself	GM-2014-0342
Canadian Energy Protection Corp.	Applicant Itself	ER-2014-0343

- (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) have any other application(s) before the OEB?
 - Yes, provide details in the table below.
 - No, proceed to 7(c).

Applicant Name	Type of Application	Ontario Energy Board File Number (if applicable)
Canadian Energy Protection Corp.	Gas Marketer	

- (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g., a partnership or limited partnership) ever undertaken licensed energy sector activity in any other jurisdiction within North America?
 - Yes, provide details of current and expired licences in the table below
 - No No

 Company Name
 Jurisdiction
 Business Activity
 Name of Licensing Body
 Licence/Registration No.

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8. Officers, Directors and Key Individuals

- (a) If the applicant is a corporation, provide as a separate attachment a list of all officers and directors, including name and title.
- (b) Provide a list of key individuals below. The individuals listed must be the individuals that are responsible for the following functions for the applicant: regulatory requirements and conduct, financial matters and technical matters. These key individuals may include the Chief Executive Officer, the Chief Financial Officer, other officers, directors and proprietors.

Note: Please list a minimum of three key individuals. If unable to provide a minimum of three, please explain. CEPC continues to operate as a hybrid scalable startup/small business.

Name of Key Individual	Title/position within applicant's business (or identify company if not the applicant's business)
Mark Cunningham	President (operations, regulatory)
Isabelle Cunningham	Vice President (consumer relations, marketing)

9. Intended Services and Markets

(a)	Intended Services: please identify which of the following services the applicant intends to offer. You may select as many as applicable.
\boxtimes	to sell or offer to sell electricity to low-volume consumers (annually consuming less than 150,000 kilowatt hours of electricity) in Ontario.
\boxtimes	to sell or offer to sell electricity to large-volume consumers (annually consuming more than 150,000 kilowatt hours of electricity) in Ontario.
\square	to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to large-volume consumers, or as an agent or broker for large-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
\boxtimes	to act as an agent or broker for a retailer with respect to the sale or offering for sale of electricity to low-volume consumers, or as an agent or broker for low-volume consumers with respect to the sale or offering for sale of electricity in Ontario.
Is the	e applicant currently providing any of the above listed services?
\ge	Yes, provide a list of the services the applicant is currently providing.
	CEPC has been selling and offering to sell electricity to low and large volume consumers in Ontario since 2015.
	No, indicate when the applicant intends to provide these services.
(b)	Wholesale Market Participation: does the applicant intend to operate in the IESO-administered markets and settle bilateral contracts through the IESO?
\square	Yes
	No, please explain how the applicant intends to participate.
	No, please explain how the applicant intends to participate.
(c)	No, please explain how the applicant intends to participate. Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by its customers through a distributor's settlement system?
(c)	Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by
(c)	Retail Market Participation: does the applicant intend to settle the wholesale market cost of electricity consumed by its customers through a distributor's settlement system?