

Ontario Energy
Board
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Commission de l'énergie
l'Ontario
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ONTARIO ENERGY BOARD



Application for an Electricity Generation Licence Feed-in Tariff Program

| For Office Use Only | |
|---------------------|---------------|
| Application Number | EB- 2019-0092 |
| Date Received | Feb. 14/19 |

1. The Applicant

Legal Name of the Applicant : Swift River Operations Limited

Business Classification:

☐ Sole Proprietor

☐ Partnership

☒ Corporation

☐ Other (describe) _____

Date of formation or incorporation: February 7, 2019

Place of formation or incorporation:

Province/State Ontario

Country Canada

Head Office or Business Address of Applicant

300 - 60 St. Clair Avenue East

| City | Province/State | Country | Postal/Zip Code |
|----------------|----------------|---------------|-----------------|
| <u>Toronto</u> | <u>ON</u> | <u>Canada</u> | <u>M4P 1E4</u> |

Phone Number

Toll Free (if available)

E-mail Address

416-864-9977

info@balafalls.ca

Website Address balafalls.ca

2. Application Type

☒ New

☐ Renewal, provide the licence number and expiry date of the current licence.

Licence Number _____

Expiry Date _____

3. Licence Primary Contact

The licensee shall designate a person who will act as primary contact with the OEB on matters related to the licence.

| | | | | |
|--------------------------------------|----------------------------|--------------------------------|------------|---------|
| Mr. <input checked="" type="radio"/> | Mrs. <input type="radio"/> | Last Name | First Name | Initial |
| Miss <input type="radio"/> | Ms. <input type="radio"/> | Zwig | Anthony | |
| Other <input type="radio"/> | | Title/Position | | |
| | | Chief Executive Officer | | |
| | | Company Name | | |
| | | Swift River Operations Limited | | |

Licence Primary Contact Address:

300 - 60 St. Clair Avenue East

| | | | |
|---------|----------------|---------|-----------------|
| City | Province/State | Country | Postal/Zip Code |
| Toronto | ON | Canada | M4P 1E4 |

| | | |
|--------------|--------------------------|-------------------------|
| Phone Number | Toll Free (if available) | E-mail Address |
| 416-864-3952 | | azwig@horizonlegacy.com |

4. Application Primary Contact

☒ Indicate if same as above. Proceed to section 5.

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process but with the licence primary contact after a licence is issued.

| | | | | |
|-----------------------------|----------------------------|----------------|------------|---------|
| Mr. <input type="radio"/> | Mrs. <input type="radio"/> | Last Name | First Name | Initial |
| Miss <input type="radio"/> | Ms. <input type="radio"/> | | | |
| Other <input type="radio"/> | | Title/Position | | |
| | | | | |
| | | Company Name | | |
| | | | | |

Application Primary Contact Address:

| | | | |
|------|----------------|---------|-----------------|
| City | Province/State | Country | Postal/Zip Code |
| | | | |

| | | |
|--------------|--------------------------|----------------|
| Phone Number | Toll Free (if available) | E-mail Address |
| | | |

5. Trade Names

The electricity generation licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

Does the applicant intend to use trade names?

☐ Yes, provide a list of trade names the applicant intends to use in the space provided below.

☒ No, proceed to section 6.

| |
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| |

6. Applicant's Licensing Status and History

(a) Has the applicant ever been licensed by the OEB?

☐ Yes, provide details of current and expired licences in the table below.

☒ No

| Licensee Name | Licensee's Address | Licence Number |
|---------------|--------------------|----------------|
| | | |
| | | |
| | | |

(b) Has the applicant ever had an energy market related licence or registration in Ontario and/or other jurisdiction within North America refused, suspended, revoked or cancelled?

☐ Yes, provide details

| |
|--|
| |
|--|

☒ No

7. Key Individuals

In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters, and technical matters. Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

| Name of Key Individual | Title/Position within Applicant's Business (or identify company if not the Applicant's Business) |
|------------------------|--|
| Anthony Zwig | Chief Executive Officer |
| | |
| | |

8. Generation Facilities

Is the applicant applying to be licensed for more than one facility?

☐ Yes. Make a copy of **sections 8,9 and 10 of this application form** and provide information for each additional facility as an attachment.

☒ No

- a) Generation Type: ☐ Biogas ☐ Biogas (On-Farm) ☐ Landfill Gas
 ☐ Renewable Bio-Mass ☐ Solar PV (Rooftop) ☐ Solar PV (Ground Mount)
 ☐ Wind (Off-Shore) ☐ Wind (On-Shore) ☒ Waterpower

Gross Nameplate Capacity 4,700 ☒ kW ☐ MW

Expected Commercial Operation Date 16-Jul-19

Facility Name Bala Falls Small Hydro Project

Facility Address 3105 Highway 169, Bala, Ontario P0C 2A0

b) Does/will the applicant own and operate any of the following (check any that apply):

i) A distribution line that is used to distribute electricity within the generation facility described in section 8 a) or from that facility to the distribution system of a Local Distribution Company?

☐ Yes, provide length of the distribution line in kilometres _____

☒ No

ii) A transmission line that is used to distribute electricity within the generation facility described in section 8a) or from that facility to the IESO-controlled grid?

☐ Yes, provide length of the transmission line in kilometres _____

☒ No

iii) A transformer station or distribution station that is used to transform the voltage of electricity at the generation facility described in section 8a), on a transmission line or on the distribution system of a Local Distribution Company?

☐ Yes

☒ No

c) Responsibilities of Applicant:

☐ Owner and Operator

☒ Operator only, please indicate if you are leasing the facility and identify owner _____

Owner: Swift River Limited Partnership. Not leasing facility.

☐ Owner only, please identify lessee/operator _____

If you are applying for only one of the two qualifications, please provide information on the status of the other qualification. The information should include confirmation as to whether or not the person or entity seeking the other qualification is licensed; if licensed, please provide the licence number, and if not, indicate when an application for the other qualification will be filed with the OEB:

Owner has obtained OEB generator license, EG-2018-0258

9. Feed-in Tariff Program Contract and Notice to Proceed

Provide details regarding FIT contract with the IESO and Notice to Proceed

FIT Reference #: FIT - F-000585-WAT-130-301

Contract Date: 21-Apr-10

Date Notice to Proceed was received: 2-May-13

**Note: The application cannot be processed until the IESO issues a Notice to Proceed for the project.
A copy of the Notice to Proceed must be submitted with your application.**

10. Connection

a) Will the generation facility be connected to a Local Distribution Company?

☒ Yes, identify the Local Distribution Company and the connection point (i.e. feeder name, name of transformer station or distribution station to which the feeder is connected)

Hydro One Networks, Muskoka TS, Feeder M1

☐ No

b) Will the generation facility be connected to a Host Facility?

☐ Yes, identify the Host Facility and the connection point (i.e. name of Local Distribution Company or name of Transmitter serving Host Facility, name of feeder or circuit connecting Host Facility, name of transformer station or name of switching station, name of transformer or distribution station to which the feeder is connected)

☒ No

c) Will the generation facility be connected to a transmission system (the IESO-controlled grid)?

☐ Yes, identify the connection point (i.e. name of circuit, name of transformer or switching station)

☒ No

If the applicant has answered "No" to a), b) and c), explain how the applicant intends to connect the project.

11. Notice

The OEB is authorized, under section 4.14 of the OEB Act, to collect personal information for the purpose of carrying out its duties and exercising its powers under the OEB Act or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:


Board Secretary
Ontario Energy Board
P.O Box 2319
2300 Yonge Street, 27th Floor
Toronto, ON
M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the *Freedom of Information and Protection of Privacy Act* (FIPPA). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

12. Certification and Acknowledgement

- (a) I certify that the information contained in this application and in the documents provided are true and accurate.
- (b) I understand and acknowledge that, as a licensee, I must provide information as the OEB may require from time to time.
- (c) I understand and acknowledge that, as a licenced electricity generator, I must enter into agreements with the distributor or transmitter to whom my facilities are connected.

| Print Name of Key Individual | Signature of Key Individual | Date |
|------------------------------|---|-------------------|
| Anthony Zwig |  | February 13, 2019 |

If the applicant is a sole proprietor, he/she must sign.

If the applicant is a corporation, a person with authority to bind the corporation must sign.

If the applicant is a partnership, a partner with authority to sign on behalf of the partnership must sign.

Checklist (return with application form)

- ☒ Two copies of the application form have been included with original signature on both copies
- ☒ A cheque or money order for the non-refundable application fee has been included
- ☒ A copy of the Notice to Proceed has been included