



Ontario Electricity Board  
Ms Kirsten Walli  
Board Secretary  
By email to: [Registrar@oeb.ca](mailto:Registrar@oeb.ca)

10 February 2023

Application for Transfer of License EG-2008-0353 from Magnum Wind Energy to OREC Inc.

Dear Ms Walli

I am writing to you to apply for the transfer of an existing OEB Generator License.

OREC is a for-profit cooperative based in Ottawa that manages community financed renewable energy projects in Eastern and Southwestern Ontario. Following the purchase of a wind turbine in a partnership with Enerforge in 2021 in Tiverton, ON, we are currently in the process of purchasing a second wind turbine in Zurich, ON from Magnum Wind Energy Corp.

The wind turbine we are acquiring is located at Bronson Line Concession 13 Lot 22, Bluewater, Ontario, and is currently operating under OEB Generator License # EG-2008-0353.

We submitted the application for a Generator License (please see enclosed) via the OEB portal on 6 Feb 2023, providing all the relevant information and contact details for OREC, its officers and key staff.

Since the OEB portal doesn't provide an option for a transfer application, OEB Industry Relations staff suggested we write to you to apply for a licence *transfer* under section 18 (2) of the OEB Act from the current licensee using our online application as supporting documentation.

Please let me know if you require any additional information.

I look forward to hearing from you.

Best regards,

Marion Siekierski  
General Manager  
([marion.siekierski@orec.ca](mailto:marion.siekierski@orec.ca))

Cc: [IndustryRelations@oeb.ca](mailto:IndustryRelations@oeb.ca)

Encl: Application for OEB Generator License, submitted on OEB portal on 6 Feb 2023

# EGLA00015

## 1. Application Type

### 1. (a) Application Type

☒ New

☐ Renewal

## 2. The Applicant

### 2. (a) Legal Name of the Applicant

Ottawa Renewable Energy Co-operative (EG)

### 2. (b) Business Classification

☐ Sole Proprietorship

☐ Partnership

☒ Corporation

☐ Other

2. (c) Date of Formation or Incorporation: September 3, 2010

2. (d) Province/State of Formation or Incorporation: Ontario

2. (e) Country of Formation or Incorporation: Canada

2. (f) If the applicant is an individual, are they at least 18 years old?

If the applicant is an individual, the applicant must be at least 18 years old.

☐ Yes

☐ No

☒ Not Applicable

2. (g) Head Office or Business Address of the Applicant

STREET ADDRESS

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L223-323 Coventry Road

CITY

---

Ottawa

PROVINCE/STATE

---

ON

COUNTRY

---

Canada

POSTAL/ZIP CODE

---

K1K 3X6

WEBSITE

---

www.orec.ca

## Main Phone Number and Email Address

PHONE NUMBER

---

+1 (343) 204-07

EMAIL ADDRESS

---

marion.siekierski@orec.ca

### 2. (h) Please describe the applicant's current or intended line of business and business activities.

OREC is a for-profit co-operative created to develop and operate community owned renewable energy projects in Ontario, initially producing electricity to sell to the Ontario grid, now also producing power for individual customers under Net Metering arrangements. The Co-operative enables individual residents of Ontario to promote and benefit from the transition to clean energy, including the opportunity to earn a reasonable return from those renewable energy generation projects and receive energy services.

## 3. Licence Primary Contact

The licensee shall designate a person who will act as a primary contact with the Ontario Energy Board (OEB) on matters related to the licence.

### 3. (a) Licence Primary Contact

SALUTATION

---

Ms.

LAST NAME

---

Siekierski

FIRST NAME

---

Marion

TITLE/POSITION

---

General Manager

COMPANY

---

Ottawa Renewable Energy Co-operative Inc

PHONE NUMBER

---

+1 (343) 204-07

EMAIL ADDRESS

---

marion.siekierski@orec.ca

**3. (b) Is the Licence Primary Contact address the same as the Head Office or Business address?**

☒ Yes

☐ No

## 4. Application Primary Contact

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process, but with the licence primary contact after a licence is issued.

**4. (a) Is the Application Primary Contact the same as the Licence Primary Contact?**

☒ Yes

☐ No

## 5. Trade Names

The electricity generation licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

**5. (a) Does the applicant intend to use trade names?**

☒ Yes

☐ No

**If yes, please provide a list of trade names the applicant intends to use.**

Ottawa Renewable Energy Co-operative (OREC)

## 6. Applicant's Licensing Status and History

6. (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever been licensed by the OEB?

☒ Yes

☐ No

The *Business Corporations Act* definition for "affiliate" can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca).

If yes, please provide current and expired licences.

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	Licence Number
2825407 Ontario Inc.	50% Partner in ownership of a wind turbine	EB-2021-0173

6. (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) have any other application(s) before the OEB?

☐ Yes

☒ No

6. (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

☐ Yes

☒ No

6. (d) Is the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) an Independent Electricity System Operator (IESO) market participant?

☐ Yes

☒ No

## 7. Officers, Directors and Key Individuals

7. (a) Please confirm the number of officers, directors and key individuals in your organization.

14

7. (b) In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters.

Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

- NOTES:**
1. List a minimum of 3 key individuals in the table below. Additional information about each key individual is required in Section 16.
  2. One of the listed key individuals must sign the completed application. See Section 18 for signing authority details.

Name of Key Individual	Email	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Nate Preston	nate@solphi.com	President
Brian Mitchell	brian@mitchellconsult.ca	Treasurer
Ron Benn	ronald.benn@outlook.com	Secretary
Marion Siekierski	marion.siekierski@orec.ca	General Manager

## 8. Intended Markets and Services

8. (a) Does the applicant intend to sell electricity into the IESO-administered markets?

- ☒ Yes
- ☐ No

If yes, please provide particulars (e.g. procurement contract with the IESO).

FIT Contract F-000002-WIN-130-601

8. (b) Does the applicant intend to sell ancillary services into the IESO-administered markets?

The *Ontario Energy Board Act, 1998*, (OEB Act), defines "ancillary services" as services necessary to maintain the reliability of the IESO-controlled grid, including frequency control, voltage control, reactive power and operating reserve services.

- ☐ Yes
- ☒ No

8. (c) Does the applicant intend to sell electricity to another person?

- ☐ Yes
- ☒ No

☒ No

8. (d) Does the applicant intend to sell electricity to a consumer, defined as a person who uses for the person's own consumption, electricity that the person did not generate?

☐ Yes

☒ No

If yes, the applicant may require a retailer licence. The electricity retailer application form along with information regarding when a retailer licence is required can be found on the OEB's [Apply for a licence](#) web page. If required, the electricity retailer application should be filed as soon as possible.

## 9. Facility Description

Please provide the number of facilities the applicant intends to generate electricity for sale from.

1

### Facility #1

(a) Generation Type

☐ Natural Gas

☐ Water

☒ Wind

☐ Solar

☐ Other

(b) Installed Capacity (in Megawatts)

0.75 MW

**NOTE:** A person who owns or operates 1 or more facilities each with a total name plate capacity of 500 kilowatts or less is exempt from the need to obtain an electricity generation licence.

(c) Number of Units

1

(d) Facility Name

Zurich Wind Farm

(e) Facility Address

Part of Lot 22, Concession 13, Municipality of Bluewater, County of Huron, Zurich, ON

(f) Licensee Responsibility/Qualification Sought

☒ Owner and operator

- ☐ Owner only
- ☐ Operator only

## 10. Facility Status

### Facility #1

#### (a) Facility Status

- ☒ Existing facility in commercial service
- ☐ New facility
- ☐ Existing facility not in commercial service

**When did this facility achieve commercial operation?**

October 13, 2010

**Is the applicant the original owner and operator?**

- ☐ Yes
- ☒ No

**If no, please identify previous owner and operator.**

Magnum Wind Energy Corp. (OEB license EG-2008-0353)

**(b) Please provide a list of all regulatory approvals required (e.g. environmental, municipal, etc.) and identify the status of each approval.**

The Zurich Wind Project was constructed in 2009, before the REA was put in place by the government. Additionally, EASRs were not required for projects under 2MW. As such, the project did not require either a REA nor an EASR. It was permitted under the Environmental Assessment Requirements for Electricity, 2001 Act. Municipal approvals in 2009 consisted of a building permit and a road use permit.

**(c) Is the generation facility under construction or extensive rehabilitation?**

- ☐ Yes
- ☒ No

**(d) Has the applicant secured financing?**

- ☒ Yes
- ☐ No

**If yes, please provide particulars.**

OREC raises capital under Offering Statements under the regulatory auspices of the Co-operative Corporations Act of Ontario.



# 11. Facility Connection

## Facility #1

(a) What is the voltage at the perimeter of the applicant's property from the output of the generation facility?

- ☒ 50 kV or less
- ☐ greater than 50 kV

**NOTE:** The OEB Act defines a "distribution system" as a system for distributing electricity, and includes any structures, equipment or other things used for that purpose. "Distribute", with respect to electricity, means to convey electricity at voltages of 50 kV or less.

(i) Describe the existing or future distribution system from the output of the generation facility to the connection point with the electricity distributor or to the connection point with the transmission system (e.g. length of line, transformers, etc.).

The Zurich 800 kW wind turbine is connected to Hydro One's 27.6 kV F1 feeder about 16 km from Grand Bend East distributing station (DS). The wind turbine PCC is located at the northeast corner of Bronson Line and Zurich Hensall Road. The tap line is approximately 1 km long, built on generator owned and controlled steel tower overhead lines. Hydro One's CIA allows 800 kW to be connected and does not require communications for transfer tripping. Protection consists of two load-break switches and a 25E fuse. When a fault occurs, the switches open and remain open until manually reclosed.

(ii) Does (or will) the applicant own and/or operate the distribution system?

- ☒ Yes
- ☐ No

If yes, does the applicant own and/or operate the distribution system ONLY for the purpose of conveying electricity from the generation facility to the IESO-controlled grid?

- ☒ Yes
- ☐ No

(iii) Does (or will) the distribution system connect the generation facility to an electricity distributor?

- ☒ Yes
- ☐ No

If yes, please identify the electricity distributor.

Hydro One Networks Inc

(b) Please provide a diagram demonstrating all components of the generation facility, distribution assets to connect to the customer's facility and the connection point to the customer's facility.

(c) Please identify the ownership of all components included in the diagram provided in the last question, i.e. if the components are owned by the applicant or the customer.

All components are owned by the generator.

#### CONFIDENTIAL SECTIONS

Information filed as part of or in support of sections 12 to 16 of this application will be treated as confidential. All other information filed as part of or in support of this application will be placed on the public record. Where the applicant objects to public disclosure of information filed as part of or in support of sections other than sections 12 to 16, the applicant must follow the procedure set out in the OEB's [Practice Direction on Confidential Filings](#).

## 12. Corporate Organization

12. (a) Provide a corporate organization chart that outlines the applicant and its affiliates and associated entities (e.g. a partnership or limited partnership).

egla00015-9-orec-organization-chart.pdf

12. (b) Please provide a detailed description of the applicant's business activities and the applicant's corporate organization including a brief description of each entity's type of business.

OREC is a for-profit co-operative created to develop and operate community owned renewable energy projects in Ontario, initially producing electricity to sell to the Ontario grid, now also producing power for individual commercial customers under net metering arrangements. The Co-operative enables individual residents of Ontario to promote and benefit from the transition to clean energy, including the opportunity to earn a reasonable return from those renewable energy generation projects and receive energy services.

## 13. Finance

#### NOTES:

1. If the financial statements of either the applicant or its parent company demonstrate that the applicant or parent company (as applicable) is not in a healthy financial position, the applicant may be required to submit information in addition to that listed below.

2. It is preferable to receive financial information for the applicant and not an affiliate of the applicant.

☒ 13. (a) Provide the audited financial statements for the applicant for the last 2 years.

If audited financial statements are not available, provide unaudited financial statements for the applicant for the last 2 years. Documents must be signed by at least 1 key individual identified in Section 7 above.

Please attach files.

egla00015-13-ottawa-renewable-energy-co-operative-inc-financial-statements-2021-signed.pdf

- ☐ 13. (b) If the applicant does not have financial statements for itself, the applicant may submit the financial statements of its parent company.

If the applicant submits the financial statements of its parent company, the applicant must also provide a parental guarantee. Further information, including a copy of the template, may be obtained by contacting the OEB at [industryrelations@oeb.ca](mailto:industryrelations@oeb.ca). An applicant may wish to provide its own parental guarantee; however, this will be subject to review during the application review process to determine whether or not it is satisfactory.

- ☐ 13. (c) If the applicant does not submit financial statements for itself or its parent company, along with a parental guarantee, the applicant must identify and attach to its application at least 2 of the following items:

- Most recent prospectus.
- If the applicant is a new entity, proforma financial statements including Balance Sheet and Profit & Loss Statement for 2 years, along with notes or business plan explaining the assumptions used in preparing the proforma statements. Documents must be signed by at least 1 key individual.
- Letter of reference from the applicant's bank showing the following information:
  - Details respecting any line(s) of credit available to the applicant including dollar limit amount, outstanding amount as of current date, terms and conditions and whether the account is secured;
  - Details respecting cash and cash equivalents (names of short-term investments, amounts and expiry dates if applicable; name of saving/chequing accounts, date accounts opened, account balances as of current date); and
  - Details of any bank guarantee and/or letter of credit available to the applicant including amounts and the terms and conditions.
- Prudential Requirements: If the applicant is an IESO market participant, please confirm that the IESO's prudential requirements have been satisfied.

## 14. Technical Resources

14. (a) Does the applicant employ technical resource staff or contractors with appropriate qualifications and experience in operating and maintaining generation facilities and other matters associated with sale of electricity and/or ancillary services?

☒ Yes

☐ No

If yes, please describe the technical qualifications and experience of the applicant's key technical and operational personnel in relation to the applicant's business activities.

Graham Findlay, P Eng, Director of OREC, is an experienced designer, constructor and operator of wind farms. He provides services through an advisory firm known as 3G Energy Corp.

14. (b) Does the applicant intend to acquire services related to operating a generation facility and/or sale of electricity or ancillary services from the third-party?

☒ Yes

☐ No

If yes, please describe services to be provided by the third-party, and the third- party's qualifications and experience in relation to the applicant's business activities.

OREC will hire Enercon Canada Inc to provide operations and maintenance services. Enercon is the original manufacturer of the wind turbine and provides O&M services under long term service agreements.

## 15. Compliance with Legal and Regulatory Obligations

15. (a) Has the applicant or any of its directors and officers (if the applicant is a corporation), an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever been declared bankrupt, or is it presently the subject of a bankruptcy proceeding?

☐ Yes

☒ No

15. (b) Does the applicant or any of its directors and officers (if the applicant is a corporation), an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) have any unpaid judgments against it?

☐ Yes

☒ No

15. (c) Has the applicant or any of its directors and officers (if the applicant is a corporation), an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) been found guilty or convicted of a criminal offence under any law in the past 5 years or are any charges now pending?

☐ Yes

☒ No

15. (d) In the context of regulated industries in North America, has the applicant or any of its directors and officers (if the applicant is a corporation), an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever had a licence or registration of any kind refused, suspended, revoked or cancelled?

☐ Yes

☒ No

15. (e) Has the applicant or any of its directors and officers (if the applicant is a corporation), an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) been subject to penalties, fines, payments as a result of investigation or any other disciplinary actions by a regulatory body in Ontario or any other jurisdiction within North America in the past 5 years?

☐ Yes

☒ No

15. (f) If the applicant or any of its directors and officers (if the applicant is a corporation), an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) has been previously licensed by the OEB, are there any outstanding licensing fees, outstanding information requests, or compliance orders?

☐ Yes

☒ No

## 16. Key Individuals

Required for each key individual identified in section 7.

### Key Individual #1

SALUTATION

Ms.

LAST NAME

Siekierski

FIRST NAME

Marion

TITLE/POSITION

General Manager

COMPANY

Ottawa Renewable Energy Co-operative

PHONE NUMBER

(343) 204 0792

EMAIL ADDRESS

marion.siekierski@orec.ca

(a) Does this person have experience in the energy sector?

☒ Yes

☐ No

If yes, please provide details.

Ms Sikierski is OREC's General Manager. She is a recent hire in 2022 from a different industry but she is well supported by directors with decades of experience in the energy industry.

(b) Has this person been a proprietor, partner, officer or director of an entity that was licensed by the OEB?

☐ Yes



☒ No

(c) Has this person been a proprietor, partner, officer or director of an entity that was registered or licensed in relation to any energy sector activities in Ontario or any other jurisdiction within North America?

☐ Yes

☒ No

(d) Has this person ever been declared bankrupt or is presently the subject of a bankruptcy proceeding?

☐ Yes

☒ No

(e) Has this person been a proprietor, partner, officer or director of an entity that has been declared bankrupt or is presently the subject of bankruptcy proceedings?

☐ Yes

☒ No

(f) Are there any unpaid judgments against this person?

☐ Yes

☒ No

(g) Has this person been found guilty or convicted of a criminal offence under any law in the past 5 years or are any charges now pending?

☐ Yes

☒ No

(h) Has this person been a proprietor, partner, officer or director of an entity that had a registration or licence of any kind in Ontario or any other jurisdiction within North America refused, suspended, revoked or cancelled?

☐ Yes

☒ No

(i) Has this person been a proprietor, partner, officer or director of an entity that had been subject to penalties, fines, voluntary payments and payments as a result of investigation or any other disciplinary actions by a regulatory body in Ontario or any other jurisdiction within North America in the past 5 years?

☐ Yes

☒ No

Print Name of Key Individual #1 *	Signature of Key Individual #1 *	Date *
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## Key Individual #2

**SALUTATION**

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Mr.

**LAST NAME**

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Preston

**FIRST NAME**

---

Nate

**TITLE/POSITION**

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President

**COMPANY**

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Ottawa Renewable Energy Co-operative

**PHONE NUMBER**

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(647) 527-6313

**EMAIL ADDRESS**

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nate@solphi.com

**(a) Does this person have experience in the energy sector?**

☒ Yes

☐ No

**If yes, please provide details.**

Nate Preston, P Eng, is a founder and principal in an engineering firm (Solphi Engineering) that provides engineering services to support the development, construction, oversight, and due diligence of utility scale and C&I solar and energy storage projects across the United States and Canada..

**(b) Has this person been a proprietor, partner, officer or director of an entity that was licensed by the OEB?**

☐ Yes

☒ No

**(c) Has this person been a proprietor, partner, officer or director of an entity that was registered or licensed in relation to any energy sector activities in Ontario or any other jurisdiction within North America?**

☐ Yes

☒ No

**(d) Has this person ever been declared bankrupt or is presently the subject of a bankruptcy proceeding?**

☐ Yes

☒ No

(e) Has this person been a proprietor, partner, officer or director of an entity that has been declared bankrupt or is presently the subject of bankruptcy proceedings?

☐ Yes

☒ No

(f) Are there any unpaid judgments against this person?

☐ Yes

☒ No

(g) Has this person been found guilty or convicted of a criminal offence under any law in the past 5 years or are any charges now pending?

☐ Yes

☒ No

(h) Has this person been a proprietor, partner, officer or director of an entity that had a registration or licence of any kind in Ontario or any other jurisdiction within North America refused, suspended, revoked or cancelled?

☐ Yes

☒ No

(i) Has this person been a proprietor, partner, officer or director of an entity that had been subject to penalties, fines, voluntary payments and payments as a result of investigation or any other disciplinary actions by a regulatory body in Ontario or any other jurisdiction within North America in the past 5 years?

☐ Yes

☒ No

Print Name of Key Individual #2 *	Signature of Key Individual #2 *	Date *
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Key Individual #3

SALUTATION

Mr.

LAST NAME

Mitchell

FIRST NAME

Brian

TITLE/POSITION

Treasurer



COMPANY

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Ottawa Renewable Energy Co-operative

PHONE NUMBER

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(613) 265-6300

EMAIL ADDRESS

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brian@mitchellconsult.ca

**(a) Does this person have experience in the energy sector?**

☒ Yes

☐ No

**If yes, please provide details.**

Brian Mitchell has been OREC's virtual CFO for 3 years. He is a financial management and international trade professional with over 25 years of senior management, consulting and volunteer experience in international business and international development environments (for-profit and non-profit) who focusses on business-led solutions to climate change challenges and international development.

**(b) Has this person been a proprietor, partner, officer or director of an entity that was licensed by the OEB?**

☐ Yes

☒ No

**(c) Has this person been a proprietor, partner, officer or director of an entity that was registered or licensed in relation to any energy sector activities in Ontario or any other jurisdiction within North America?**

☐ Yes

☒ No

**(d) Has this person ever been declared bankrupt or is presently the subject of a bankruptcy proceeding?**

☐ Yes

☒ No

**(e) Has this person been a proprietor, partner, officer or director of an entity that has been declared bankrupt or is presently the subject of bankruptcy proceedings?**

☐ Yes

☒ No

**(f) Are there any unpaid judgments against this person?**

☐ Yes

☒ No

(g) Has this person been found guilty or convicted of a criminal offence under any law in the past 5 years or are any charges now pending?

☐ Yes

☒ No

(h) Has this person been a proprietor, partner, officer or director of an entity that had a registration or licence of any kind in Ontario or any other jurisdiction within North America refused, suspended, revoked or cancelled?

☐ Yes

☒ No

(i) Has this person been a proprietor, partner, officer or director of an entity that had been subject to penalties, fines, voluntary payments and payments as a result of investigation or any other disciplinary actions by a regulatory body in Ontario or any other jurisdiction within North America in the past 5 years?

☐ Yes

☒ No

Print Name of Key Individual #3 *	Signature of Key Individual #3 *	Date *
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Key Individual #4

SALUTATION

Mr.

LAST NAME

Benn

FIRST NAME

Ronald

TITLE/POSITION

Secretary

COMPANY

Ottawa Renewable Energy Co-operative

PHONE NUMBER

(613) 794-9749

EMAIL ADDRESS

ronald.benn@outlook.com

(a) Does this person have experience in the energy sector?

☒ Yes

☐ No

**If yes, please provide details.**

Ron Benn, a Chartered Accountant/Chartered Professional Accountant, has a long and varied history as a senior financial officer and director. In addition to being a co-founder and CFO of Vector Wind Energy, he has also been the CFO for three other companies that were listed on publicly traded stock exchanges. He is an Officer, Director and shareholder of Boojum Research Ltd., a privately held company that provides environmental engineering services to companies in the resource industries, with a specific focus on cleaning up active and abandoned mine sites. Over the course of his four decade career, Ron Benn has played an instrumental role in raising and structuring over C\$1 billion of debt and equity transactions. In addition to his business career, Ron has been an active member of the executive of his local community association for over 30 years, and while his children were younger, coached them in both recreational soccer and hockey.

**(b) Has this person been a proprietor, partner, officer or director of an entity that was licensed by the OEB?**

☐ Yes

☒ No

**(c) Has this person been a proprietor, partner, officer or director of an entity that was registered or licensed in relation to any energy sector activities in Ontario or any other jurisdiction within North America?**

☐ Yes

☒ No

**(d) Has this person ever been declared bankrupt or is presently the subject of a bankruptcy proceeding?**

☐ Yes

☒ No

**(e) Has this person been a proprietor, partner, officer or director of an entity that has been declared bankrupt or is presently the subject of bankruptcy proceedings?**

☐ Yes

☒ No

**(f) Are there any unpaid judgments against this person?**

☐ Yes

☒ No

**(g) Has this person been found guilty or convicted of a criminal offence under any law in the past 5 years or are any charges now pending?**

☐ Yes

☒ No

(h) Has this person been a proprietor, partner, officer or director of an entity that had a registration or licence of any kind in Ontario or any other jurisdiction within North America refused, suspended, revoked or cancelled?

☐ Yes

☒ No

(i) Has this person been a proprietor, partner, officer or director of an entity that had been subject to penalties, fines, voluntary payments and payments as a result of investigation or any other disciplinary actions by a regulatory body in Ontario or any other jurisdiction within North America in the past 5 years?

☐ Yes

☒ No

Print Name of Key Individual #4 *	Signature of Key Individual #4 *	Date *
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\* **NOTE:** Each key individual will be contacted for their digital signature through DocuSign. Clicking "Submit & Continue to Signature" will start the DocuSign process.

## 17. Notice

The OEB is authorized, under section 4.14 of the **OEB Act**, to collect personal information for the purpose of carrying out its duties and exercising its powers under the OEB Act or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

### Registrar

#### Ontario Energy Board

P.O. Box 2319

2300 Yonge Street, 27th Floor

Toronto, ON M4P 1E4

Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the ***Freedom of Information and Protection of Privacy Act*** (FIPPA). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.

## 18. Certification and Acknowledgment

- (a) I certify that the information contained in this application and in the documents provided is true and accurate.
- (b) I understand and acknowledge that, as a licensee, I must provide information as the OEB may require from time to time.
- (c) I understand and acknowledge that the issuance of an electricity generation licence does not guarantee accreditation by the IESO or distributors.

Print Name of Key Individual *	Signature of Key Individual *	Date *
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If the applicant is a sole proprietor, they must sign.

If the applicant is a corporation, a person with authority to bind the corporation must sign.

If the applicant is a partnership, a partner with authority to sign on behalf of the partnership must sign.

**\* NOTE:** The individual responsible for Certification and Acknowledgment will be contacted for their digital signature through DocuSign. Clicking "Submit & Continue to Signature" will start the DocuSign process.