

Electricity Generation Licence Application

Algonquin Power Systems Ltd.

1. Application Type
1. (a) Application Type
O New S Renewal
1. (b) Licence Number 20030197 1. (c) Expiry Date October 29, 2023
2. The Applicant
2. (a) Legal Name of the Applicant Algonquin Power Systems Ltd. 2. (b) Business Classification O Sole Proprietorship O Partnership Corporation O Other
2. (c) Date of Formation or Incorporation November 18, 2013
2. (d) Province/State of Formation or Incorporation
Ontario
2. (e) Country of Formation or Incorporation Canada
2. (f) If the applicant is an individual, are they at least 18 years old?
If the applicant is an individual, the applicant must be at least 18 years old.
O Yes O No S Not Applicable
2. (g) Head Office or Business Address of the Applicant
Street Address: 100 Bronte Rd, Unit 12 City: Oakville Province/State: Ontario

Country: Canada

Postal/Zip Code: L6L 6L5

Main Phone Number and Email Address

Phone Number: 6048603199

Email Address: mark.dekoning@apsystems.ca

2. (h) Please describe the applicant's current or intended line of business and business activities.

Operator of small hydro generating stations.

3. Licence Primary Contact

The licensee shall designate a person who will act as a primary contact with the Ontario Energy Board (OEB) on matters related to the licence.

3. (a) Licence Primary Contact

Salutation: Mr.
Last Name: Dekoning
First Name: Mark
Title/Position: General Manager
Company: Algonquin Power Systems Ltd
Phone Number: 6048603199
Email Address: mark.dekoning@gmail.com

3. (b) Is the Licence Primary Contact address the same as the Head Office or Business address?

Yes 🔿 No

4. Application Primary Contact

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process, but with the licence primary contact after a licence is issued.

4. (a) Is the Application Primary Contact the same as the Licence Primary Contact?



5. Trade Names

The electricity generation licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

5. (a) Does the applicant intend to use trade names?

🔿 Yes 🛛 🕑 No

6. Applicant's Licensing Status and History

6. (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever been licensed by the OEB?



O No

The Business Corporations Act definition for "affiliate" can be found at www.e-laws.gov.on.ca.

If yes, please provide current and expired licences.

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	Licence Number
Algonquin Power Systems Ltd	Operator of the Generating Station	20030197
Algonquin Power (Cordova) Limited Partnership	Owner of the Generating Station	20030194

6. (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) have any other application(s) before the OEB?



Yes 🔿 No

If yes, please provide other applications.

Applicant Name	Relation to the Applicant	Type of Application	OEB File Number
Algonquin Power (Cordova) Limited Partnership	Owner of the generating stations	Renewal of Licence	20030194

6. (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?





If yes, please provide information about activities in other jurisdictions.

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
Scuzzy Creek Hydro and Power Inc.	Affiliate	British Columbia	Electricity Generating station	BC Hydro
Rattlebrook Generating Station	Affiliate	Newfound and Labrador	Electricity Generating Station	Newfoundland Hydro

Company Name	Relation tothe Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
Societe en commandite Pouvoir Riverin	Affiliate	Quebec	Electricity Generating Station	Hydro Quebec

6. (d) Is the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) an Independent Electricity System Operator (IESO) market participant?

🔘 Yes 🛛 🕑 No

7. Officers, Directors and Key Individuals

7. (a) Please confirm the number of officers, directors and key individuals in your organization.

3

7. (b) In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters.

Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

NOTES:

1. List a minimum of 3 key individuals in the table below. Additional information about each key individual is required in Section 16.

2. One of the listed key individuals must sign the completed application. See Section 18 for signing authority details.

Name of Key Individual	Email	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Chris Jarratt	jarrattchris@gmail.com	Director and Officer
lan Robertson	lan.Robertson@northerngenesis.com	Director and Officer
Mark de Koning	mark.dekoning@gmail.com	General Manager

8. Intended Markets and Services

8. (a) Does the applicant intend to sell electricity into the IESO-administered markets?

🔿 Yes 🛛 🕑 No

8. (b) Does the applicant intend to sell ancillary services into the IESO-administered markets?

The <u>Ontario Energy Board Act, 1998</u>, (OEB Act), defines "ancillary services" as services necessary to maintain the reliability of the IESO-controlled grid, including frequency control, voltage control, reactive power and operating reserve services.

🔿 Yes 🛛 🕑 No

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8. (c) Does the applicant intend to sell electricity to another person?

🖌 Yes 🔿 No

If yes, please provide particulars.

Energy is sold to the Ontario Electricity Financial Corporation (OEFC) via a Non Utility Generator (NUG) contract.

8. (d) Does the applicant intend to sell electricity to a consumer, defined as a person who uses for the person's own consumption, electricity that the person did not generate?

🔿 Yes 🛛 📀 No

If yes, the applicant may require a retailer licence. The electricity retailer application form along with information regarding when a retailer licence is required can be found on the OEB's <u>Apply for a licence</u> web page. If required, the electricity retailer application should be filed as soon as possible.

9. Facility Description
Please provide the number of facilities the applicant intends to generate electricity for sale from.
Facility #1
(a) Generation Type
(b) Installed Capacity (in Megawatts) 0.90 MW
NOTE: A person who owns or operates 1 or more facilities each with a total name plate capacity of 500 kilowatts or less is exempt from the need to obtain an electricity generation licence.
(c) Number of Units
1
(d) Facility Name
Cordova Lake Generating Station
(e) Facility Address
546 Fire Road 18, Havelock, ON
(f) Licensee Responsibility/Qualification Sought
Owner and operator Owner only Operator only
Please provide the name of the facility owner.
Algonquin Power (Cordova) Limited Partnership
Is the facility owner licensed by the OEB?
Yes 🔿 No

If yes, please provide the OEB licence number.

voltages of 50 kV or less.

10. Facility Status
Facility #1
(a) Facility Status
Existing facility in commercial service O New facility O Existing facility not in commercial service
When did this facility achieve commercial operation?
October 8, 1992
Is the applicant the original owner and operator?
Yes O No
(b) Please provide a list of all regulatory approvals required (e.g. environmental, municipal, etc.) and identify the status of each approval.
All approvals have been obtained and are current. This includes: - Water power lease current until 2035. -Water Management plan (perpetual, no expiration).
(c) Is the generation facility under construction or extensive rehabilitation?
🔾 Yes 🕑 No
(d) Has the applicant secured financing?
🔾 Yes 🕑 No
If no, please describe the applicant's plans to secure financing.
Not Applicable. Facility was constructed in 1992 and funded with equity.
11. Facility Connection
Facility #1
(a) What is the voltage at the perimeter of the applicant's property from the output of the generation facility?
✓ 50 kV or less O greater than 50 kV
NOTE: The <u>OEB Act</u> defines a "distribution system" as a system for distributing electricity, and includes any structures, equipment or other things used for that purpose. "Distribute" with respect to electricity, means to convey electricity at

(i) Describe the existing or future distribution system from the output of the generation facility to the connection point with the electricity distributor or to the connection point with the transmission system (e.g. length of line,

transformers, etc.).

Buried cable approximately 100 metres in length.

(ii) Does (or will) the applicant own and/or operate the distribution system?



If yes, does the applicant own and/or operate the distribution system ONLY for the purpose of conveying electricity from the generation facility to the IESO-controlled grid?



(iii) Does (or will) the distribution system connect the generation facility to an electricity distributor?

Yes

If yes, please identify the electricity distributor.

No

Hydro One

(b) Please provide a diagram demonstrating all components of the generation facility, distribution assets to connect to the customer's facility and the connection point to the customer's facility.

1217-203-442-electrical-operating-diagram.pdf

(c) Please identify the ownership of all components included in the diagram provided in the last question, i.e. if the components are owned by the applicant or the customer.

Owned by Applicant, demarcation/interconnection point to Hydro One shown clearly in diagram.

CONFIDENTIAL SECTIONS

Information filed as part of or in support of sections 12 to 16 of this application will be treated as confidential and is not available for public view.

17. Notice

The OEB is authorized, under section 4.14 of the <u>OEB Act</u>, to collect personal information for the purpose of carrying out its duties and exercising its powers under the OEB Act or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

Registrar Ontario Energy Board P.O. Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4 Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the <u>Freedom of Information and Protection of Privacy Act</u> (FIPPA). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.