



Ontario
Energy
Board | Commission
de l'énergie
de l'Ontario

This document should be treated as CONFIDENTIAL as it contains confidential application sections 9 to 13.

Electricity Wholesaler Licence Application

Freepoint Commodities LLC

Application ID: EWLA00064

1. Application Type

1. (a) Application Type

☐ New ☒ Renewal

1. (b) Licence Number

EW-2019-0075

1. (c) Expiry Date

March 26, 2024

2. The Applicant

2. (a) Legal Name of the Applicant

Freepoint Commodities LLC

2. (b) Business Classification

☐ Sole Proprietorship ☐ Partnership ☐ Corporation ☒ Other

If other, please describe.

LIMITED LIABILITY COMPANY

2. (c) Date of Formation or Incorporation

November 29, 2010

2. (d) Province/State of Formation or Incorporation

Delaware

2. (e) Country of Formation or Incorporation

USA

2. (f) If the applicant is an individual, are they at least 18 years old?

If the applicant is an individual, the applicant must be at least 18 years old.

☐ Yes ☐ No ☒ Not Applicable

2. (g) Head Office or Business Address of the Applicant

Street Address: 58 Commerce Rd

City: Stamford

Province/State: CT

Country: USA

Postal/Zip Code: 06902

Website: www.freepoint.com

Main Phone Number and Email Address

Phone Number: 203-542-6752

Email Address: nswan@freepoint.com

2. (h) Please describe the applicant's current or intended line of business and business activities.

Power marketer

3. Licence Primary Contact

The licensee shall designate a person who will act as a primary contact with the Ontario Energy Board (OEB) on matters related to the licence.

3. (a) Licence Primary Contact

Salutation: Ms.

Last Name: Swan

First Name: Nan

Initials: NS

Title/Position: Director & Asst. Secretary

Company: Freepoint Commodities LLC

Phone Number: 203-542-6752

Email Address: nswan@freepoint.com

3. (b) Is the Licence Primary Contact address the same as the Head Office or Business address?

☒ Yes ☐ No

4. Application Primary Contact

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process, but with the licence primary contact after a licence is issued.

4. (a) Is the Application Primary Contact the same as the Licence Primary Contact?

☒ Yes ☐ No

5. Trade Names

The electricity wholesaler licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

5. (a) Does the applicant intend to use trade names?

☐ Yes ☒ No

6. Applicant's Licensing Status and History

6. (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever been licensed by the OEB?

☒ Yes ☐ No

The *Business Corporations Act* definition for "affiliate" can be found at www.e-laws.gov.on.ca.

If yes, please provide current and expired licences.

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	Licence Number
Freepoint Commodities LLC	Applicant itself	EW-2019-0075

6. (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) have any other application(s) before the OEB?

☐ Yes ☒ No

6. (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

☒ Yes ☐ No

If yes, please provide information about activities in other jurisdictions.

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
Freepoint Commodities LLC	Applicant itself	USA	Power Marketer	FERC ER11-3339-000
Freepoint Commodities LLC	Applicant itself	USA	Import/Export Natural Gas	USA Dept. of Energy EA-380-A CX-028125
Freepoint Energy Solutions LLC	Affiliate	USA	Power Marketer	FERC ER16-2370-000
Freepoint Commodities LLC	Applicant itself	Canada	Permit to remove <3bn m3 nat gas	Alberta Energy Regulator

6. (d) Is the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) an Independent Electricity System Operator (IESO) market participant?

☒ Yes ☐ No

If yes, please provide information on the IESO market participant(s) below.

Registered IESO Organization Name	Relation to the Applicant	Participant/Program/Service
Freepoint Commodities LLC	Applicant itself	Participant

7. Officers, Directors and Key Individuals

7. (a) Please confirm the number of officers, directors and key individuals in your organization.

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7. (b) In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters.

Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

NOTES:

1. List a minimum of 3 key individuals in the table below. Additional information about each key individual is required in Section 13.
2. One of the listed key individuals must sign the completed application. See Section 15 for signing authority details.

Name of Key Individual	Email	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
David A. Messer	dmesser@freepont.com	Chief Executive Officer
Robert J. Feilbogen	rfeilbogen@freepoint.com	Chief Operating Officer
Daniel M. Hecht	dhecht@freepoint.com	Sr. Managing Director, General Counsel & Chief Compliance Officer
Nan F. Swan	nswan@freepoint.com	Director & Asst. Secretary

8. Intended Markets and Services

The [Ontario Energy Board Act, 1998](#), (OEB Act), defines "ancillary services" as services necessary to maintain the reliability of the IESO-controlled grid, including frequency control, voltage control, reactive power and operating reserve services.

Identify the applicant's intended markets and services. Select any that apply.

8. (a) To purchase electricity or ancillary services in the IESO-administered markets.

☒ Yes ☐ No

If yes, please select all that apply.

☒ Energy Trader ☐ Consumer ☐ Other