



# Electricity Wholesaler Licence Application

## Brookfield Renewable Trading and Marketing LP

### 1. Application Type

#### 1. (a) Application Type

New  Renewal

#### 1. (b) Licence Number

EW-2019-0008

#### 1. (c) Expiry Date

April 10, 2024

### 2. The Applicant

#### 2. (a) Legal Name of the Applicant

Brookfield Renewable Trading and Marketing LP

#### 2. (b) Business Classification

Sole Proprietorship  Partnership  Corporation  Other

If other, please describe.

Limited partnership

#### 2. (c) Date of Formation or Incorporation

April 01, 2021

#### 2. (d) Province/State of Formation or Incorporation

Delaware

#### 2. (e) Country of Formation or Incorporation

USA

#### 2. (f) If the applicant is an individual, are they at least 18 years old?

If the applicant is an individual, the applicant must be at least 18 years old.

Yes  No  Not Applicable

#### 2. (g) Head Office or Business Address of the Applicant

**Street Address:** 200 Liberty Street, 14th Floor

**City:** New York

**Province/State:** New York

**Country:** USA

**Postal/Zip Code:** 10281

**Website:** <http://www.brookfieldrenewable.com/>

### Main Phone Number and Email Address

**Phone Number:** 1(888) 327-2722

**Extension:** N/A

**Email Address:** [enquiries@brookfieldrenewable.com](mailto:enquiries@brookfieldrenewable.com)

### 2. (h) Please describe the applicant's current or intended line of business and business activities.

Brookfield Renewable Trading and Marketing LP (the "Applicant") is a Delaware limited partnership and "pure" power marketer that has market-based rate authorization issued by the United States Federal Energy Regulatory Commission under Section 205 of the Federal Power Act.

The Applicant was formed under the laws of Ontario on October 5, 2018 and was continued under the laws of Delaware on April 1, 2021. The Applicant is indirectly wholly owned by Brookfield Renewable Corporation (TSX: BEPC, NYSE: BEPC) and Brookfield Renewable Partners LP (TSC: BEPC, NYSE: BEPC), which are each publicly traded entities.

The Applicant does not own or control any electric generation, transmission, or distribution facilities in the United States or Canada, and does not have a franchise or service territory for the transmission, distribution, or sale of electricity. The Applicant purchases electric energy from Brookfield Renewable Partners L.P. affiliates, market pools and other third-party marketing entities. Some of this energy is resold by the Applicant under long term power purchase agreements and some of this electric energy is sold into regulated markets, or to market pools and other third-party purchasers.

## 3. Licence Primary Contact

The licensee shall designate a person who will act as a primary contact with the Ontario Energy Board (OEB) on matters related to the licence.

### 3. (a) Licence Primary Contact

**Salutation:** Mr.

**Last Name:** Nelson

**First Name:** Tyson

**Title/Position:** Contract Manager

**Company:** Brookfield Renewable Trading and Marketing LP

**Phone Number:** 646-992-9308

**Extension:** N/A

**Email Address:** [tyson.nelson@brookfieldrenewable.com](mailto:tyson.nelson@brookfieldrenewable.com)

### 3. (b) Is the Licence Primary Contact address the same as the Head Office or Business address?

Yes  No

## 4. Application Primary Contact

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process, but with the licence primary contact after a licence is issued.

### 4. (a) Is the Application Primary Contact the same as the Licence Primary Contact?

Yes  No

#### 4. (b) Application Primary Contact

**Salutation:** Mr.

**Last Name:** Gralnick

**First Name:** Daniel

**Title/Position:** Associate

**Company:** Torys LLP

**Phone Number:** 416-865-7688

**Extension:** N/A

**Email Address:** dgralnick@torys.com

#### Application Primary Contact Address

**Street Address:** 79 Wellington St. W. 30th Floor, Box 270, TD South Tower

**City:** Toronto

**Province/State:** Ontario

**Country:** Canada

**Postal/Zip Code:** M5K 1N2

## 5. Trade Names

The electricity wholesaler licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

#### 5. (a) Does the applicant intend to use trade names?

Yes  No

## 6. Applicant's Licensing Status and History

#### 6. (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever been licensed by the OEB?

Yes  No

The *Business Corporations Act* definition for "affiliate" can be found at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca).

If yes, please provide current and expired licences.

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	Licence Number
2016 Comber Wind Limited Partnership	Affiliate	EG-2010-0297

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	Licence Number
Algonquin Power (Nagagami) Limited Partnership	Affiliate	EG-2006-0072
Beaver Power Corporation	Affiliate	EG-2022-0251
Brookfield Energy Marketing Inc.	Affiliate	EW-2020-0080
Brookfield Power Wind Prince LP	Affiliate	EW-2020-0079
Brookfield Power Wind Prince LP	Affiliate	EG-2006-0130
Canada Atlantis GL Power LP	Affiliate	EG-2020-0209
Carmichael Limited Partnership	Affiliate	EG-2006-0070
Evolugen Trading and Marketing LP	Affiliate	EW-2020-0078
Gosfield Wind Limited Partnership	Affiliate	EG-2009-0175
Great Lakes Power Limited Partnership	Affiliate	EG-2023-0251
Lindsay Solar LP	Affiliate	EG-2014-0258
Marsh Hill III LP	Affiliate	EG-2015-0025
Mississagi Power Trust	Affiliate	EG-2022-0102
Raleigh Wind ULC*	Affiliate	unknown [Note: Under case EB-2021-0277, TerraForm IWG Ontario Holdings, LLC has received OEB approval to transfer EG-2015-0243 to Raleigh Wind ULC effective upon notice that a restructuring has closed. The restructuring transaction is expected to close 2024.]
TerraForm IWG Ontario Holdings, LLC	Affiliate	EG-2015-0243
Terraform Ontario Solar LP	Affiliate	EG-2015-0174

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	Licence Number
Valerie Falls Limited Partnership	Affiliate	EG-2003-0177
Brookfield Renewable Trading and Marketing LP	Applicant	EW-2019-0008

6. (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) have any other application(s) before the OEB?

Yes  No

6. (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

Yes  No

If yes, please provide information about activities in other jurisdictions.

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
Brookfield Energy Marketing LP	Affiliate	United States	Generator (wind)	FERC – MBR ER22-1627
AM Wind Repower LLC	Affiliate	United States	Generator (wind)	FERC – MBR ER22-1627
Bear Swamp Power Company LLC	Affiliate	United States	Generator (pumped storage)	FERC – MBR ER05-454
BIF II Safe Harbor Holdings LLC	Affiliate	United States	Holding Company	FERC – MBR ER11-27
BIF III Holtwood LLC	Affiliate	United States	Generator (hydro)	FERC – MBR ER16-287
Bitter Ridge Wind Farm, LLC	Affiliate	United States	Generator (wind)	FERC – MBR ER20-2028
Black Bear Development Holdings, LLC	Affiliate	United States	Generator (hydro)	FERC-MBR ER13-2143
Black Bear Hydro Partners, LLC	Affiliate	United States	Generator (hydro)	FERC – MBR ER09-1488

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
Black Bear SO, LLC	Affiliate	United States	Hydro Operator	FERC – MBR ER13-203
BREG Aggregator LLC	Affiliate	United States	Energy Marketer	FERC – MBR ER17-482
Brookfield Energy Marketing Inc.	Affiliate	United States	Energy Marketer	FERC – MBR ER01-2104
Brookfield Energy Marketing Inc.	Affiliate	United States	Energy Marketer	Department of Energy (US) – Electricity Export to Canada License – Order No. EA-258-E
Brookfield Energy Marketing LP	Affiliate	United States	Energy Marketer	FERC – MBR ER10-1427
Brookfield Energy Marketing US LLC	Affiliate	United States	Energy Marketer	FERC – MBR ER-07-528
Brookfield Power Piney & Deep Creek LLC	Affiliate	United States	Generator (hydro)	FERC – MBR ER05-639
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Energy Marketer	FERC – MBR ER08-1125
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Illinois Commerce Commission Order No.23-0212
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	New York Dept. of Public Service Electricity Supplier Retail License - Matter No. 14-02554
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Maine Public Utilities Commission Electricity Supplier Retail License – 2018-00281
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Maryland Public Service Commission Electricity Supplier Retail License – IR-4144
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Massachusetts Dept. of Public Utilities Electricity Supplier Retail License – CS-181
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Nevada Public Utilities Commission – License to Operate as Provider of New Electric Resources – Docket No. 21-08002

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Ohio Public Utilities Commission Certificate # 23-117230E
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Oregon Public Utility Commission – Order Granting Electricity Supply Supplier Certification – Order No. 21-070
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Pennsylvania Public Utility Commission Supplier Retail License – A-2018-3005372
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Public Service Commission of Delaware Order No. 10087
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Public Service Commission of the District of Columbia Order No.21545
Brookfield Renewable Energy Marketing US LLC	Affiliate	United States	Retail Supplier	Public Utilities Commission of California Electric Service Provider-ESP No.1398
Brookfield Renewable Trading and Marketing LP	Affiliate	Canada and United States	Energy Marketer	Alberta Energy Regulator – Gas Removal Permit – 2020-011
Brookfield Renewable Trading and Marketing LP	Applicant	Canada and United States	Energy Marketer	Canadian Energy Regulator – Electricity Export License – AO-002-EPE 420
Brookfield Renewable Trading and Marketing LP	Applicant	Canada and United States	Energy Marketer	Canadian Energy Regulator – Export Gas Order – GO-048-2021
Brookfield Renewable Trading and Marketing LP	Applicant	Canada and United States	Energy Marketer	Canadian Energy Regulator – Import Gas Order – GO-049-2021
Brookfield Renewable Trading and Marketing LP	Applicant	Canada and United States	Energy Marketer	Department of Energy (US) – Electricity Export License – EA-465
Brookfield Renewable Trading and Marketing LP	Applicant	Canada and United States	Energy Marketer	Department of Energy (US) – Import/Export Natural Gas From/To Canada License – DOE/FE Order No. 4526
Brookfield Renewable Trading and Marketing LP	Applicant	Canada and United States	Energy Marketer	FERC – MBR ER19-529

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
Brookfield Smoky Mountain Hydropower LP	Affiliate	United States	Generator (hydro)	FERC – MBR ER12-2447
Brookfield White Pine Hydro LLC	Affiliate	United States	Generator (hydro)	FERC – MBR ER98-3511
Carr Street Generating Station, L.P.	Affiliate	United States	Generator (gas fired co-gen)	FERC – MBR ER98-4095
Catalyst Old River Hydroelectric Limited Partnership	Affiliate	United States	Generator (hydro)	FERC – MBR ER20-1806
Erie Boulevard Hydropower, L.P.	Affiliate	United States	Generator (hydro)	FERC – MBR ER-99-1764
Evolugen Trading and Marketing LP	Affiliate	Canada and United States	Energy Marketer	FERC – MBR ER22-192
Evolugen Trading and Marketing LP	Affiliate	Canada	Energy Marketer	Canadian Energy Regulator – Electricity Export License EPE-476
Evolugen Trading and Marketing LP	Affiliate	Canada and United States	Energy Marketer	Department of Energy (US) – Electricity Export to Canada License – Order No. EA-495
Great Lakes Hydro America, LLC	Affiliate	United States	Generator (hydro)	FERC – MBR ER02-159
Hawks Nest Hydro LLC	Affiliate	United States	Generator (hydro)	FERC – MBR ER-06-1446
Horseshoe Bend Wind, LLC	Affiliate	United States	Generator (wind)	FERC – MBR ER11-3377
Mesa Wind Power LLC	Affiliate	United States	Generator (wind)	FERC – MBR ER13-1346
North Hurlburt Wind, LLC	Affiliate	United States	Generator (wind)	FERC – MBR ER11-3376
Persimmon Creek Wind Farm 1, LLC	Affiliate	United States	Generator (wind)	FERC – MBR ER18-2140
Powell River Energy Inc.	Affiliate	Canada and United States	Generator (hydro)	FERC – MBR ER22-1019
Rumford Falls Hydro LLC	Affiliate	United States	Generator (hydro)	FERC – MBR ER06-784



Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
Safe Harbor Water Power Corporation	Affiliate	United States	Generator (hydro)	FERC – MBR ER08-537
Sweetland Wind Farm, LLC	Affiliate	United States	Generator (wind)	FERC – MBR ER23-1889 (pending)
South Hurlburt Wind, LLC	Affiliate	United States	Generator (wind)	FERC – MBR ER11-3378
TerraForm IWG Acquisition Holdings II, LLC	Affiliate	United States	Energy Marketer	FERC – MBR ER22-1010
Blue Sky East, LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER12-2068
Bishop Hill Energy LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER12-161
California Ridge Wind Energy LLC	Affiliate	United States	Generator (wind)	FERC – MBR ER12-645
Canandaigua Power Partners, LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER09-172
Canandaigua Power Partners II, LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER09-173
Erie Wind, LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER12-682
Evergreen Gen Lead, LLC	Affiliate	United States	Generator (wind)	FERC MBR ER22-885-000
Evergreen Wind Power, LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER06-1355
Evergreen Wind Power III, LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER11-2201
Imperial Valley Solar 1, LLC	Affiliate	United States	Generator (solar)	FERC - MBR ER13-1139
Niagara Wind Power, LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER13-17
Prairie Breeze Wind Energy LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER14-25

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
Regulus Solar, LLC	Affiliate	United States	Generator (solar)	FERC - MBR ER14-2630
Stetson Holdings, LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER09-174
Stetson Wind II, LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER10-426
TerraForm Renewable Energy Services, LLC	Affiliate	United States	Retail Supplier	Public Utility Commission of Texas Option 2 Retail electric provider-Docket No. 52971 & Certificate No. 10301
Vermont Wind, LLC	Affiliate	United States	Generator (wind)	FERC - MBR ER11-4029

**6. (d) Is the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) an Independent Electricity System Operator (IESO) market participant?**

Yes  No

**If yes, please provide information on the IESO market participant(s) below.**

Registered IESO Organization Name	Relation to the Applicant	Participant/Program/Service
2016 Comber Wind Limited Partnership	Affiliate	Market Participant, Program Participant
Algonquin Power (Nagagami) Limited Partnership	Affiliate	Market Participant
Beaver Power Corporation	Affiliate	Market Participant
Brookfield Energy Marketing LP	Affiliate	Market Participant
Brookfield Energy Marketing LP	Affiliate	Market Participant
Brookfield Power Wind Prince LP	Affiliate	Market Participant, Program Participant
Brookfield Renewable Trading and Marketing LP	Applicant	Market Participant
Carmichael Limited Partnership	Affiliate	Market Participant
Evolugen Trading and Marketing LP	Affiliate	Market Participant
Gosfield Wind Limited Partnership	Affiliate	Market Participant, Program Participant
Great Lakes Power Limited Partnership	Affiliate	Market Participant
Mississagi Power Trust	Affiliate	Market Participant

Registered IESO Organization Name	Relation to the Applicant	Participant/Program/Service
Raleigh Wind ULC	Affiliate	Market Participant, Program Participant
Terraform IWG Ontario Holdings, LLC	Affiliate	Market Participant
Valerie Falls Limited Partnership	Affiliate	Market Participant

## 7. Officers, Directors and Key Individuals

7. (a) Please confirm the number of officers, directors and key individuals in your organization.

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7. (b) In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters.

Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

**NOTES:**

- List a minimum of 3 key individuals in the table below. Additional information about each key individual is required in Section 13.
- One of the listed key individuals must sign the completed application. See Section 15 for signing authority details.

Name of Key Individual	Email	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Stephen Gallagher	stephen.gallagher@brookfieldrenewable.csteom	Chief Executive Officer, Brookfield Renewable Trading and Marketing LP
Michael Tebbutt	michael.tebbutt@brookfieldrenewable.com	Chief Financial Officer & Treasurer, Brookfield Renewable Trading and Marketing LP
Brian Krall	brian.krall@brookfieldrenewable.com	Chief Commercial Officer, Brookfield Renewable Trading and Marketing LP
William Fyfe	william.fyfe@brookfieldrenewable.com	Senior Vice President, General Counsel & Secretary, Brookfield Renewable Trading and Marketing LP

## 8. Intended Markets and Services

The [Ontario Energy Board Act, 1998](#), (OEB Act), defines "ancillary services" as services necessary to maintain the reliability of the IESO-controlled grid, including frequency control, voltage control, reactive power and operating reserve services.

Identify the applicant's intended markets and services. Select any that apply.

8. (a) To purchase electricity or ancillary services in the IESO-administered markets.

Yes  No

If yes, please select all that apply.

Energy Trader     Consumer     Other

If other, please specify.

Financial Market Participant, Generator - RMP, Capacity Auction, Capacity Market Participant

**8. (b) To sell electricity or ancillary services in the IESO-administered markets.**

Yes     No

If yes, please select all that apply.

Energy Trader     Consumer     Other

If other, please specify.

Financial Market Participant, Generator - RMP, Capacity Auction, Capacity Market Participant

**8. (c) Other Markets and Services. Select all that apply.**

- To purchase electricity or ancillary services directly from generator.
- To sell electricity or ancillary services to wholesalers.
- To sell electricity or ancillary services to distributors (i.e. standard supply services).
- To sell electricity or ancillary services to electricity retailers.
- To sell electricity or ancillary services to persons outside of the Ontario Market.
- To sell electricity to a consumer, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. The electricity retailer application form along with information regarding when a retailer licence is required can be found on OEB's [Apply for a licence](#) page. If required, the electricity retailer application should be filed as soon as possible.

**CONFIDENTIAL SECTIONS**

Information filed as part of or in support of sections 9 to 13 of this application will be treated as confidential and is not available for public view.

**14. Notice**

The OEB is authorized, under section 4.14 of the [OEB Act](#), to collect personal information for the purpose of carrying out its duties and exercising its powers under the OEB Act or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

**Registrar**  
**Ontario Energy Board**  
P.O. Box 2319  
2300 Yonge Street, 27th Floor  
Toronto, ON M4P 1E4  
Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the [Freedom of Information and Protection of Privacy Act](#) (FIPPA). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under

FIPPA.