

# **Electricity Wholesaler Licence Application**

## **Williams Operating Corporation**

1. Application Type			
1. (a) Application Type			
O New 🕑 Renewal			
1. (b) Licence Number			
EW-2016-0354			
1. (c) Expiry Date			
January 25, 2022			
1. (d) If the licence has already expired, please advise why the applicant failed to renew the licence before expiry.			
We, Williams Operating Corporation were recently informed from another source off site that we were not registered with the Ontario Energy Board. After researching and reaching out to the OEB. We found that we once had a Wholesaler License that had unknowingly expired on Jan 25, 2022. We were unaware that we needed a license to purchase Hydro from the IESO prior to finding out that we were not compliant.			
1. (e) Has the applicant conducted any electricity wholesaling activities after the licence expiry?			
Yes No			
If yes, please describe the electricity wholesaling activities conducted. Purchasing Hydro from the IESO.			
<b>NOTE:</b> Section 57(e) of the <u>Ontario Energy Board Act, 1998</u> , provides that no person is permitted to purchase electricity or ancillary services in the IESO-administered markets or directly from a generator without a licence. Section 57(f) of the <u>Ontario Energy Board Act, 1998</u> provides that no person is permitted to sell electricity or ancillary services through the IESO-administered markets or directly to another person, other than a consumer, without a licence. For operating without a licence, the person may be subject to an OEB compliance review or enforcement proceeding.			
2. The Applicant			
2. (a) Legal Name of the Applicant Williams Operating Corporation			
2. (b) Business Classification			
O Sole Proprietorship O Partnership O Corporation O Other			
2. (c) Date of Formation or Incorporation July 26, 1989			
2. (d) Province/State of Formation or Incorporation			
Ontario			
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## 2. (e) Country of Formation or Incorporation

Canada

## 2. (f) If the applicant is an individual, are they at least 18 years old?

If the applicant is an individual, the applicant must be at least 18 years old.



## 2. (g) Head Office or Business Address of the Applicant

Street Address: 161 Bay Street, Suite 3700

City: Toronto

Province/State: Ontario

Country: Canada

Postal/Zip Code: M5J 2S1

Website: www.Barrick.com

#### Main Phone Number and Email Address

Phone Number: 18072381100

Extension: 4207

Email Address: rmartel@barrick.com

2. (h) Please describe the applicant's current or intended line of business and business activities.

Gold Mining company

## 3. Licence Primary Contact

The licensee shall designate a person who will act as a primary contact with the Ontario Energy Board (OEB) on matters related to the licence.

#### 3. (a) Licence Primary Contact

Salutation: Mr. Last Name: Martel First Name: Richard Initials: RLM Title/Position: Electrical Superintendent Company: Williams Operating Corp Phone Number: 8072381100 Extension: 4207 Email Address: rmartel@barrick.com

3. (b) Is the Licence Primary Contact address the same as the Head Office or Business address?

🔾 Yes 🛛 🖌 No

Licence Primary Contact Address

Street Address: 3KM east of I	Highway 614 on	Highway 17
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City: Marathon

Province/State: Ontario

Country: Canada

Postal/Zip Code: P0t2E0 Bag 500

Website: www.Barrick.com

## 4. Application Primary Contact

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process, but with the licence primary contact after a licence is issued.

4. (a) Is the Application Primary Contact the same as the Licence Primary Contact?

Yes Yes	Ο	No
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## 5. Trade Names

The electricity wholesaler licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

5. (a) Does the applicant intend to use trade names?



## 6. Applicant's Licensing Status and History

6. (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever been licensed by the OEB?

Ø	Yes	0	No

The Business Corporations Act definition for "affiliate" can be found at www.e-laws.gov.on.ca.

#### If yes, please provide current and expired licences.

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	Licence Number
Williams operating Corporation	Appliant	EW-2016-0354

6. (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) have any other application(s) before the OEB?

🔿 Yes 🛛 🖌 No

6. (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

🔿 Yes 🛛 🖌 No

# 6. (d) Is the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) an Independent Electricity System Operator (IESO) market participant?

🖌 Yes 🔿 No

If yes, please provide information on the IESO market participant(s) below.

Registered IESO Organization Name	Relation to the Applicant	Participant/Program/Service
Organization ID 104343	consumer	Williams Operating Corporation

## 7. Officers, Directors and Key Individuals

7. (a) Please confirm the number of officers, directors and key individuals in your organization.

6

7. (b) In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters.

Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

#### NOTES:

1. List a minimum of 3 key individuals in the table below. Additional information about each key individual is required in Section 13.

2. One of the listed key individuals must sign the completed application. See Section 15 for signing authority details.

Name of Key Individual	Email	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Chris Stewart	chris.stewart@barrick.com	Mine Manager
Jonathan Wood	Jonathan.wood@barrick.com	Finance Manager
Kevin Annett	kannett@barrick.com	Vice President, CFO North America

## 8. Intended Markets and Services

The <u>Ontario Energy Board Act, 1998</u>, (OEB Act), defines "ancillary services" as services necessary to maintain the reliability of the IESO-controlled grid, including frequency control, voltage control, reactive power and operating reserve services.

Identify the applicant's intended markets and services. Select any that apply.

8. (a) To purchase electricity or ancillary services in the IESO-administered markets.

Yes 🔿 No

#### If yes, please select all that apply.

Trader

	Energy
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~	Consumer		Other
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8. (b) To sell electricity or ancillary services in the IESO-administered markets.

🔿 Yes 🛛 🗹 No

#### 8. (c) Other Markets and Services. Select all that apply.

To purchase electricity or ancillary services directly from generator.

- To sell electricity or ancillary services to wholesalers.
- To sell electricity or ancillary services to distributors (i.e. standard supply services).
- To sell electricity or ancillary services to electricity retailers.
- To sell electricity or ancillary services to persons outside of the Ontario Market.

To sell electricity to a consumer, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. The electricity retailer application form along with information regarding when a retailer licence is required can be found on OEB's <u>Apply for a licence</u> page. If required, the electricity retailer application should be filed as soon as possible.

## CONFIDENTIAL SECTIONS

Information filed as part of or in support of sections 9 to 13 of this application will be treated as confidential and is not available for public view.

## 14. Notice

The OEB is authorized, under section 4.14 of the <u>OEB Act</u>, to collect personal information for the purpose of carrying out its duties and exercising its powers under the OEB Act or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

Registrar Ontario Energy Board P.O. Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4 Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the <u>Freedom of Information and Protection of Privacy Act</u> (FIPPA). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.