

Website: www.shell.com

Electricity Wholesaler Licence Application

Shell Energy North America (Canada) Inc.

1. Application Type
1. (a) Application Type
○ New
1. (b) Licence Number
EW-2020-0165
1. (c) Expiry Date
August 12, 2025
2. The Applicant
2. (a) Legal Name of the Applicant
Shell Energy North America (Canada) Inc.
2. (b) Business Classification
○ Sole Proprietorship ○ Partnership ○ Corporation ○ Other
2. (c) Date of Formation or Incorporation
September 05, 1996
2. (d) Province/State of Formation or Incorporation Alberta
2. (e) Country of Formation or Incorporation
Canada
2. (f) If the applicant is an individual, are they at least 18 years old?
If the applicant is an individual, the applicant must be at least 18 years old.
○ Yes ○ No
2. (g) Head Office or Business Address of the Applicant
Street Address: 500 Centre Street SE, Suite 4000
City: Calgary
Province/State: Alberta
Country: Canada Postal/Zip Code: T2G 1A6
1 Ostanzip Oodo: 120 1/10

Main Phone Number and Email Address

Phone Number: 1-858-526-2122

Email Address: kelly.olsen@shell.com

2. (h) Please describe the applicant's current or intended line of business and business activities.

Shell Energy North America (Canada) Inc. engages in the marketing and trading of natural gas, power and environmental products in Canada.

3. Licence Primary Contact

The licensee shall designate a person who will act as a primary contact with the Ontario Energy Board (OEB) on matters related to the licence.

3. (a) Licence Primary Contact

Salutation: Mrs.
Last Name: Olsen
First Name: Kelly

Initials: B

Title/Position: Regulatory Environmental Specialist **Company:** Shell Energy North America (US), L.P.

Phone Number: 1-858-526-2122

Email Address: kelly.olsen@shell.com

3.	(b)	Is the	Licence Pr	rimarv (Contact	address	the same a	as the l	Head (Office of	or Busines:	s address?

\bigcirc	.,		
\cup	Yes	v	No

Licence Primary Contact Address

Street Address: 4445 Eastgate Mall, Suite 100

City: San Diego

Province/State: CALIFORNIA

Country: United States of America

Postal/Zip Code: 92121 Website: www.shell.com

4. Application Primary Contact

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process, but with the licence primary contact after a licence is issued.

4.	(a) Is the	Application P	rimary Contact	the same as the	Licence Primary	<pre>Contact?</pre>

-	-		
	Yes	\bigcirc	Nο

5. Trade Names

The electricity wholesaler licer	nce authorizes the licensee to c	conduct business using th	e name under w	hich the licence is	held (i.e. the
applicant's legal name). It also	provides for the use of trade n	ames by the licensee.			

5. (a) Does the applicant	intend to use	trade names?
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No

6. Applicant's Licensing Status and History

6. (a) Has the ap	pplicant, an affiliate of	the applicant, or an a	ssociated entity (e.g.	a partnership or limi	ted partnership) ever
been licensed b	y the OEB?				

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Yes

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The Business Corporations Act definition for "affiliate" can be found at www.e-laws.gov.on.ca.

If yes, please provide current and expired licences.

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	Licence Number
Shell Energy North America (Canada) Inc.	Applicant Itself	GM-2013-0390
Shell Energy North America (Canada) Inc.	Applicant Itself	ER-2014-0383
Shell Energy North America (Canada) Inc.	Applicant Itself	EW-2011-0032
Shell Energy North America (Canada) Inc.	Applicant Itself	ER-2020-0090
Shell Energy North America (Canada) Inc.	Applicant Itself	EW-2020-0165

6.	(b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ha	ave
an	y other application(s) before the OEB?	

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(,	Yes

No

6. (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever undertaken energy sector activity in any other jurisdiction within North America?

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Yes

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If yes, please provide information about activities in other jurisdictions.

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if
				applicable)

Shell Energy North America (Canada) Inc.	Applicant Itself	Various in Canada	NG, Power & Environmental Products Trading	Available Upon Request
Shell Energy North America (US), L.P.	Parent	Various in U.S.A.	NG, Power & Environmental Products Trading	Available Upon Request

6. (d) Is the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) an
Independent Electricity System Operator (IESO) market participant?

() Yes

✓ No

7. Officers, Directors and Key Individuals

7. (a) Please confirm the number of officers, directors and key individuals in your organization.

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7. (b) In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters.

Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

NOTES:

- 1. List a minimum of 3 key individuals in the table below. Additional information about each key individual is required in Section 13.
- 2. One of the listed key individuals must sign the completed application. See Section 15 for signing authority details.

Name of Key Individual	Email	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Greg Chownyk	Greg.Chownyk@shell.com	President
Marie-Claude Lafleur	Marie- Claude.Lafleur@shell.com	Vice President
Paul Minor	paul.minor@shell.com	Vice President

8. Intended Markets and Services

The Ontario Energy Board Act, 1998, (OEB Act), defines "ancillary services" as services necessary to maintain the reliability of the IESO-controlled grid, including frequency control, voltage control, reactive power and operating reserve services.

Identify the applicant's intended markets and services. Select any that apply.

8. (a) To purchase electricity or ancillary services in the IESO-administered markets.						
•	Yes	O No				
If ye	es, please	select all	that apply.			
~	Energy T	rader	Consumer	☐ Othe	er	

8. (k) To sell electricity or ancillary services in the IESO-administered markets.
②	Yes O No
If ye	s, please select all that apply.
~	Energy Trader Consumer Other
8. (c) Other Markets and Services. Select all that apply.
~	To purchase electricity or ancillary services directly from generator.
~	To sell electricity or ancillary services to wholesalers.
~	To sell electricity or ancillary services to distributors (i.e. standard supply services).
~	To sell electricity or ancillary services to electricity retailers.
~	To sell electricity or ancillary services to persons outside of the Ontario Market.
	To sell electricity to a consumer, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. The electricity retailer application form along with information regarding when a retailer licence is required can be found on OEB's Apply for a licence page. If required, the electricity retailer application should be filed as soon as possible.

CONFIDENTIAL SECTIONS

Information filed as part of or in support of sections 9 to 13 of this application will be treated as confidential and is not available for public view.

14. Notice

The OEB is authorized, under section 4.14 of the OEB Act, to collect personal information for the purpose of carrying out its duties and exercising its powers under the OEB Act or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

Registrar

Ontario Energy Board

P.O. Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4 Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the <u>Freedom of Information and Protection of Privacy Act</u> (FIPPA). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.